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SERGEY FINASHIN AND VIATCHESLAV KHARLAMOV

Deformation Classification of Real Non-Singular
Cubic Threefolds with a Marked Line

Mathematisches Forschungsinstitut Oberwolfach gGmbH
Oberwolfach Preprints (OWP) ISSN 1864-7596

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DOI 10.14760/OWP-2018-02

DEFORMATION CLASSIFICATION OF REAL NON-SINGULAR CUBIC THREEFOLDS WITH A MARKED LINE

S. FINASHIN, V. KHARLAMOV

ABSTRACT. We prove that the space of pairs (X, l) formed by a real non-singular cubic hypersurface $X \subset P^4$ with a real line $l \subset X$ has 18 connected components and give for them several quite explicit interpretations. The first one relates these components to the orbits of the monodromy action on the set of connected components of the Fano surface $F_{\mathbb{R}}(X)$ formed by real lines on X . For another interpretation we associate with each of the 18 components a well defined real deformation class of real non-singular plane quintic curves and show that this deformation class together with the real deformation class of X characterizes completely the component.

CONTENTS

1. Introduction	3
1.1. Sketch of principal results	3
1.2. Main tools and constructions	5
1.3. Structure of the paper	5
1.4. Conventions	6
1.5. Acknowledgements	7
2. Spectral quintics and theta-conics of cubic threefold	7
2.1. Conic bundles: generalities	7
2.2. The conic bundle for a line on a cubic threefold	8
2.3. Multiple lines and a characterization of the nodes of S and Θ	10
2.4. The exceptional divisor E_l	11
2.5. Fano surface and curves $\Gamma_{\text{res}}, \Gamma_{II}$	12
2.6. The spectral covering via theta-conics	12
2.7. The case of real pairs (X, l)	12
3. Theta-characteristics and the spectral correspondence	13
3.1. Theta-conics and theta-characteristics	13
3.2. Spectral theta-characteristic	14
3.3. Recovery of (X, l) from S and Θ	15
3.4. Deformation class $[S]$ as a real deformation invariant of (X, l)	16
4. Spectral matching correspondence	18
4.1. Smith discrepancy [DK]	18
4.2. Klein type [DK]	19

2010 *Mathematics Subject Classification.* Primary 14P25, 14J10, 14N25, 14J30, 14J70.

Typeset by $\mathcal{A}\mathcal{M}\mathcal{S}$ - \TeX

4.3.	Topological and deformation classification of non-singular real plane quintic curves [Kh],[DK]	19
4.4.	Topological and deformation classification of non-singular real cubic threefolds [Kr1], [Kr2], [FK]	19
4.5.	Topology of real Fano surfaces [Kr3]	20
4.6.	Adjacency graphs [Kr1], [Kh]	20
4.7.	Quadrocubics representing edges of $\Gamma_{3,3}$ [Kr1]	20
4.8.	Spectral matching correspondence	22
4.9.	Proof of Theorem 4.8.1(1)	23
4.10.	Strategy of proving (2)–(3) of Theorem 4.8.1	23
4.11.	Smith discrepancy in terms of real Fano components	24
4.12.	The monodromy	24
5.	Comparison of $X_{\mathbb{R}}$ and $S_{\mathbb{R}}$	25
5.1.	Homological correspondence	25
5.2.	Vanishing classes and bridges	27
5.3.	Subgroups I , K and the characteristic element w_c	27
5.4.	Comparison of discrepancies d and d'	28
5.5.	The case of real plane quintics	28
5.6.	Comparison of Klein types	29
5.7.	The values of h and q on the ovals and bridges of S	30
5.8.	Proof of Theorem 4.8.1(3)	31
5.9.	Proof of Theorem 4.8.1 in the case $d(X) \geq 4$	33
6.	The conormal projection	34
6.1.	Binary codes of lines in a cubic surface	34
6.2.	Real spectrum and truncated codes	34
6.3.	Binary codes under nodal degeneration	35
6.4.	Binary codes under real nodal degenerations	36
6.5.	Hyperplane sections of cubic threefolds	36
6.6.	Conormal projection	37
6.7.	Special example: spectral quintic with a nest	39
6.8.	Proof of Theorem 4.11.1 for exotic cubics X	41
7.	Quadrocubics and spectral curves as their central projections	41
7.1.	Quadrocubic associated to a singular point	41
7.2.	Isomorphisms between the quadratic cones of nodes	44
7.3.	6-nodal Segre cubics	44
7.4.	5-point configurations on an ellipsoid	45
7.5.	Another classification of quadrocubics	46
7.6.	Ascending and descending perturbations of a node on $X_{\mathbb{R}}$	47
7.7.	Monodromy permutation of ovals of quadrocubics	47
7.8.	Atoric nodal cubics and monodromy permutations of their nodes	48
7.9.	Perturbation of atoric nodal cubics	49
8.	Fano surfaces of nodal cubics	50
8.1.	Fano surface of a nodal cubic threefold [CG], [Ha]	50
8.2.	Fano surface of a 6-nodal Segre cubic threefold [Do], [HT]	50
8.3.	Real Fano surfaces of one-nodal cubics [Kr3]	52
8.4.	Cubic threefolds of type C_I^3	54
8.5.	Atoric real 6-nodal Segre cubics	55
8.6.	Monodromy of collapsing tori	55

9. Proof of main theorems	56
9.1. Proof of Theorem 4.11.1	56
9.2. Proof of Theorem 4.12.1(1)	56
9.3. Segre 3-3 bipartition	57
9.4. Monodromy of nodes of atoric real Segre 6-nodal cubics	57
9.5. Proof of Theorem 4.12.1(2)	58
9.6. Proof of Theorems 4.12.1(3)	59
9.7. Summary of the proof of Theorem 4.8.1	59
10. Concluding remarks	59
10.1. Expanded spectral correspondence	59
10.2. Monodromy groups	59
10.3. Conormal projection	60
10.4. Mutual position of $S_{\mathbb{R}}$ and $\Theta_{\mathbb{R}}$	61
References	62

La dernière chose qu'on trouve en faisant un ouvrage,
est de savoir celle qu'il faut mettre la première.

Blaise Pascal, *Pensées* (1670), 19.

1. INTRODUCTION

1.1. Sketch of principal results. The study of lines on projective hypersurfaces had started from discovery of 27 complex lines by Cayley and Salmon. It followed by an analysis of reality of these lines performed by Schläfli, which in its turn motivated Klein's interpretation of 5 species of cubic surfaces introduced by Schläfli as a classification of non-singular real cubic surfaces X up to deformation (here and further on, by deformation we mean variations preserving non-singularity). A major step in further analysis of the real aspects of the theory of cubic surfaces was made by B. Segre. Among other results, he determined, for each of the 5 deformation classes, the group of substitutions induced by the monodromy action on the set of real lines $l \subset X$, which implies, in particular, the deformation classification of the pairs (X, l) , where X is a real non-singular cubic surface and $l \subset X$ is a real line. For a modern view and contemporary further spectacular development, as well as a correction of one of Segre's results on monodromy, we send the reader to D. Allcock, J.A. Carlson, and D. Toledo paper [ACT].

The real aspects of the theory of cubic projective hypersurfaces of higher dimensions are less studied. Recent advance in the case of real cubic threefolds $X \subset P^4$ is due to V. Krasnov who gave their deformation classification (see [Kr1]) along with a description of the topology of the real locus $F_{\mathbb{R}}(X)$ of the *Fano surface of X* formed by the lines on X (see [Kr3]).

Here, we make the next step and perform the deformation classification of the pairs (X, l) , where X is a real non-singular cubic hypersurface in P^4 and $l \subset X$ is a real line.

Our interest to this problem has grown, indeed, from a wish to disclose a puzzling coincidence of two graphs: the adjacency graph of the deformation classes of real non-singular cubic threefolds and a similar graph for real non-singular plane quintic

curves, see Figure 1. The vertices of these graphs represent the deformation classes of real non-singular cubic threefolds X at the top graph and those of real non-singular plane quintics at the bottom one. For greater clarity, the vertices are shown as circles containing inside an indication of the topological type of the locus of real points in the case of cubics and a schematic picture of position of the locus of real points in the real plane in the case of quintics. Two vertices are connected by an edge if the corresponding deformation classes are adjacent through a wall, that is if these classes can be joined by a continuous family of real cubic threefolds or, respectively, real plane quintics, such that all but one element of the family is non-singular, the singular one (cubic or quintic) has only one singular point, and this singular point is a simple double point.

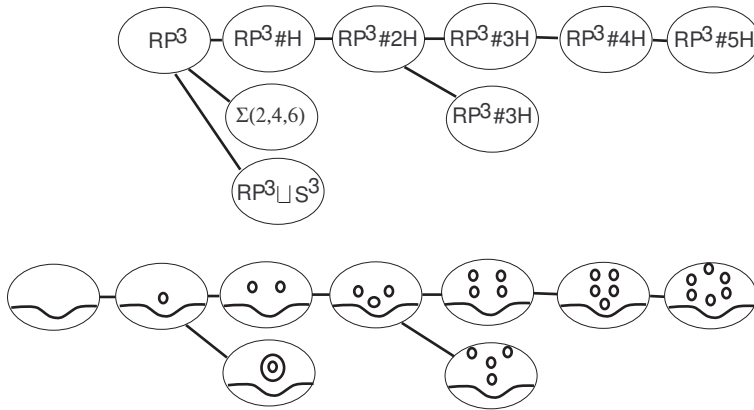


FIGURE 1. H states for a handle, $H = S^1 \times S^2$, and $\Sigma(2, 4, 6)$ denotes a Seifert manifold with 3 multiple fibers of multiplicities 2, 4, 6; the double occurrence of the type $\mathbb{RP}^3\#3H$ means that the real non-singular cubics with this topological type of the real locus form two distinct deformation classes.

Due to the classical correspondence between cubic threefolds and plane quintics that associates to a cubic threefold X a plane quintic S (we call S the *spectral quintic*) arising as the discriminant locus of the projection $X \rightarrow P^2$ with a chosen line $l \subset X$ as the center, it was natural to expect that such a coincidence should not be accidental. However, two difficulties obstruct an immediate application of this correspondence. The first difficulty is in degenerating of S for some choices of l even on a non-singular X . The second one is due to a presence of several connected component in the real locus $F_{\mathbb{R}}(X)$ of the Fano surface of X , for most of the deformation classes.

The first difficulty turns out to be inessential: we show that despite such degenerations the deformation class $[S]$ of S is not changing under deformations of pairs (X, l) . Furthermore, we prove (see Theorem 4.8.1, parts (1-2)) that deformation classes of pairs (X, l) are completely determined by *matchings* $([X], [S])$ between the deformation classes $[X]$ and $[S]$. The second difficulty happens to be a more subtle issue.

We present the final deformation classification in a form of a complete list of possible matchings in terms of simple topological invariants of X and S , such as Smith discrepancy and Klein type, see Theorem 4.8.1 (part (3)) and Corollary 4.8.2. Accordingly, the number of deformation classes happens to be 18.

In fact, the set of matchings, and hence the set of deformation classes, turns out to be in 1–1 correspondence with the set of orbits of the monodromy action induced by real deformations on the sets of connected components of the real loci of Fano surfaces. In particular, each real Fano surface has a unique connected component whose Euler characteristic is odd, this component is preserved by the monodromy, and, as we show, it is the choice of l on these components that establishes a natural isomorphism between the mentioned above two graphs. The monodromy on the set of toric components is less trivial, and we give a full explicit description of these orbits in Theorem 4.12.1.

For example, in the case of maximal cubic threefolds X , the real locus $F_{\mathbb{R}}(X)$ of a Fano surface is formed by a non-orientable component $N_5 = \#_5 \mathbb{R}P^2$ (of Euler characteristic 3) and 15 torus-components, and, according to Theorem 4.12.1, the monodromy action on these tori has two orbits: 6 torus components in one and 9 components in another orbit; namely, a choice of a line $l \subset X$ on a torus gives an $(M - 2)$ -quintic, which is of Klein type I for tori from the first orbit, and of type II for the second orbit.

1.2. Main tools and constructions. The key tools involved traditionally in studying cubic threefolds X are Fano surfaces $F(X)$, Jacobian and Prym varieties, conic bundles that project X from lines $l \subset X$ to \mathbb{P}^2 , and discriminant loci of these projections (spectral quintics) equipped with odd theta-characteristics. We develop and apply them in the real setting. We develop also tools that look a bit more original, such as the *conormal projection* of Fano surface $F(X)$ to \mathbb{P}^2 , and relate it to the binary encoding of real lines on real cubic surfaces.

Disclosing of an interplay between geometrical and topological properties of these tools is the main guiding idea in this paper. In particular, it is the relations between the arithmetics of the action of the complex conjugation on the homology of the cubic threefolds and that of the action on the homology of the associated plane quintics that help us to obtain a strong below bound on the number of matchings. To show that it is a sharp bound, it is the geometric methods that prevail. For example, to determine the orbits of the monodromy action on the set of real components of Fano surfaces of maximal cubic threefolds X we make use of their degeneration to remarkable C. Segre 6-nodal cubic threefolds X_0 , which establishes a 1–1 correspondence between the 15 torus components of $F_{\mathbb{R}}(X)$ we are interested in, the 15 lines connecting pairwise the 6 nodes of X_0 , and the 15 real lines on some real $(M - 1)$ -cubic surface Y that can be associated to $F(X_0)$. Then, we make appeal to the fundamental B. Segre bipartition of these 15 lines in two groups: 9 hyperbolic and 6 elliptic lines.

1.3. Structure of the paper. In Section 2 we recall some basic constructions associated to a cubic threefold X with a chosen line l , like those of the conic bundle, the spectral quintic $S \subset P^2$ and the theta-conic Θ . We review their principal properties (which are mostly well-known) and adapt them to our real setting. In Section 3, we interpret theta-conics as odd non-degenerate theta-characteristics, relate them to spectral coverings, and elaborate the *real spectral correspondence* which is a real version of classical White’s theorem that ensures a recovery of a pair

(X, l) from a pair (S, Θ) . Moreover, we prove the invariance of the deformation class of S under deformations of the pair (X, l) .

In Section 4 we review the deformation classification of real cubic threefolds and real plane quintics, and in particular, discuss the topological invariants involved in these classifications (Smith discrepancy and Klein's type). We review also basic facts on the Fano surfaces $F(X)$ and, in particular, recall the description of their real loci $F_{\mathbb{R}}(X)$. Finally, we introduce the key notion of *spectral matching* and formulate our main results: Theorem 4.8.1, that describes the deformation classification of pairs (X, l) in terms of matchings, Theorem 4.11.1 relating the Smith discrepancy and Klein's type under the spectral matching correspondence, and Theorem 4.12.1 describing the orbits of the monodromy action on the set of torus components of Fano surfaces $F_{\mathbb{R}}(X)$. In Section 5 we compare the Smith discrepancies and Klein's types of X and S and prove part (3) of Theorem 4.8.1. This gives a lower bound to the number of deformation classes of pairs (X, l) .

In Section 6 we treat a special kind of real cubic threefolds. These cubics, that we call *exotic* are exceptional in several respects. For instance, topology of $X_{\mathbb{R}}$ is more sophisticated for them than for the other types of real non-singular cubic threefolds, namely, it is diffeomorphic to a Seifert manifold $\Sigma(2, 4, 6)$, and the Fano surface $F_{\mathbb{R}}(X)$ has two non-orientable real components instead of one, see Section 4). We end Section 6 by proving Theorem 4.11.1 for exotic threefolds. This is done through the *conormal projection* of $F(X)$ to the projective plane.

In Section 7 we analyze real nodal cubic threefolds X_0 and study their *quadrocubics*, that is the curves of bidegree $(2, 3)$ in P^3 associated to each of the nodes of X_0 . In particular, we express in terms of quadrocubics some topological and monodromy properties of cubic threefolds X obtained by perturbation of X_0 . We relate quadrocubics to the spectral curves S_0 of X_0 and analyze how the latter are perturbed into the spectral curves S of X .

In Section 8 we study the Fano surfaces $F(X_0)$ of real nodal cubic threefolds X_0 and relate them to the symmetric square of quadrocubics. Our key example is the case of real 6-nodal Segre cubic threefolds X_0 , in which we find the monodromy action on the nodes of X_0 . This allows us to find the monodromy of torus components of $F_{\mathbb{R}}(X)$, where X is the maximal cubic threefold obtained by perturbation of X_0 .

In Section 9 we complete the proof of the main results: Theorems 4.8.1, 4.11.1, and 4.12.1. Section 10 contains a few additional remarks and applications: on the spectral correspondence in terms of the moduli spaces (in a setting a bit more general that we use in our paper), on the permutation groups realized by the monodromy action on the set of real components of the Fano surfaces, and on some topological and geometrical information that one can obtain about real non-singular quintics using methods developed.

1.4. Conventions. In this paper algebraic varieties by default are complex, for example, P^n stands for the complex projective space. Such a variety $X \subset P^n$ is *real* if it is invariant under the complex conjugation in P^n . In the case of abstract complex varieties X not embedded in P^n a *real structure* on X is usually defined as an anti-holomorphic involution $\text{conj}: X \rightarrow X$, but in this work we deal mostly with subvarieties of P^n or other Grassmannians, and the involution conj on X is then induced from there.

We denote by $X_{\mathbb{R}}$ the fixed-point-set of the real structure, $X_{\mathbb{R}} = \text{Fix}(\text{conj})$, and

call it the *real locus* of X . Speaking on non-singular real varieties, we mean that the whole X (not only $X_{\mathbb{R}}$) has no singular points.

We prefer to use classical rather than scheme-theoretic viewpoint and terminology, allowing, for example, to use, whenever possible, the same notation for polynomials and for varieties that they represent, as well as the same notation both for the variety and its complex point set.

By a *nodal singularity* (a node) we mean a singularity of type A_1 , that is a simple double point. A *nodal variety* (curve, surface, threefold) is a one whose singular locus contains only nodes.

Recall that the space $\mathcal{C}^{d,n}$ formed by hypersurfaces $Z \subset P^{n+1}$ of degree d is a projective space of dimension $\binom{d+n+1}{n+1}$ where the singular Z form a so-called *discriminant hypersurface* $\Delta^{d,n} \subset \mathcal{C}^{d,n}$. The real locus $\mathcal{C}_{\mathbb{R}}^{d,n}$ is constituted by real hypersurfaces Z . The connected components of the complement $\mathcal{C}_{\mathbb{R}}^{d,n} \setminus \Delta_{\mathbb{R}}^{d,n}$ are called *real deformation classes*: they can be viewed as *chambers* separated by *walls*, that is the connected components of the space of one-nodal real hypersurfaces (the principal stratum of $\Delta_{\mathbb{R}}^{d,n}$). The mutual position of the chambers can be characterized by the *adjacency graph* $\Gamma_{d,n}$ whose vertex set $V_{d,n}$ is the set of chambers and an edge between two vertices means that the corresponding chambers lie on the opposite sides of some wall (note that $\Gamma_{d,n}$ indicates only existence of walls and not their number). We have already presented such graphs $\Gamma_{3,3}$ and $\Gamma_{5,1}$ on Figure 1 (and in this paper we are not concerned about any other values of d and n).

In accordance with the above definition, by a *real deformation* of non-singular hypersurfaces we mean simply a continuous path $[0, 1] \rightarrow \mathcal{C}_{\mathbb{R}}^{d,n} \setminus \Delta_{\mathbb{R}}^{d,n}$, $t \mapsto Z_t$. By a *real equisingular deformation* of a nodal hypersurface Z we mean a continuous path in the stratum of $\Delta_{\mathbb{R}}^{d,n}$ parameterizing hypersurfaces Z with a fixed number of nodes.

A *nodal degeneration* of a non-singular hypersurface Z is a continuous family Z_t , $0 \leq t \leq 1$, $Z = Z_1$, of varieties which are non-singular for $t > 0$ and nodal for $t = 0$. The same family Z_t can be called also a *real perturbation* of a real nodal variety Z_0 .

Curves of degree 6 in P^3 which are complete intersections of a cubic hypersurface with a quadric will be called *quadrocubics*. By a conic we mean a plane curve of degree 2.

Whenever an equivalence relation is clear from the context, we use notation $[X]$ for the equivalence class of X .

If the most of the content of a section, or a subsection, is well known, we put at the beginning of it a reference to sources containing the corresponding results and provide proofs only for those ones for which we did not find an appropriate reference.

1.5. Acknowledgements. This work was done during visits of the first author to the University of Strasbourg and joint visits to the Max Planck Institute in Bonn and the Mathematisches Forschungsinstitut Oberwolfach. We thank all these institutions for providing excellent working conditions.

2. SPECTRAL QUINTICS AND THETA-CONICS OF CUBIC THREEFOLD

2.1. Conic bundles: generalities. By a *conic bundle* we mean a nonsingular irreducible projective variety X equipped with a regular map $\pi: X \rightarrow P^2$ such that each scheme theoretic fiber is isomorphic to a plane conic.

2.1.1. Proposition. [B1] *Let $\pi: X \rightarrow P^2$ be a conic bundle. Then:*

- (1) *There exists a rank 3 vector bundle E over P^2 and an integer k such that the conic bundle is defined in the associated projective fibration $P(E)$ by a quadratic form $h \in H^0(P^2, \text{Sym}^2(E^*) \otimes \mathcal{O}(k))$. The equation $\det h = 0$ defines either an empty set or a curve in P^2 .*
- (2) *The curve $S \subset P^2$ defined by $\det h = 0$ has at most nodes as singular points.*
- (3) *At each point $s \in \text{Sing } S$ there exist local coordinates u, v such that over a neighborhood U of s the conic bundle is isomorphic to a conic bundle given in $U \times P^2$ by equation $ux^2 + vy^2 + z^2 = 0$; at each point $s \in S \setminus \text{Sing } S$ there exist local coordinates u, v such that over a neighborhood U of s the local model in $U \times P^2$ is given by equation $ux^2 + y^2 + z^2 = 0$; while that for $s \in P^2 \setminus S$ there exist local models given by equation $x^2 + y^2 + z^2 = 0$. In particular, the fibers $X_s = \pi^{-1}(s) \subset P(E_s)$ are non-singular conics for $s \in P^2 \setminus S$, reduced singular conics for $s \in S$, and double lines for $s \in \text{Sing } S$. \square*

The curve S described in this Proposition is called the *discriminant curve* of the conic bundle $\pi: X \rightarrow P^2$.

Each conic bundle $\pi: X \rightarrow P^2$ gives rise to a ramified double covering $p_S: \tilde{S} \rightarrow S$, where for each $s \in S$ the points of $p_S^{-1}(s) \subset \tilde{S} \subset \text{Gr}(E, 1)$ are the lines contained in the fibers $X_s \subset P(E_s)$. This covering is unramified over $S \setminus \text{Sing } S$, and it has a simple ramification over each branch at each node.

2.2. The conic bundle for a line on a cubic threefold. Consider a non-singular cubic threefold $X \subset P^4$ and a line $l \subset X$. The net of planes $\{P_s\}_{s \in P^2}$ passing through l induces a conic bundle $\pi_l: X_l \rightarrow P^2$ whose fibers are the *residual conic* r_s traced by X in the net of planes, namely, $P_s \cap X = l + r_s$ (as a divisor). Here X_l is obtained by blowing up X along l . The discriminant curve $S = S_l$ of this conic bundle will be called the *spectral curve* of (X, l) .

Let us choose projective coordinates (x, y, z, u, v) in P^4 so that l is defined by equations $x = y = z = 0$. Then, the equation of X can be written as

$$(2.2.1) \quad u^2 L_{11} + 2uv L_{12} + v^2 L_{22} + 2uQ_1 + 2vQ_2 + C = 0,$$

where L_{ij}, Q_i, C stand for homogeneous polynomials in x, y, z of degrees 1, 2, and 3 respectively. Next, the *residual conic* r_s , for each point $s = [x : y : z] \in P^2$, is defined by the symmetric *fundamental matrix*

$$(2.2.2) \quad A_s = \begin{pmatrix} L_{11} & L_{12} & Q_1 \\ L_{12} & L_{22} & Q_2 \\ Q_1 & Q_2 & C \end{pmatrix}$$

and the spectral curve S is defined by a degree 5 equation

$$(2.2.3) \quad \det A_s = 0.$$

The conic defined by equation

$$(2.2.4) \quad \det \begin{pmatrix} L_{11} & L_{12} \\ L_{12} & L_{22} \end{pmatrix} = 0,$$

will be called the *theta-conic* and denoted by Θ .

Note that for the definition of the fundamental matrix non-singularity of the cubic X is not required, but for certain degenerations of X the conic Θ or/and the quintic S may be not well-defined (if their equations identically vanish). For non-singular or one-nodal X this cannot happen: for S it follows, for instance, from [CG, Lemma 8.1]. For Θ , it is straightforward; for nonsingular X it follows, for example, from the next Lemma.

2.2.5. Lemma. *The cubic threefold X given by equation $u^2L_{11} + 2uvL_{12} + v^2L_{22} + 2uQ_1 + 2vQ_2 + C = 0$ is nonsingular along the line $l = \{x = y = z = 0\}$ if and only if the quadratic form $Q_\Theta = L_{11}L_{22} - L_{12}^2$ is neither a complete square nor zero. If X contains a singular point outside l , then either $\det A_s$ is zero for every $s \in P^2$ or the curve $\det A_s = 0$ has a singular point.*

Proof. At the points of the line l we have $df = u^2dL_{11} + 2uvdL_{12} + v^2dL_{22}$. If df vanishes for some $(u, v) \neq (0, 0)$, then by a projective $[u : v]$ -coordinate change we can obtain vanishing at $[u : v] = [0 : 1]$, that is equivalent to $L_{22} = 0$, which in its turn implies that Q_Θ is a complete square $-L_{12}^2$, if $L_{12} \neq 0$, or zero otherwise. Conversely, assume that $Q_\Theta = L_{11}L_{22} - L_{12}^2$ is a complete square, say $-L^2$. If $L = L_{12}$, then either L_{11} or L_{22} vanishes, which implies that the point of l with $[u : v] = [1 : 0]$ or $[0 : 1]$ respectively is a singular point of X . If $L \neq L_{12}$, then $L_{11}L_{22} = (L_{12} + L)(L_{12} - L)$ from where $L_{11} = \lambda(L_{12} \pm L)$ and $L_{22} = \lambda^{-1}(L_{12} \mp L)$ for some $\lambda \in \mathbb{R} \setminus \{0\}$. Then it is the point $[1 : -\lambda] \in l$ that is singular in X .

To check the second statement, let us select projective coordinates in way that it is the point $u = 0, v = 0, x = 0, y = 0, z = 1$ which is a singular point of X and assume that $\det A_s$ is not identically zero. Then, C, Q_1, Q_2 , and dC are zero at this point. This immediately implies that $\det A_s$ is zero at $s = (0, 0, 1)$, and after taking the differential of the matrix determinant line by line we observe that this differential is also zero at this point. \square

2.2.6. Proposition. *For any non-singular cubic threefold $X \subset P^4$ and any line $l \subset X$, the following properties hold:*

- (1) *the spectral quintic S cannot have singularities other than nodes;*
- (2) *the associated curve Θ is a conic which is not a double line;*
- (3) *the conic Θ passes through every node of S ;*
- (4) *the local intersection index $\text{ind}_s(S, \Theta)$ at a common point $s \in S \cap \Theta$ is even for any s not belonging to a common irreducible component of S and Θ .*

Proof. The fact that S may have only nodes as singular points follows from Proposition 2.1.1 applied to the blow-up of X along l . Lemma 2.2.5 implies (2).

To prove (3) note that according to Proposition 2.1.1 if a point $s \in S$ is a node, then the residual conic r_s is a double line, which means that A_s is of rank 1 and thus implies the vanishing of $\det \begin{pmatrix} L_{11} & L_{12} \\ L_{12} & L_{22} \end{pmatrix}$ at s .

The property (4) is well-known for generic lines on X (see, for example, [Wh]); in particular, for a generic line the quintic S is non-singular (see [Mur]) and then (4) can be derived from the Laplace formula

$$L_{11}\Delta = \Delta_{22}\Delta_{33} - \Delta_{23}^2,$$

where Δ is the determinant defining the quintic S and Δ_{ij} are the ij -cofactors in the matrix 2.2.2, among which Δ_{33} defines Θ). After that the property (4) can be extended to any line $l \subset X$ by continuity. \square

2.3. Multiple lines and a characterization of the nodes of S and Θ . We say that a plane $P \subset P^4$ is *tritangent to X* if X cuts on P a triple of lines: $P \cap X = l + l' + l''$. By definition, S parameterizes the tritangent planes of the net $\{P_s\}_{s \in P^2}$.

If for a line l there exists a plane P containing it such that $P \cap X = 2l + l'$, we say that l is a *multiple line* and l' the *residual line*. If $l = l'$ (that is $P \cap X = 3l$), then l is called a *triple line*, and if $l \neq l'$ then a *double line*. Non-multiple lines $l \subset X$ (for which such a plane P does not exist) will be called *simple lines*.

2.3.1. Proposition. *For any non-singular cubic $X \subset P^4$ and a line $l \subset X$, with the associated spectral curve S and the theta-conic Θ , the following holds:*

- (1) $s \in P^2$ is a node of S if and only if $P_s \cap X = l + 2l'$ for some line l' ; hence, S has ≥ 1 nodes if and only if l is a residual line;
- (2) $s \in P^2$ is a node of Θ if and only if $P_s \cap X = 2l + l'$ for some line l' ; hence, Θ splits into two lines if and only if l is a multiple line;
- (3) $s \in P^2$ is a common node of S and Θ if and only if $P_s \cap X = 3l$; in this case the two lines forming Θ are tangent to the two branches of S at the node.

Proof. Item (1) follows from Proposition 2.1.1.

For proving items (2) and (3) choose the coordinates so that $s = [x:y:z] = [1:0:0]$, then in the plane P_s with coordinates $[u:v:x]$ the equation of the residual conic is

$$r_s = u^2 L_{11}^x + 2uv L_{12}^x + v^2 L_{22}^x + 2ux Q_1^{xx} + 2vx Q_2^{xx} + x^2 C^{xxx} = 0,$$

where $L_{ij}^x = L_{ij}(1, 0, 0)$, $Q_i^{xx} = Q_i(1, 0, 0)$, and $C^{xxx} = C(1, 0, 0)$ are respectively the x -coefficients of L_{ij} , the x^2 -coefficient of Q_i , and the x^3 -coefficient of C .

The line l in P_s has equation $x = 0$, thus, it is double, namely, $P_s \cap X = 2l + l'$, if and only if x divides r_s , that is iff $L_{11}^x = L_{12}^x = L_{22}^x = 0$. This vanishing implies that the equation of Θ in the affine chart $\{x \neq 0\}$,

$$L_{11}(1, y, z)L_{22}(1, y, z) - L_{12}^2(1, y, z),$$

has no linear terms, which shows that $s = [1:0:0]$ is a node of Θ . Conversely, if Θ has a node at s , then, as is evident, L_{11} , L_{12} and L_{22} are linearly dependent and each of these linear forms is vanishing at s ; therefore, the coefficients $L_{11}^x = L_{12}^x = L_{22}^x$ vanish, which shows that l is a double line. This proves item (2).

The first part of item (3) follows from items (1) and (2). For proving the second part of (3), note that $P_s \cap X = 3l$ if and only if r_s is a multiple of x^2 , that is iff in addition to $L_{11}^x = L_{12}^x = L_{22}^x = 0$ we have $Q_1^{xx} = Q_2^{xx} = 0$, whereas $C^{xxx} \neq 0$ (the latter is due to non-singularity of X). These conditions imply that the degree ≤ 2 truncation of equation (2.2.3) of S in the above affine (y, z) -chart reduces to

$$C^{xxx}(L_{11}L_{22} - L_{12}^2),$$

where L_{ij} depend only on y and z . Thus, S and Θ both have nodes at s and the branches of S are tangent to those of Θ . \square

2.4. The exceptional divisor E_l . Simple lines $l \subset X$ can be also distinguished from multiple ones by their normal bundles in X , or equivalently, by the exceptional divisor E_l of blowing up $X_l \rightarrow X$.

2.4.1. Proposition. ([CG]) *If a line l on a non-singular cubic threefold X is simple, then its normal bundle in X is trivial (a sum of trivial line bundles $O_l \oplus O_l$) and $E_l \cong P^1 \times P^1$. Otherwise, the normal bundle is isomorphic to the sum of line bundles $O_l(1) \oplus O_l(-1)$ and E_l is a geometrically ruled surface Σ_2 . \square*

2.4.2. Proposition. *In the case of a simple line l the theta-conic Θ is non-singular and the restriction*

$$E_l \cong P^1 \times P^1 \xrightarrow{\pi_{E_l}} P^2$$

of the canonical projection $\pi_l: X_l \rightarrow P^2$ is a double covering branched along Θ .

In the case of multiple line l , conic Θ splits in two lines and such a restriction

$$E_l \cong \Sigma_2 \xrightarrow{\pi_{E_l}} P^2$$

is composed of a contraction of the (-2) -curve of Σ_2 and subsequent double covering over P^2 branched along Θ . The two lines of Θ are the images of two fibers of the ruling $\Sigma_2 \rightarrow P^1$ and the node of Θ is the image of the (-2) -curve.

Proof. The points of E_l can be viewed as pairs (P_s, t) , where $s \in P^2$ and P_s is the plane passing through s , containing the line l and tangent to X at the point $t \in l$. Projection π_{E_l} sending (P_s, t) to $s = P_s \cap P^2$ is generically two-to-one, because P_s is generically tangent at a pair of points of l , namely, at the common points of l with the residual conic r_s (see Section 2.2). It is one-to one over the non-singular points of Θ , since for them the intersection $r_s \cap l$ consists of one point. This gives item (1), and for proving item (2) it remains to notice that for a nodal point s_0 of Θ , $P_{s_0} \cap X = 2l + l'$, and so, the preimage of s_0 consists of all the pairs (P_{s_0}, t) , $t \in l$. \square

Proposition 2.4.2 together with Lemma 2.2.5 imply the following statement.

2.4.3. Corollary. *For a non-singular cubic threefold X and a line $l \subset X$ the following properties are equivalent:*

- (1) *The line l is multiple.*
- (2) *There is a plane passing through l which is tangent to X at each point of l .*
- (3) *The linear forms L_{11}, L_{12}, L_{22} are linearly dependent.*
- (4) *The linear forms L_{11}, L_{12}, L_{22} forms a rank 2 linear system.*
- (5) *The theta-conic Θ corresponding to l splits into two lines. \square*

2.4.4. Proposition. *The theta-conic Θ is formed by the points $s \in P^2$ for which the binary quadric $l_s = r_s|_l$ is degenerate, that is a square or identically zero. If Θ is non-singular, then l_s is non zero for any $s \in \Theta$, and the map sending $s \in \Theta$ to $p \in l$ such that $r_s(p) = 0$ gives an isomorphism between Θ and l . The inverse map sends $p \in l$ to the point $s \in \Theta$ where the hyperplane T_p tangent to X at p is tangent to Θ .*

Proof. By definition, $l_s = L_{11}u^2 + 2L_{12}uv + L_{22}v^2$ and degeneration of l_s means vanishing on l of the determinant that defines Θ . Non-singularity of Θ means

linear independence of the linear forms L_{11}, L_{12}, L_{22} (see Corollary 2.4.3), and we can choose our coordinates so that $x = L_{11}$, $y = L_{12}$ and $z = L_{22}$. Then the inverse map $\rightarrow \Theta$ to the above correspondence is defined by $[u : v] \rightarrow [x : y : z]$, where $x = v^2$, $y = -uv$, $z = u^2$. It remains to notice that $x = v^2$, $y = -uv$, $z = u^2$ is the only point of intersection of Θ with the hyperplane T_p , $p = [u : v]$, since the latter is given by equation $u^2x + 2uvy + v^2z = 0$. \square

2.5. Fano surface and curves $\Gamma_{\text{res}}, \Gamma_{II}$. The lines on a non-singular cubic threefold, X , form a surface, $F(X)$, named after G. Fano [Fa], who established its following properties (see also [CG]).

2.5.1. Theorem. *For any non-singular cubic threefold X , the Fano surface $F(X)$ is irreducible, non-singular and has the following numerical characteristics:*

$$h^{1,0} = h^{0,1} = h^{1,2} = h^{2,1} = 5, \quad h^{2,0} = h^{0,2} = 10, \quad h^{1,1} = 25.$$

As is known (see, for example, [Mur]), the multiple lines $l \subset X$ form a curve on $F(X)$ that we denote Γ_{II} , the residual lines form a *residual curve*, $\Gamma_{\text{res}} \subset F(X)$, and the triple lines form a finite subset $V_{III} \subset \Gamma_{\text{res}} \cap \Gamma_{II} \subset F(X)$.

2.5.2. Proposition. *The spectral curves S is non-singular if and only if $l \notin \Gamma_{\text{res}}$.*

Proof. Straightforward from definition of Γ_{res} and Proposition 2.3.1. \square

2.6. The spectral covering via theta-conics. Consider a non-singular cubic threefold X and a line $l \subset X$ that is neither multiple nor residual, and so the spectral curve S and the theta-conic Θ are non-singular. The set of lines on X that intersect l form a curve $\tilde{S} \subset F(X)$ and its projection $p_S: \tilde{S} \rightarrow S$ induced by the conic bundle π_l is clearly a double covering that will be called the *spectral covering* (*cf.*, Subsection 2.1).

On the other hand, we consider the pull-back $S_{E_l} = \pi_{E_l}^{-1}(S)$ where $\pi_{E_l}: E_l \rightarrow P^2$ is the double covering branched along Θ (*cf.*, Subsection 2.4). This pull-back curve has $\pi_{E_l}^{-1}(S \cap \Theta)$ as the singular locus. Namely, for a point $x \in S \cap \Theta$, with the local intersection index $\text{ind}_x(S, \Theta)$ being $2k$, the pull-back S_{E_l} has at the point of $\pi_{E_l}^{-1}(x)$ two local branches that intersect with the local intersection index k .

Note also that for any line $l' \in \tilde{S}$, its proper image in X_l intersects the exceptional divisor $E_l \subset X_l$ at a point of S_{E_l} , which yields a map $g_l: \tilde{S} \rightarrow S_{E_l}$. Non-singularity of \tilde{S} implies the following.

2.6.1. Proposition. *The spectral covering $\tilde{S} \rightarrow S$ is the composition $\pi_{E_l} \circ g_l$ where $g_l: \tilde{S} \rightarrow S_{E_l}$ coincides with the normalization map and $\pi_{E_l}: E_l \rightarrow P^2$ is the double covering of P^2 branched along Θ . \square*

2.7. The case of real pairs (X, l) . If a cubic threefold X and line $l \subset X$ are real, then the associated spectral quintic S and theta-conic Θ are also real. In the most of constructions we assume that X and S are non-singular, then $\Theta_{\mathbb{R}} \neq \emptyset$ may be either (1) non-singular or (2) split into a pair of distinct lines, which can be either (2a) both real, or (2b) form an imaginary complex-conjugate pair. By a *region bounded by $\Theta_{\mathbb{R}}$* we mean the closure of a connected component of $\mathbb{R}P^2 \setminus \Theta_{\mathbb{R}}$; there are two such regions in the cases (1) and (2a) and one region in the case (2b). A non-singular quintic $S_{\mathbb{R}}$ contains always a one-sided connected component,

$J \subset \mathbb{R}P^2$, and may have in addition, a few two-sided components, called *ovals*. Since conic Θ has with S even local intersection indices at the common point, each connected component of $S_{\mathbb{R}}$ is contained precisely in one region bounded by $\Theta_{\mathbb{R}}$, and the region containing J -component is denoted by F_{Θ}^+ and called the *exterior* of $\Theta_{\mathbb{R}}$, while the other region (non-empty in the cases (1) and (2a)) is denoted by F_{Θ}^- and called the *interior* of $\Theta_{\mathbb{R}}$.

We say that an oval of S is *visible* (respectively, *invisible*) if it lies in the exterior (respectively, interior) of $\Theta_{\mathbb{R}}$. Let $W_S^+ = \pi_1(X_{l\mathbb{R}}) \subset \mathbb{R}P^2$ and $W_S^- = \text{Cl}(\mathbb{R}P^2 \setminus W_S^+)$.

2.7.1. Proposition. *If X is a real non-singular cubic threefold and $l \in F_{\mathbb{R}}(X) \setminus \Gamma_{res}$, then W_S^+, W_S^- are compact surfaces with common boundary $W_S^+ \cap W_S^-$ which is the union of invisible ovals.*

Proof. By Proposition 2.5.2, the spectral curve S is non-singular. According to Proposition 2.1.1, a point $s \in \mathbb{R}P^2 \setminus S_{\mathbb{R}}$ is an interior point of W_S^+ (respectively, W_S^-) if the real part of the residual conic r_s is a topological circle (respectively, empty), while for s passing from one side of $S_{\mathbb{R}}$ to another the conic r_s experiences a Morse transformation. The latter implies that a point $s \in S_{\mathbb{R}}$ is an interior point of W_S^+ (respectively, belongs $W_S^+ \cap W_S^-$) if r_s is a pair of real lines (respectively, a pair of imaginary lines). Thus, it remains to notice that, for s belonging to the J -component, the conic r_s is a pair of real lines, since the J -component being one-sided, the topology of the real locus of the residual conics is the same on the both sides of this component. \square

3. THETA-CHARACTERISTICS AND THE SPECTRAL CORRESPONDENCE

3.1. Theta-conics and theta-characteristics. Recall that a theta-characteristic on a smooth projective curve S over \mathbb{C} is defined as the isomorphism class of pairs $\theta = (L, \phi)$ formed by a line bundle L and a quadratic map $\phi: L \rightarrow K_S$ to the canonical bundle K_S that provides an isomorphism $L^{\otimes 2} \cong K_S$ (in other words, that are solutions of equation $2D = K_S$ in the divisor class group).

Since the quadratic map $L \rightarrow K_S$ can be viewed as a double covering between the associated $U(1)$ -bundles, theta-characteristics can be seen as Spin-structures in the general context of Spin-manifolds (see [At], [Mum]). There is also a well-known bijection between the set of theta-characteristics on S and the set of quadratic extensions of the intersection index form on $H_1(S; \mathbb{Z}/2)$, that is the set $\text{Quadr}(S)$ of functions $q: H_1(S; \mathbb{Z}/2) \rightarrow \mathbb{Z}/2$ satisfying the relation $q(x+y) = q(x) + q(y) + xy$ for all $x, y \in H_1(S; \mathbb{Z}/2)$, where $xy \in \mathbb{Z}/2$ is the intersection index of x and y . Possibility to identify these three objects – theta-characteristics, Spin-structures, and quadratic functions – will let us easily switch from one language to another.

Curves endowed with a theta-characteristic are called *Spin-curves*, and the set of all the theta-characteristics for a given S is denoted $\Theta(S)$. A theta-characteristic $\theta \in \Theta(S)$ can be even or odd, depending on the parity of $h^0(\theta) = \dim H^0(L)$, and we denote by $\Theta_0(S)$ and $\Theta_1(S)$ the sets of even and odd theta-characteristics. In terms of quadratic functions the parity coincides with the *Arf-invariant*.

The set $\Theta(S)$ has a natural free transitive action of $H^1(S; \mathbb{Z}/2)$: in terms of quadratic functions an element $h^* \in H^1(S; \mathbb{Z}/2)$ acts on $q \in \text{Quadr}(S)$ by addition $q \mapsto q + h^*$, if h^* is interpreted as a linear function $h^*: H_1(S; \mathbb{Z}/2) \rightarrow \mathbb{Z}/2$. By the difference $\theta_1 - \theta_2$ of $\theta_1, \theta_2 \in \Theta(S)$ (or equivalently, the difference $q_1 - q_2$ of $q_1, q_2 \in \text{Quadr}(S)$) we mean the class $h^* \in H^1(S; \mathbb{Z}/2)$ such that $\theta_1 = \theta_2 + h^*$

(respectively, $q_1 = q_2 + h^*$). Such a *difference class* defines the *difference double covering* $\tilde{S} \rightarrow S$. When θ_1, θ_2 are represented by divisor classes D_1, D_2 (with $2D_1 = 2D_2 = K_S$) this difference class h^* is Poincaré dual to $h \in H_1(S, \mathbb{Z}/2)$ represented by the image $[D_1 - D_2]$ of the order two divisor class $D_1 - D_2$ under Abel-Jacobi map.

A real structure $\text{conj}: S \rightarrow S$ on a curve S induces an involution $c_\Theta: \Theta(S) \rightarrow \Theta(S)$ on the set of its theta-characteristics, and we call $\theta \in \Theta(S)$ *real* if it is a fixed point of c_Θ , and use notation $\Theta_{\mathbb{R}}(S) = \text{Fix}(c_\Theta)$ for the set of all real θ . In terms of the quadratic function q_θ , this is equivalent to $q_\theta(x) = q_\theta(c(x))$, for all $x \in H_1(S)$, where c denotes $\text{conj}_*: H_1(S) \rightarrow H_1(S)$.

As is known (see, for example, [At]), for real curves S with non-empty $S_{\mathbb{R}}$ a theta-characteristic θ is real if and only if there exists an antiholomorphic involution $\theta \rightarrow \theta$ which lifts $\text{conj}_*: S \rightarrow S$.

Plane curves S of an odd degree d carry a special theta-characteristic, $\theta_0 = \theta_0(S)$, traced on S by curves of degree $\frac{d-3}{2}$. If S is real, then θ_0 is real too. In the language of quadratic functions this theta-characteristic was introduced by Rokhlin [Ro], who also observed that q_0 takes value 1 on every vanishing cycle of S and its parity is $\frac{1}{8}(d^2 - 1) \pmod{2}$. We name θ_0 *Rokhlin's theta-characteristic* and denote $\Theta_1(S) \setminus \{q_0\}$ by $\Theta_1^*(S)$. If S is real, then $\Theta_{\mathbb{R}1}^*(S)$ states for $\Theta_{\mathbb{R}}(S) \cap \Theta_1^*(S)$.

For $h \in H_1(S; \mathbb{Z}/2)$, we let $q_h = q_0 + h^*$, $\theta_h = \theta_0 + h^*$ where h^* is the Poincaré dual to h , and conclude that $h \mapsto q_h \mapsto \theta_h$ identifies $H_1(S; \mathbb{Z}/2)$ with $\text{Quadr}(S)$ and $\Theta(S)$. We say that h^* is the *difference class associated with the theta-characteristic* $\theta = \theta_h$.

3.2. Spectral theta-characteristic. Given a non-singular plane quintic S , a conic Θ is said to be *contact to S* if Θ is reduced and at every common points $s \in S \cap \Theta$ the local intersection index $\text{ind}_s(S, \Theta)$ is even. To each conic Θ contact to S we associate its *contact divisor* on S defined as the half of the intersection divisor $S \cdot \Theta$. Each contact divisor is a theta-characteristic, since $S \cdot \Theta$ represents the canonical divisor class of S .

3.2.1. Proposition. *For any non-singular quintic S , the correspondence defined by taking the contact divisor of a contact conic gives a bijection between $\Theta_1^*(S)$ and the set of contact conics to S . If S is real, this correspondence gives also a bijection between $\Theta_{\mathbb{R}1}^*(S)$ and the set of real contact conics.*

Proof. According to [B2] Proposition 4.2, a theta-characteristic $\theta \in \Theta_1^*(S)$ does correspond to a contact conic, if $h^0(\theta) = 1$. Hence, to prove the first statement it is sufficient to show that two distinct contact divisors can not be linear equivalent on S . Let us assume on the contrary that two distinct contact divisors belong to the same divisor class, that is provide two distinct sections of the same square root θ of the canonical bundle of S . By definition of contact divisors, the contact conics are reduced. Hence, the linear combinations of the given sections provide, by squaring, a local one-parameter family of equisingular reduced conics Θ_t having the same number of tangencies with S such that the order of tangencies is preserved in the family. Then, applying Gudkov-Shustin inequality to this family (see [GS], Theorem 2) we get a bound

$$\Theta_s \circ \Theta_t \geq \sum (2m_i - 1),$$

which leads to a contradiction, since $\Theta_s \circ \Theta_t = 4$ but $\sum (2m_i - 1) \geq 10 - 5 = 5$.

Since the real part of a real quintic is always non empty, for each $\theta \in \Theta_{\mathbb{R}1}^*(S)$ there exists an antiholomorphic involution $\theta \rightarrow \theta$ which lifts $\text{conj}_* : S \rightarrow S$, and therefore the second statement follows from the first one. \square

3.2.2. Proposition. *Let S and Θ be the spectral quintic and the theta-conic associated with a line l on a non-singular cubic threefold X . Assume that $l \notin \Gamma_{\text{res}}$. Then the difference class $h^* \in H^1(S; \mathbb{Z}/2)$ associated with the theta-characteristic θ defined by Θ is non-zero. The difference double covering $\tilde{S} \rightarrow S$ defined by the difference class h^* is non-trivial and coincides with the spectral covering associated to (X, l) .*

Proof. The first statement follows from $\theta \in \Theta_1^*(S)$ (see Proposition 3.2.1). Since, by definition, the difference class h^* associated with the theta-characteristic θ defined by Θ (that is $h^* = \theta - \theta_0$) is Poincaré dual to $h = [D - H]$, where D is the contact divisor and H states for a hyperplane (*i.e.*, line) section, the second statement is a straightforward consequence of the first one and Proposition 2.6.1. \square

The odd theta-characteristic $\theta \in \Theta_1^*(S)$ corresponding to Θ will be called the *spectral theta-characteristic*.

3.3. Recovery of (X, l) from S and Θ . The following result belongs essentially to F. P. White [Wh].

3.3.1. Theorem. *Given a non-singular quintic $S \subset P^2$ and a conic $\Theta \subset P^2$ contact to S , there exists a non-singular cubic threefold X and a line $l \subset X$ whose associated spectral curve is S and the theta-conic is Θ . Such a pair (X, l) is unique up to a projective equivalence.*

If S and Θ are real, then X and l can be chosen real, and they are defined uniquely up to a real projective equivalence.

Proof. Over \mathbb{C} , the existence statement is proved in [Wh] by an explicit construction of the polynomials $L_{ij}, Q_i, i, j \in \{1, 2\}$, and C of degrees 1, 2, 3 respectively, such that conic Θ and quintic S are given by equations (2.2.4) and (2.2.3) (a modern proof is given in [B2]). If S and Θ are real, then by choosing the auxiliary lines and auxiliary collections of points involved in this construction to be invariant under the complex conjugation one makes the above polynomials, and hence the above equations, real. The absence of singular points on the cubics X constructed in this way follows from Lemma 2.2.5.

The uniqueness statement is also well known, see, for example, Appendix C in [CG]. Unfortunately, the proof is not explicit there, and we could not find any complete one elsewhere. The proof that we give below is different (although should be known to experts) and can be easier adapted to the real setting.

Let S and Θ be the spectral quintic and the spectral conic associated with a real line l on a real non-singular cubic threefold $X \subset P^4$. We assume, like in the statement, that S is non-singular and show how to reconstruct the pair (X, l) from (S, Θ) canonically up to real projective equivalence.

Recall, first, that for each $s \in S$ the projective plane P_s generated by s and l intersects X along $l + l'_s + l''_s$ where $l, l'_s,$ and l''_s are three distinct lines unless Θ is singular and s is its node: in this exceptional case l is equal to one of l'_s, l''_s . Consider the mapping $\phi : S \rightarrow P^4$ that sends $s \in S$ to the intersection point $l'_s \cap l''_s \in P^4$. It is well-known to be an embedding associated with the linear system $|L + D|$, where L stands for sections of S by the lines in $P^2 \supset S$ and D is the contact divisor,

$D = \frac{1}{2}(S \cdot \Theta)$ cf., [B1], Remarque 6.27. So, the pair (S, Θ) determines the curve $\phi(S)$ up to real projective equivalence. Furthermore, since $l'_s \cap l''_s \in l$ if and only if $s \in S \cap \Theta$, we can also reconstruct the line l from $\phi(S)$ as the unique line passing through $\phi(D)$.

Next, we consider the projection $\pi_{E_l} : E_l \rightarrow P^2$ given in Proposition 2.4.2. In the case of non-singular Θ , it identifies the line l with Θ so that the two points, $t' = l \cap l'_s$ and $t'' = l \cap l''_s$, coincide with the tangency points to Θ of the two tangent lines through the point s . If the conic Θ has a node at s_0 , then E_l can be obtained by blowing up $\hat{P}^2 \rightarrow P^2$ at s_0 and then taking the double covering $E_l \rightarrow \hat{P}^2$ branched along the proper image of Θ . The line l is then identified with the (-2) -curve $C_2 \subset E_l$ that covers the exceptional (-1) -curve $C_1 \subset \hat{P}^2$, and the points $t', t'' \in l$ become represented by the inverse image under the covering $C_2 \rightarrow C_1$ of the point corresponding to the line s_0s .

Thus, we have reconstructed the lines $l'_s, l''_s \subset X$ for each generic $s \in S$. Finally, we can conclude that this collection of lines determines the whole X , because it determines all its generic hyperplane sections passing through l as it follows from Schläfli's theorem [Sch]: given five skew lines in P^3 and a line intersecting them all, there exists a unique cubic surface containing these six lines. \square

3.4. Deformation class $[S]$ as a real deformation invariant of (X, l) . Consider the variety \mathcal{C} formed by pairs (X, l) where $X \subset P^4$ is a non-singular cubic 3-fold and l is a line on it, as well as its two hypersurfaces

$$\Delta_{\mathcal{C}}^{\text{res}} = \{(X, l) \in \mathcal{C} \mid l \in \Gamma_{\text{res}}(X)\}, \quad \Delta_{\mathcal{C}}^{\text{II}} = \{(X, l) \in \mathcal{C} \mid l \in \Gamma_{\text{II}}(X)\}$$

which are responsible for the associated spectral curve and theta-conic being singular. Put $\mathcal{C}^* = \mathcal{C} \setminus \Delta_{\mathcal{C}}^{\text{res}}$.

In addition, consider the variety \mathcal{S} formed by pairs (S, Θ) where $S \subset P^2$ is a non-singular quintic and Θ is a conic contact to S .

The *spectral correspondence* associates to $(X, l) \in \mathcal{C}^*$ its spectral curve and theta-conic, that is a pair $(S, \Theta) \in \mathcal{S}$. The latter pair depends of course on the choice of a plane P^2 chosen as the target of the central projection from l . So, it is natural to pass to the varieties of projective classes (moduli spaces), and to speak of the spectral correspondence as a well-defined regular morphism between $\mathcal{C}^*/PGL(5; \mathbb{C})$ and $\mathcal{S}/PGL(3; \mathbb{C})$. This morphism is defined over the reals. According to Theorem 3.3.1, both morphisms, $\Phi : \mathcal{C}^*/PGL(5; \mathbb{C}) \rightarrow \mathcal{S}/PGL(3; \mathbb{C})$ and $\Phi_{\mathbb{R}} : \mathcal{C}_{\mathbb{R}}^*/PGL(5; \mathbb{R}) \rightarrow \mathcal{S}_{\mathbb{R}}/PGL(3; \mathbb{R})$, are bijective (in fact, the both are isomorphisms, cf., Subsection 10.1).

The rest of this section is devoted to proving the following result.

3.4.1. Proposition. *If $(X_i, l_i) \in \mathcal{C}_{\mathbb{R}}^*$, $i = 0, 1$, are real deformation equivalent (can be connected by a path in $\mathcal{C}_{\mathbb{R}}^*$) and $(S_i, \Theta_i) \in \Phi_{\mathbb{R}}[(X_i, l_i)]$, $i = 0, 1$, then S_i are also real deformation equivalent (can be connected by a path in $\mathcal{C}_{\mathbb{R}}^{5,1} \setminus \Delta_{\mathbb{R}}^{5,1}$).*

First, we introduce and analyze codimension in \mathcal{C} of the following subvarieties:

$$\Delta_{\mathcal{C}}^{\text{III}} = \{(X, l) \in \mathcal{C} \mid l \in V_{\text{III}}(X)\} \quad (\text{where } V_{\text{III}}(X) \text{ is defined in Subsection 2.5)}$$

that is responsible for S and Θ sharing a common nodal point, and

$$\Delta_{\mathcal{C}}^{\text{split}} = \{(X, l) \in \mathcal{C} \mid S \text{ and } \Theta \text{ have a common irreducible component}\}.$$

3.4.2. Lemma. *The codimension of the varieties $\Delta_{\mathcal{C}}^{III}$ and $\Delta_{\mathcal{C}}^{\text{split}}$ in \mathcal{C} is ≥ 3 .*

Proof. If (X, l) belongs to $\Delta_{\mathcal{C}}^{III}$ or $\Delta_{\mathcal{C}}^{\text{split}}$ then either Θ splits into two lines or Θ is an irreducible component of S . Indeed, if Θ belongs to $\Delta_{\mathcal{C}}^{III}$, then Θ and S have a common node (see Proposition 2.3.1(3)), and in particular, Θ is reducible.

Consider first the case of Θ splitting into a pair of lines. Let us choose a coordinate system $[x:y:z:u:v]$ in P^4 so that the line l is given by equations $x = y = z = 0$ and the conic Θ in P^2 with coordinates $[x:y:z]$ by equation $xy = 0$. Then, after a suitable linear coordinate change in u and v , the defining minor (2.2.4) of the fundamental matrix (2.2.2) of X can be transformed into

$$\begin{pmatrix} L_{11} & L_{12} \\ L_{12} & L_{22} \end{pmatrix} = \begin{pmatrix} x & 0 \\ 0 & y \end{pmatrix}.$$

Thereby, in the affine chart (x, y) in P^2 the linear part of the polynomial (2.2.3) defining S is equal to $-(xQ_2^{zz} + yQ_1^{zz})$, where Q_i^{zz} is the coefficient of Q_i , $i = 1, 2$, at z^2 . So, S is singular at $(x, y) = (0, 0)$ if and only if $Q_1^{zz} = Q_2^{zz} = 0$. These two conditions along with the third condition of nodality of Θ (that is a linear dependence of the three linear forms L_{ij}) are independent, and thus we conclude that $\Delta_{\mathcal{C}}^{III}$ has codimension 3.

If S and Θ have a common line-component, for instance in the above coordinates, S contains line $x = 0$, then the summand yQ_1^2 in the determinant equation of S must be divisible by x , which means vanishing of the three coefficients Q_1^{yy} , Q_1^{yz} , and Q_1^{zz} in the monomials that do not contain x . Independence of these three coefficients implies that $\Delta_{\mathcal{C}}^{\text{split}}$ has codimension ≥ 3 (in fact, 4 because of an extra condition of reducibility of Θ) in the case of a common line component.

At last, we consider the case of Θ being an irreducible component of S . Then, by a coordinate change the theta-conic minor (2.2.4) can be transformed into

$$\begin{pmatrix} L_{11} & L_{12} \\ L_{12} & L_{22} \end{pmatrix} = \begin{pmatrix} x & z \\ z & y \end{pmatrix}.$$

Whereafter vanishing of $\det A_s$ on $\Theta = \{xy - z^2\}$ is equivalent to vanishing of $2zQ_{13}Q_{23} - xQ_{23}^2 - yQ_{13}^2$. To conclude the proof it is sufficient to notice that already vanishing of it at the points $(1, 0, 0)$, $(0, 1, 0)$, and $(1, 1, 1)$ imposes 3 independent conditions. \square

Lemma 3.4.2 implies in particular that a generic path in the real locus $\mathcal{C}_{\mathbb{R}}$ does not intersect $\Delta_{\mathcal{C}_{\mathbb{R}}}^{III} \cup \Delta_{\mathcal{C}_{\mathbb{R}}}^{\text{split}}$. We let

$$\mathcal{C}_{\mathbb{R}}^{**} = \mathcal{C}_{\mathbb{R}} \setminus (\Delta_{\mathcal{C}_{\mathbb{R}}}^{III} \cup \Delta_{\mathcal{C}_{\mathbb{R}}}^{\text{split}}).$$

3.4.3. Lemma. *Let $\{(X_t, l_t)\}_{t \in [0,1]}$ be a path in $\mathcal{C}_{\mathbb{R}}^{**}$ that intersects $\Delta_{\mathcal{C}_{\mathbb{R}}}^{\text{res}}$ just once, at $0 < t = t_0 < 1$, and not at a point of $\Delta_{\mathcal{C}}^{II}$, and let $(S'_i, \Theta'_i) \in \Phi_{\mathbb{R}}[(X_i, l_i)]$, $i = 0, 1$. Then S'_0 and S'_1 are non-singular and real deformation equivalent.*

Proof. Let us choose continuously varying with t projective coordinates $(x_t, y_t, z_t, u_t, v_t)$ in P^4 so that l_t is defined by equations $x_t = y_t = z_t = 0$. It associates with $\{(X_t, l_t)\}_{t \in [0,1]}$ a well defined path (S_t, Θ_t) in $\mathcal{S}_{\mathbb{R}}$. Connectedness of $PGL(3; \mathbb{R})$ allows us to adjust the path so that $S_0 = S'_0$ and $S_1 = S'_1$.

By assumption, and according to Propositions 2.2.6 and 2.3.1, the quintic S_{t_0} is nodal, the other quintics S_t in the family are non-singular, and the conic Θ_{t_0} is smooth at the nodes of S_{t_0} . We need to justify that for each node of S_{t_0} the topological type of smoothing for $t < t_0$ and for $t > t_0$ is the same.

In fact, if a node of S_{t_0} is cross-like, then smoothness of Θ_{t_0} and the properties (3)–(4) from Proposition 2.2.6 imply that Θ_{t_0} has an odd local intersection index with each of the real branches of S_{t_0} at the node. This forbids the topological type of smoothing shown on Figure 2, since it generates real points of odd intersection index between S_t and Θ_t near the node, for $t \neq t_0$ close to t_0 .

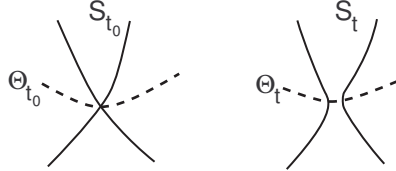


FIGURE 2. Forbidden smoothing

If a node is solitary, then at such a node the local intersection index $\text{ind}_x(S_{t_0}, \Theta_{t_0})$ is equal to 2. Thus, in a small neighborhood of the node, for $t \neq t_0$ sufficiently close to t_0 , the curves S_t, Θ_t have one and only one tangency point. Hence, this point is real, which allows only the birth-of-an-oval type of smoothing both for $t < t_0$ and for $t > t_0$. \square

One can say that the path $\{S_t\}$ appearing in the proof of this Lemma is just a return back after touching the discriminant (see Figure 2).

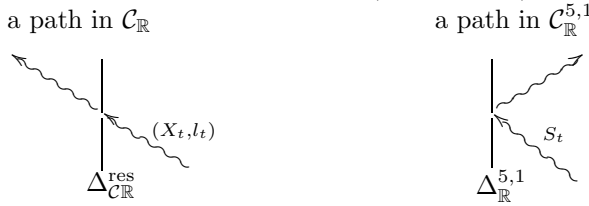


FIGURE 3. Crossing of the walls in $\mathcal{C}_{\mathbb{R}}$ and in $\mathcal{C}_{\mathbb{R}}^{5,1}$.

Proof of Proposition 3.4.1. Clearly, \mathcal{C} is a non-singular (quasi-projective) variety. Hence, any path (X_t, ℓ_t) , $t \in [0, 1]$, in $\mathcal{C}_{\mathbb{R}}$ with endpoints in $\mathcal{C}_{\mathbb{R}}^*$ can be approximated by a smooth path in $\mathcal{C}_{\mathbb{R}}^{**}$ that intersects $\Delta_{\mathcal{C}_{\mathbb{R}}}^{\text{res}}$ at a finite number of points, none of which belongs to $\Delta_{\mathcal{C}_{\mathbb{R}}}^{\text{I}} \cap \Delta_{\mathcal{C}_{\mathbb{R}}}^{\text{res}}$. By Lemma 3.4.3 the deformation class of the associated spectral quintics remains the same after each crossing. \square

4. SPECTRAL MATCHING CORRESPONDENCE

4.1. Smith discrepancy [DK]. The Smith theory yields the *Smith inequality* $b_*(X_{\mathbb{R}}; \mathbb{Z}/2) \leq b_*(X; \mathbb{Z}/2)$ for any real algebraic variety X and its real locus $X_{\mathbb{R}}$, where $b_*(\square, \mathbb{Z}/2) = \dim_{\mathbb{Z}/2} H_*(\square; \mathbb{Z}/2)$ is the *total $\mathbb{Z}/2$ -Betti number*.

The gap $b_*(X; \mathbb{Z}/2) - b_*(X_{\mathbb{R}}; \mathbb{Z}/2)$ is always even and we call its half $d_X = \frac{1}{2}(b_*(X; \mathbb{Z}/2) - b_*(X_{\mathbb{R}}; \mathbb{Z}/2))$ the *Smith discrepancy of X* . We say that X is an *M-variety* (an M-curve, an M-surface, etc.) if $d_X = 0$, and in the case $d_X > 0$, an *(M - d)-variety*.

4.1.1. Proposition. *For any real non-singular algebraic curve X with $X_{\mathbb{R}} \neq \emptyset$ the Smith discrepancy d_X is equal to the rank of the homomorphism $\text{id}+c$ in $H_1(X; \mathbb{Z}/2)$. For any real non-singular projective n -dimensional hypersurface X of odd degree the Smith discrepancy d_X is equal to the rank of the homomorphism $\text{id}+c$ in $H_n(X; \mathbb{Z}/2)$. \square*

4.2. Klein type [DK]. A real non-singular algebraic variety X is said to be of *Klein type I* if $X_{\mathbb{R}}$ is null-homologous in $H_n(X; \mathbb{Z}/2)$, $n = \dim_{\mathbb{C}}(X)$, and of *Klein type II* otherwise. Note that a non-singular irreducible real algebraic curve X with $X_{\mathbb{R}} \neq \emptyset$ is of type I if and only if $X \setminus X_{\mathbb{R}}$ splits in two components.

One can reformulate this definition in terms of characteristic elements of the linear map $H_n(X; \mathbb{Z}/2) \rightarrow \mathbb{Z}/2$, $x \mapsto x \circ c(x)$, that (due to Poincaré duality) can be represented by a unique homology class $w_c = w_c(X) \in H^n(X; \mathbb{Z}/2)$ such that $x \circ c(x) = x \circ w_c$ for any $x \in H_n(X; \mathbb{Z}/2)$. By famous Arnold's lemma, w_c is given by the $\mathbb{Z}/2$ -fundamental class $[X_{\mathbb{R}}] \in H_n(X; \mathbb{Z}/2)$, which implies the following result.

4.2.1. Proposition. *A real non-singular algebraic variety X is of Klein type I if and only if $w_c(X) = 0$. \square*

4.3. Topological and deformation classification of non-singular real plane quintic curves [Kh],[DK]. The real locus A of a non-singular real plane curve A of odd degree has always one, and only one, one-sided component, which we denote J . The other, two-sided, components (if exist) are called *ovals*. Each oval bounds a disc in $\mathbb{R}P^2$ called its *interior* and the complementary Möbius band called its *exterior*. A pair of ovals is said to be *nested* if one of them contains the other in its interior, otherwise they are called *disjoint*.

The Smith inequality applied to a non-singular real plane quintic says that the number of its ovals is ≤ 6 . We use the code $J \sqcup k$ to refer to the case of k disjoint ovals, and the code $J \sqcup 1\langle 1 \rangle$ for the case of two nested ovals. No other arrangement is possible.

Non-singular real plane quintics form 9 deformation classes. One of the classes is formed by quintics of type $J \sqcup 1\langle 1 \rangle$, quintics in the other 8 classes have no nested ovals. Among them two "twin-classes" refer to quintics with 4 ovals, but in one class the quintics are of Klein type I, and in the other of type II. We use the code $J \sqcup 4_I$ to refer to the first of these classes and code $J \sqcup 4_{II}$ for the second class of quintics. The remaining 6 deformation classes of quintics are distinguished by the number k of ovals and have the codes $J \sqcup k$, $k = 0, 1, 2, 3, 5, 6$. We frequently use J as abbreviation for $J \sqcup 0$, and $J \sqcup 4$ for $J \sqcup 4_{II}$.

There is a simple criterion to distinguish quintics of types $J \sqcup 4_I$ and $J \sqcup 4_{II}$ in terms of convexity of the oval arrangement. Namely, we say that four ovals of a real plane quintic are *not in convex position*, if, for three points $A, B, C \in P_{\mathbb{R}}^2$ chosen in the interior of three distinct ovals between the four, the triangle ABC whose edges do not intersect the J -component, contains the fourth oval in its interior. Otherwise, we say that the four ovals are in *convex position*.

4.3.1. Lemma. [Fi] *A real plane nonsingular quintic having exactly four ovals is of type I, if and only if its four ovals are not in a convex position. \square*

4.4. Topological and deformation classification of non-singular real cubic threefolds [Kr1], [Kr2], [FK].

Non-singular real cubic threefolds form 9 deformation classes. Two of these classes are "twins" in the sense that they are formed by cubics X with the same

topological type of the real locus, $X_{\mathbb{R}} = \mathbb{R}P^3 \#_3(S^1 \times S^2)$. These twins are distinguished by their Klein type, and we denote by C_I^3 that twin-class which has cubics of Klein type I, and reserve notation C_{II}^3 for the other twin-class, with cubics of type II. We use also C^3 as abbreviation for C_{II}^3 .

For the other seven deformation classes of cubics, the topology of $X_{\mathbb{R}}$ determines X up to a real deformation. For five of these deformation classes, $X = \mathbb{R}P^3 \#_k(S^1 \times S^2)$, $k = 0, 1, 2, 4, 5$ and we notate them C^k . There is one deformation class, where the real locus of cubics is disconnected, $X_{\mathbb{R}} = \mathbb{R}P^3 \sqcup S^3$, and we denote it by $C_{I(2)}^1$. The remaining deformation class is called *exotic* and is denoted by C_I^1 , in this case $X_{\mathbb{R}}$ is a Seifert manifold $\Sigma(0; 2, 4, 6)$.

The above classifications are summarized in the first two columns of Table 1 (see also Figure 4).

TABLE 1. REAL CUBIC THREEFOLDS AND THEIR FANO SURFACES

deformation type	real cubic locus $X_{\mathbb{R}}$	real Fano locus $F_{\mathbb{R}}(X)$
$C^k, 0 \leq k \leq 5$	$\mathbb{R}P^3 \#_k(S^1 \times S^2)$	$N_5 \sqcup \binom{k+1}{2} T^2$
C_I^3	$\mathbb{R}P^3 \#_3(S^1 \times S^2)$	$N_5 \sqcup 6T^2$
C_I^1	$\Sigma(0; 2, 4, 6)$	$\mathbb{R}P^2 \sqcup N_6$
$C_{I(2)}^1$	$\mathbb{R}P^3 \sqcup S^3$	N_5

4.5. Topology of real Fano surfaces [Kr3]. For any non-singular real threefold X of type C^k , $0 \leq k \leq 5$, the real locus of the Fano surface, $F_{\mathbb{R}}(X) = N_5 \sqcup \binom{k+1}{2} T^2$, is formed by disjoint union of $\binom{k+1}{2}$ copies of a torus (in particular, no tori for $k = 0$) and a non-orientable surface N_5 that is a connected sum of 5 copies of $\mathbb{R}P^2$. For X of type C_I^3 the topological type $F_{\mathbb{R}}(X) = N_5 \sqcup 6T^2$ is the same as for cubics of type C^3 . The case of type C_I^1 is the only one where the Fano surface has two non-orientable components, $F_{\mathbb{R}}(X) = N_6 \sqcup \mathbb{R}P^2$. Finally, $F_{\mathbb{R}}(X) = N_5$ for cubics of type $C_{I(2)}^1$. This classification is summarized in the third column of Table 1.

4.6. Adjacency graphs [Kr1], [Kh]. Our aim here is to relate two adjacency graphs (see their definition in ‘‘Conventions’’ in Section 1): graphs $\Gamma_{5,1}$ and $\Gamma_{3,3}$ related to the plane quintics and cubic threefolds respectively. These two graphs are shown on Figure 4. They are combinatorially isomorphic although their additional decoration with the Smith discrepancies and Klien type, as indicated on this Figure, makes a difference at one vertex. To explain this similarity was actually one of our principal motivations for this research and the matching correspondence theorem stated in the end of this section achieves this goal.

Note that these two examples demonstrate two fundamental properties of the graphs $\Gamma_{d,k}$ that hold for many values of d and k :

- (1) *Smith adjacency*: the endpoints of the edges have Smith discrepancies that differ by 1; in particular, there is no loop-edges;
- (2) *Klein principle*: if one of the endpoints of an edge has Klein type I, then its Smith discrepancy is smaller than for the other endpoint.

4.7. Quadrocubics representing edges of $\Gamma_{3,3}$ [Kr1]. Consider a cubic threefold $X \subset P^4$ with a singular point s , and choose coordinates x, y, z, u, v in P^4 so that s acquires the coordinates $(0, 0, 0, 0, 1)$. Then X is defined by equation

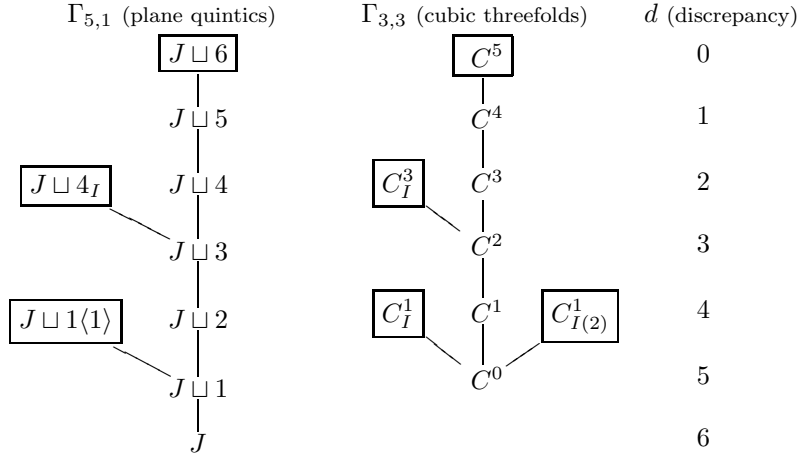


FIGURE 4. Adjacency graphs (vertices of type I are boxed).

$f_3 + v f_2 = 0$ where f_2, f_3 are homogeneous polynomials in x, y, z, u of degree 2 and 3 respectively.

The intersection A of the quadric $f_2 = 0$ with the cubic $f_3 = 0$ in the local projective 3-space, P^3 , centered at s describes the locus of lines contained in X and passing through s , we call A the *quadrocubic associated with s* . If X and \mathfrak{s} are real, then A is real also, so that this correspondence provides a well defined map from the space of real projective classes of real pairs (X, \mathfrak{s}) where X is a real cubic threefold with a chosen real node \mathfrak{s} to the space of real projective classes of real pairs (Q, A) where Q is a real non-singular quadric surface in P^3 and A is a real curve traced on Q by a real cubic surface.

4.7.1. Proposition. *The map defined above is an isomorphism.*

4.7.2. Lemma. *Assume that real cubic threefolds X_0 and X_1 have a common node \mathfrak{s}_0 and that at this node both cubics have the same local quadric and the same quadrocubic. Then there exists a continuous family of real projective transformations $T_t: P^4 \rightarrow P^4$, $t \in [0, 1]$, such that $T_0 = \text{id}$, $T_1(X_0) = X_1$, and $T_t(\mathfrak{s}_0) = \mathfrak{s}_0$ for each $t \in [0, 1]$.*

Proof. Consider a real affine chart centered at \mathfrak{s}_0 . The degree 3 real affine equations of X_0 and X_1 can be chosen then in the form $f_2 + f_3 = 0$ for X_0 and $f_2 + (c f_3 + f_1 f_2) = 0$ for X_1 , where $c \neq 0$ is a real constant and f_1, f_2, f_3 are real homogeneous equations in 4 variables of degrees 1, 2, 3 respectively. Affine homotheties include X_0 into a continuous family of cubics $f_2 + c f_3 = 0$, with $c > 0$. Alternation of the sign of c is equivalent to a simultaneous alternation of sign for all the affine coordinates, which can be achieved by a continuous family of rotations with center in \mathfrak{s}_0 . So, it is left to eliminate the term $f_1 f_3$ by replacing the affine chart in a way that $1 + f_1 = 0$ becomes “the infinity hyperplane”, which can be done via a continuous family of real projective transformations. \square

Proof of Proposition 4.7.1. Straightforward consequence of Lemma 4.7.2. \square

If X is a one-nodal cubic, then the quadrocubic A is non-singular, it has genus 4, and its embedding $A \subset P^3_s$ is canonical. Conversely, for any complex non-singular

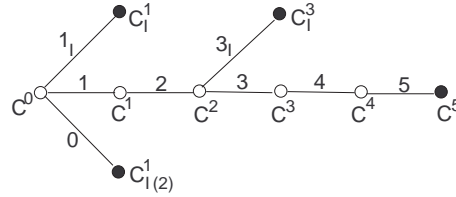
not hyperelliptic genus 4 projective curve A , its canonical embedding presents A as a quadrocubic in P_s^3 (with not necessary degenerate f_2). If A is real, then the latter embedding can be made real and gives an intersection of a real quadric $f_2 = 0$ with a real cubic $f_3 = 0$.

This observation implies that the edges of $\Gamma_{3,3}$ are in one-to-one correspondence with the deformation classes of real genus 4 projective curves (note that in genus 4 hyperelliptic ones form a codimension 2 subvariety, and therefore their removal does not change the set of real deformation classes).

The deformation classification of real non-singular genus g projective curves is well-known: the deformation class of such a curve is detected by the number $0 \leq k \leq g + 1$ of components of its real locus and by its Klein type. In the case of $g = 4$, the Klein type is determined by the number of components for $k \neq 1, 3$: for $k = 5$ the type has to be I (as it is always for M-curves), and for even k the type is II (as it is always if the number of components has the same parity as the genus of the curve). For $k = 1, 3$ both Klein types are realizable, and so, we have 8 deformation classes of real genus 4 real curves in total; they will be denoted by \mathbf{k} and \mathbf{k}_I according to the number k of real components, where subscript “ I ” will be used only for $k = 1, 3$ for the curves of Klein type I.

4.7.3. Theorem. [Kr1] *The edge in $\Gamma_{3,3}$ represented by a quadrocubic of type \mathbf{k} , $1 \leq k \leq 5$ connects the vertices C^{k-1} and C^k . The edge of type $\mathbf{0}$ connects C^0 with $C_{I(2)}^1$. The edges of type \mathbf{k}_I , $k = 1, 3$, connect C^{k-1} with C_I^k . \square*

FIGURE 5. Graph $\Gamma_{3,3}$ with indication of types of edges (marked by \mathbf{k} and \mathbf{k}_I) and vertices (type one classes are shown in black).



Among the two endpoints of the edge of $\Gamma_{3,3}$, the one with the greater value of the Smith discrepancy will be called *ascending* and the one with the lesser value *descending*.

4.7.4. Corollary. *If A is a non-singular quadrocubic with $A_{\mathbb{R}} \neq \emptyset$ and X a real cubic threefold representing the ascending endpoint of the edge of $\Gamma_{3,3}$ given by A , then the Smith discrepancy and the Klein type of A are the same as for X . \square*

4.8. Spectral matching correspondence. By a *matching* we mean a pair of deformation classes $([X], [S])$, where $[X] \in V_{3,3}$ is represented by a non-singular cubic threefold X and $[S] \in V_{5,1}$ by a non-singular plane quintic S . A matching $([X], [S])$ is called *spectral*, if S is the spectral quintic with respect to a real line $l \subset X$ such that $(X, l) \in \mathcal{C}_{\mathbb{R}}^*$, in this case we say that the line l *realizes the matching* $([X], [S])$.

A matching $([X], [S])$ is called *perfect*, if X and S have the same Klein type and same Smith discrepancy, $d_X = d_S$. If $d_X = d_S - 2$, the matching $([X], [S])$ is called *skew*. We say that a skew matching is *type-preserving*, if both X and S are of the

same Klein type, and *mixed* otherwise. A mixed skew matching is called *admissible*, if X is of Klein type I , $X_{\mathbb{R}}$ is connected, and S is of Klein type II .

4.8.1. Theorem. (1) *The spectral matching $([X], [S])$ realized by a line $l \subset X$ is a real deformation invariant of the pair (X, l) .*

(2) *This invariant is complete in the sense that all the pairs (X, l) that give the same spectral matching belong to the same real deformation class.*

(3) *A matching is spectral if and only if it belongs to one of 3 types: perfect, type-preserving skew, or admissible mixed skew.*

Comparison of the graphs $\Gamma_{3,3}$ and $\Gamma_{5,1}$ (see Figure 4) implies immediately that for any $[X] \in V_{3,3}$ there exists precisely one $[S] \in V_{5,1}$ such that $([X], [S])$ is a perfect matching. In particular, there exist precisely $|V_{3,3}| = 9$ such matchings. Note also that there is no any skew matching involving $[X]$ of type C^0 (because $d_S \leq 5$, while $d_X + 2 = 7$), and that in the case of type $C_{I(2)}^1$ the only skew matching is not admissible. In the cases of types C^5 and C_I^3 there exist two skew matchings: one is type-preserving and another is admissible mixed. The remaining 5 deformation classes $[X]$ admit only one skew matching, which is admissible mixed in the case of C_I^1 and type-preserving in the other 4 cases. So, by Theorem 4.8.1 there exist totally $9 + 4 + 5 = 18$ spectral matchings and we obtain the following result.

4.8.2. Corollary. *There exist precisely 18 real deformation classes of pairs (X, l) , where X is a real non-singular cubic threefold and $l \subset X$ is a real line. Namely, they are represented by:*

(1) *9 perfect matchings*

$(C_{I(2)}^1, J \sqcup 1\langle 1 \rangle), (C_I^1, J \sqcup 1\langle 1 \rangle), (C_I^3, J \sqcup 4_I),$ and $(C^{k-1}, J \sqcup k)$ with $1 \leq k \leq 6$;

(2) *3 admissible mixed skew matchings*

$(C_I^1, J), (C_I^3, J \sqcup 2),$ and $(C^5, J \sqcup 4)$;

(3) *and 6 type-preserving skew matchings*

$(C_I^3, J \sqcup 1\langle 1 \rangle), (C^5, J \sqcup 4_I),$ and $(C^{k+1}, J \sqcup k)$ with $0 \leq k \leq 3$. \square

4.9. Proof of Theorem 4.8.1(1). Proposition 3.4.1 implies that $[S]$ is an invariant of pairs $(X, l) \in \mathcal{C}_{\mathbb{R}}^*$ with respect to deformations in the (larger) space $\mathcal{C}_{\mathbb{R}}$, and so the pair $([X], [S])$ is also such an invariant.

4.10. Strategy of proving (2)–(3) of Theorem 4.8.1. Claim (3) of Theorem 4.8.1, that describes the image of the *spectral matching correspondence* that associates a pair $([X], [S])$ to a real deformation class $[X, l]$ of (X, l) , is proved in Subsection 5.8.

Claim (2) is proved in Subsection 5.9 for cubics with $d_X \geq 4$, while the more involved case $d_X \leq 3$ is derived in Section 9 from Theorems 4.11.1 and 4.12.1. In fact, the latter theorems enumerate the deformation classes $[X, l]$ in terms of orbits of the monodromy action on real Fano components and provide, thus, an upper bound on the number of deformation classes. This bound happens to coincide with the lower bound given by claim (3), which ends the proof of claim (2).

4.11. Smith discrepancy in terms of real Fano components. The remaining part of this section is devoted to formulation of two Theorems which develop our main Theorem 4.8.1.

As is known (see Subsection 4.5), the real locus of the Fano surface of any non-singular real cubic threefold X has precisely one connected component with odd Euler characteristic. Namely, this component is homeomorphic to \mathbb{RP}^2 in the case of an exotic cubic and to a connected sum $\#_5\mathbb{RP}^2$ in the other cases. Let us denote this component by $N(X)$ and call it the *odd Fano component*.

4.11.1. Theorem. *Assume that X is a non-singular real cubic threefold, and $l \in F_{\mathbb{R}}(X) \setminus \Gamma_{\text{res}}$. Then:*

- (1) *If $l \in N(X)$, then S has the same Smith discrepancy and the same Klein type as X .*
- (2) *If $l \notin N(X)$, then the Smith discrepancies d_S of S and d_X of X differ by 2:*

$$d_S = d_X + 2.$$

In other words, the perfect spectral matchings (X, S) are realized by $l \in N(X)$, while the skew matchings by $l \in F_{\mathbb{R}}(X) \setminus N(X)$.

	C_1^1	$C_{I(2)}^1$	C^0	C^1	C^2	C^3 or C_I^3	C^4	C^5
$X_{\mathbb{R}}$	$\Sigma(0; 2, 4, 6)$	$\mathbb{RP}^3 \sqcup S^3$	\mathbb{RP}^3	$\mathbb{RP}^3 \# H$	$\mathbb{RP}^3 \# 2H$	$\mathbb{RP}^3 \# 3H$	$\mathbb{RP}^3 \# 4H$	$\mathbb{RP}^3 \# 5H$
$F_{\mathbb{R}}$	$\mathbb{RP}^2 \sqcup N_6$	N_5	N_5	$N_5 \sqcup T^2$	$N_5 \sqcup_3 T^2$	$N_5 \sqcup_6 T^2$	$N_5 \sqcup_{10} T^2$	$N_5 \sqcup_{15} T^2$
$S_{\mathbb{R}}$	$J \sqcup 1\langle 1 \rangle$	$J \sqcup 1\langle 1 \rangle$	$J \sqcup 1$	$J \sqcup 2$	$J \sqcup 3$	$J \sqcup 4$	$J \sqcup 5$	$J \sqcup 6$

4.12. The monodromy. Having chosen a connected component of the variety parametrizing real non-singular cubic threefolds and a particular cubic X as a base point in it, we get a well defined monodromy action homomorphism, μ_X , from the fundamental group of the chosen connected component into the mapping class group $\text{Map}(X_{\mathbb{R}})$. Besides, it yields a homomorphism, μ_F , into the mapping class group $\text{Map}(F_{\mathbb{R}}(X))$, and, in particular, defines an action of the fundamental group on the set of connected components of $F_{\mathbb{R}}(X)$. The image of the latter action is a subgroup G_{mon} of the full permutation group of the components of $F_{\mathbb{R}}(X)$, and we call it the *Fano real component monodromy group*. We say that two components of $F_{\mathbb{R}}(X)$ are *monodromy equivalent* if they can be permuted by G_{mon} .

Let us denote by $\mathcal{T}(X)$ the set of toric components of $F_{\mathbb{R}}(X)$. The next theorem describes the orbits of the action of G_{mon} on the set of toric components, if their number $|\mathcal{T}(X)|$ is ≥ 2 , which is the case for X of deformation types C^k , $k \geq 2$, and C_I^3 . By Theorem 4.11.1, a choice of a line l in $(F_{\mathbb{R}}(X) \setminus N(X)) \setminus \Gamma_{\text{res}}$ provides a mixed matching. So, for cubics X of Klein type I, namely for those of deformation types C^5 and C_I^3 (among the ones with $|\mathcal{T}(X)| \geq 2$), we may speak on two types of toric components: a choice of l on a *torus of type I* provides a type-preserving skew matching (that is a spectral quintic S of type I), while choice on a *torus of type II* yields a mixed skew matching (S is of type II). These two types of tori form a partition of the set $\mathcal{T}(X)$ into two subsets, $\mathcal{T}_I(X)$ and $\mathcal{T}_{II}(X)$.

After completing proving Theorem 4.11.1 in Section 9, we finalize there our proof of the following Theorem 4.12.1.

4.12.1. Theorem. *Let X be a non-singular real cubic threefold. Then:*

- (1) G_{mon} acts transitively on $\mathcal{T}(X)$ unless X has deformation type C^5 or C_I^3 ;
- (2) G_{mon} has two orbits, $\mathcal{T}_I(X)$ and $\mathcal{T}_{II}(X)$, in $\mathcal{T}(X)$ if X is of deformation type C^5 ; $\mathcal{T}_I(X)$ is formed by 6 tori, and $\mathcal{T}_{II}(X)$ by 9 tori;
- (3) G_{mon} has two orbits, $\mathcal{T}_I(X)$ and $\mathcal{T}_{II}(X)$, if X is of deformation type C_I^3 ; each orbit consists of 3 toric components.

The following table indicate explicitly the correspondence between the monodromy orbits of the tori in $F_{\mathbb{R}}(X)$ and the real deformation type of the corresponding spectral quintics (when the quintics are non-singular).

X	C_I^1	C^1	C^2	C_I^3	C_{II}^3	C^3	C^4	C^5	C^5
l	N_6	\mathcal{T}	\mathcal{T}	\mathcal{T}_I	\mathcal{T}_{II}	\mathcal{T}	\mathcal{T}	\mathcal{T}_I	\mathcal{T}_{II}
$S_{\mathbb{R}}$	J_{h_I}	$J_{h_{II}}$	$J \sqcup 1$	$J \sqcup 1\langle 1 \rangle$	$J \sqcup 2_{h_I}$	$J \sqcup 2_{h_{II}}$	$J \sqcup 3$	$J \sqcup 4_I$	$J \sqcup 4_{II}$

Here h_I and h_{II} means the type of the difference class $h = \theta - \theta_0 \in H^1(S; \mathbb{Z}/2)$: type I if h is the characteristic class w_c of involution c , and type II otherwise.

5. COMPARISON OF $X_{\mathbb{R}}$ AND $S_{\mathbb{R}}$

5.1. Homological correspondence. As before, we consider a real non-singular cubic threefold $X \subset P^4$, a real line $l \subset X$, $l \notin \Gamma_{\text{res}}$, the associated spectral curve (a real non-singular plane quintic) S and the theta-conic Θ . Recall that for the spectral double covering $p_S: \tilde{S} \rightarrow S$ (see Subsection 2.6) the curve \tilde{S} is formed by the lines intersecting with l , and that this covering is defined by the *spectral difference class* h^* that is the difference class associated with Θ (see Subsection 3.2).

In what follows, we denote by $h \in H_1(S; \mathbb{Z}/2)$ the Poincaré dual to h^* and by $H_1^h(S; \mathbb{Z}/2)$ the orthogonal complement of h . We equip the quotient $\mathbb{Z}/2$ -vector space $H_1^h(S; \mathbb{Z}/2)/h$ with the induced by the intersection pairing non-degenerate bilinear form.

The involution induced in $H_1(\tilde{S})$ by the deck transformation of the theta-covering is denoted by τ and its eigen-lattice $\text{Ker}(1 + \tau)$ by $H_1^-(\tilde{S})$. The involutions induced by the complex conjugation in $H_3(X; \mathbb{Z}/2)$, $H_1^h(S; \mathbb{Z}/2)/h$, $H_1(\tilde{S})$, and $H_1^-(\tilde{S})$ are denoted by c_X , c_S^h , $c_{\tilde{S}}$, and $c_{\tilde{S}}^-$, respectively.

5.1.1. Theorem. *There exists an isometry $H_3(X; \mathbb{Z}/2) \rightarrow H_1^h(S; \mathbb{Z}/2)/h$ which commutes with the involutions c_X and c_S^h .*

We postpone the proof of this theorem to the end of this subsection.

Let us start from considering the following piece of the Gysin exact sequence

$$H_2(S; \mathbb{Z}/2) \xrightarrow{\cap h^*} H_1(S; \mathbb{Z}/2) \xrightarrow{p_S^*} H_1(\tilde{S}; \mathbb{Z}/2) \xrightarrow{p_{S^*}} H_1(S; \mathbb{Z}/2) \xrightarrow{\cap h^*} H_0(S; \mathbb{Z}/2)$$

where the first and the last group are $\mathbb{Z}/2$, the first map sends the generator of $\mathbb{Z}/2$ to h , and the last map sends $a \in H_1(\tilde{S}; \mathbb{Z}/2)$ to $h^*(a) \in \mathbb{Z}/2$. In addition, consider an *anti-symmetrization homomorphism* $1 - \tau: H_1(\tilde{S}) \rightarrow H_1(\tilde{S})$, $x \mapsto x - \tau x$. We denote by τ_2 the modulo 2 reduction of τ and put $B = \text{Im}(1 + \tau_2) \subset H_1(\tilde{S}; \mathbb{Z}/2)$.

5.1.2. Lemma.

- (1) $\text{Im}(p_S^* \circ p_{S^*}) = B$;
- (2) p_S^* induces an isomorphism $H_1^h(S; \mathbb{Z}/2)/h \rightarrow B$;
- (3) $H_1^-(\tilde{S}) = (1 - \tau)H_1(\tilde{S})$;
- (4) B is the image of $H_1^-(\tilde{S})$ under the modulo 2 reduction homomorphism $H_1(\tilde{S}) \rightarrow H_1(\tilde{S}; \mathbb{Z}/2)$;
- (5) the latter reduction identifies $H_1^-(\tilde{S}) \otimes \mathbb{Z}/2$ with B ;
- (6) all the above isomorphisms are equivariant with respect to complex conjugation involutions.

Proof. Item (1) follows from the identity $1 + \tau_2 = p_S^* \circ p_{S^*}$. The same identity and the exactness of the Gysin sequence at its second and forth terms implies item (2). Items (3) - (5) follow from $(1 - \tau)H_1(\tilde{S}) = B \pmod{2}$ and $\text{rank } B = \text{rank } H_1^-(\tilde{S})$. Item (6) becomes now evident. \square

Due to item (3) in above Lemma, $\frac{1}{2}(a \cdot b)$ is an integer for all $a, b \in H_1^-(\tilde{S})$. Namely, it is equal to $-x \cdot y + x \cdot \tau y$ where $x - \tau x = a, y - \tau y = b$. Thus, taking the residue modulo 2 of $\frac{1}{2}(a \cdot b)$ we obtain a well defined symmetric bilinear form on $H_1^-(\tilde{S}) \otimes \mathbb{Z}/2$. We call it the *Prym pairing*. On the other hand, $x \cdot (y + \tau_2 y) = (x + \tau_2 x) \cdot y$ for all $x, y \in B$ and gives a well defined symmetric bilinear form on B , which we call the *B-pairing*.

5.1.3. Proposition. *The inclusion homomorphism $H_1^-(\tilde{S}) \otimes \mathbb{Z}/2 \rightarrow H_1(\tilde{S}; \mathbb{Z}/2)$ transforms the Prym pairing in $H_1^-(\tilde{S}) \otimes \mathbb{Z}/2$ into the B-pairing in B . The pull-back homomorphism p_S^* transforms the intersection index pairing in $H_1^h(S; \mathbb{Z}/2)/h$ into B-pairing in B .*

Proof. The first part is straightforward from definitions and Lemma 5.1.2(5). The second part follows from Lemma 5.1.2(1-2) and the identities $x \cdot (y + \tau_2 y) = x \cdot (p_S^* \circ p_{S^*})y = (p_{S^*}x) \cdot (p_{S^*}y)$ for all $x, y \in H_1(\tilde{S}; \mathbb{Z}/2)$. \square

Let us recall, finally, that given a 1-cycle in \tilde{S} represented by a loop $\gamma: [0, 1] \rightarrow \tilde{S}$, one can associate with it a 3-cycle traced in X by the lines $\ell(\gamma(t)), t \in [0, 1]$. This yields a so-called *Abel-Jacobi homomorphism* $\Psi: H_1(\tilde{S}) \rightarrow H_3(X)$.

5.1.4. Proposition. *Abel-Jacobi homomorphism Ψ has the following properties:*

- (1) $\Psi(a) \cdot \Psi(b) = a \cdot (\tau_{\tilde{S}}(b) - b) = (\tau_{\tilde{S}}(a) - a) \cdot b$;
- (2) $\text{Ker } \Psi = H_1^+(\tilde{S})$;
- (3) $\text{Im } \Psi = 2H_3(X)$;
- (4) $\frac{1}{2}\Psi$ yields an isometry between $H_1^-(\tilde{S})$ equipped with the pairing $(x, y) \mapsto -\frac{1}{2}x \cdot y$ and $H_3(X)$ equipped with the Poincaré pairing. This isometry is equivariant with respect to $c_{\tilde{S}}$ and c_X .

Proof. For items (1) and (2), see, for example, [T] Lecture 2, §2. Items (3) and (4) follow from (1) and (2). Indeed, since each element in $H_1^-(\tilde{S})$ is of the form $x - \tau x, x \in H_1(\tilde{S})$, (2) implies that $\Psi(H_1^-(\tilde{S})) \subset 2H_3(X)$; finally (1), due to the latter inclusion, implies both (3) and the isometry property. Equivariance is tautological. \square

Proof of Theorem 5.1.1. It is left combine the isometry from Proposition 5.1.4(4) with the isometries from Proposition 5.1.3. \square

5.2. Vanishing classes and bridges. Given a continuous family S_t , $t \in [0, 1]$, of real plane curves with non-singular S_t for $t \in (0, 1]$ and S_0 having only one node as a singularity, we say that S_1 is a *real perturbation* of S_0 and S_0 is a *one-nodal real degeneration* of S_1 . In this case, the so-called *vanishing cycles* can be represented by simple closed curves $\gamma_t \subset S_t$, $t > 0$, invariant with respect to the real structure, and we call them *real vanishing cycles*. If the node is a solitary point, then one kind of its perturbations leads to a birth of an oval and this oval does represent a real vanishing cycle. Another kind of perturbations leads to “disappearing” of the solitary point: in this case γ_t have no real points, and the action of conj on them is modeled by an antipodal map on S^1 . The homology class $v_t \in H_1(S_t; \mathbb{Z}/2)$, $t > 0$, represented by such a γ_t is called a *vanishing oval class* for the first kind of perturbations and a *vanishing invisible class* for the second kind. Clearly, in the both cases $c = \text{conj}_*$ acts identically on v .

A closed curve on $\gamma \subset S_t$ is called a *bridge* if it is conj -invariant and has precisely two real points, or in other words $\gamma = \beta \cup \text{conj}(\beta)$ where β is a path between points of $S_{t\mathbb{R}}$ that pass in $S_t \setminus S_{t\mathbb{R}}$. Their homology classes $v \in H_1(S_t; \mathbb{Z}/2)$, which are also c -invariant, are called *bridge classes*. A special kind of bridges appear after a perturbation of a cross-node on S_0 ; $v \in H_1(S_t; \mathbb{Z}/2)$. These classes are called *vanishing bridge-classes*.

5.3. Subgroups I , K and the characteristic element w_c . Let K and I denote the kernel and the image of the linear endomorphism $\phi_c = 1 + c : V \rightarrow V$, $V = H_1(S; \mathbb{Z}/2)$. Note that $\phi_c^2 = 0$, or equivalently $I \subset K$.

5.3.1. Proposition. *For any real non-singular algebraic curve S , the subgroup $K \subset H_1(S; \mathbb{Z}/2)$ is spanned by the oval-classes and the bridge-classes, provided $S_{\mathbb{R}} \neq \emptyset$.*

Proof. It is equivalent to proving that K is the image of the Smith homomorphism

$$H_1(S/\text{conj}, S_{\mathbb{R}}; \mathbb{Z}/2) \oplus H_1(S_{\mathbb{R}}; \mathbb{Z}/2) \rightarrow H_1(S; \mathbb{Z}/2).$$

The latter is a straightforward well-known consequence of the Smith exact sequence. \square

We denote by $w_c \in V$ the characteristic class of the quadratic form $x \mapsto x \cdot cx$, and, for any class $h \in V$, denote by $h^* \in V^* = H^1(S; \mathbb{Z}/2)$ its Poincaré dual (cf., Subsections 4.2 and 3.1). We put $V_0 = \{x \in V \mid x \cdot cx = 0\}$, $I_0 = \phi_c(V_0)$, $I_1 = I \setminus I_0$, and $d = \text{rank } I$. We call the latter the *discrepancy of c* (cf., Proposition 4.1.1).

5.3.2. Proposition.

- (1) $K = \{h \in V \mid h \cdot x = 0 \text{ for every } x \in I\}$, and so K and I are mutual annihilators.
- (2) $w_c = [S_{\mathbb{R}}] \in I$ and $K \subset V_0$.
- (3) If $w_c \neq 0$, then I_0 is an index 2 subgroup of I .
- (4) $x \cdot cx = y \cdot cy$ if $\phi_c(x) = \phi_c(y)$.
- (5) w_c belongs to I_0 if the discrepancy $d = \text{rank } I$ is even and to I_1 if d is odd.

Proof. Since $(h + ch) \cdot y = h \cdot (y + cy)$, the validity of $h \cdot x = 0$ for all $x = y + cy \in V$ is equivalent to $x = cx$, that is $x \in K$, which proves claim (1).

The first part of claim (2) is a particular case of Arnold lemma (cf., Subsection 4.2). Since $x = cx$ implies that $x \cdot w_c = x \cdot cx = x^2 = 0$, we see that $K \subset V_0$ and w_c annihilates K . Due to claim (1), the latter implies in its turn, that $w_c \in I$.

Since $K \subset V_0$ and thus $I_0 \cong V_0/K$, we obtain claim (3).

Claim (4) follows from $x \cdot cx = x \cdot (x + cx) = x \cdot (y + cy) = (x + cx) \cdot y = (y + cy) \cdot y = y \cdot cy$.

For proving claim (5), we introduce a *residual pairing in I* between $a = x + cx$ and $b = y + cy$ by the formula $\langle a, b \rangle_I = x \cdot b$. It is well defined by (1), symmetric due to the identity $x \cdot (y + cy) = (x + cx) \cdot y$, and clearly non-degenerate. Its characteristic element is w_c because $w_c \in I$ by (2) and $\langle a, a \rangle_I = x \cdot a = x \cdot cx = w_c \cdot x = \langle w_c, a \rangle_I$. It remains to notice that the square of any characteristic element of a non-degenerate $\mathbb{Z}/2$ -valued inner product equals to the rank of the underlying $\mathbb{Z}/2$ -vector space, to represent $w_c \in I$ as $w_c = z + cz$, and to conclude that $\text{rank}(I) = \langle w_c, w_c \rangle_I = z \cdot w_c = z \cdot cz \pmod{2}$. \square

5.4. Comparison of discrepancies d and d' . For $h \in K$, we consider $V' = V^h/h$, equip it with the induced, nondegenerate, pairing and induced involution $c' : V' \rightarrow V'$, and put $K' = \text{Ker}(1 + c')$, $I = \text{Im}(1 + c')$, $d' = \text{rank } I'$.

5.4.1. Proposition.

- (1) If $h \in I_0 \setminus \{0\}$, then $d = d' + 2$;
- (2) if $h \in I_1 \setminus \{0\}$, then $d = d' + 1$;
- (3) if $h \in K \setminus I$, then $d = d'$.

Proof. Consider the restriction $\phi_c|_{V^h} : V^h \rightarrow V^h$. If $h \in I \setminus \{0\}$, then $K \subset V^h$, and $\phi_c(V^h) \subset I = \phi_c(V)$ is a codimension one subspace since $V^h \subset V$ is. In the case (1), we get $h \in \phi_c(V^h)$, so that

$$\dim I' = \dim \phi_c(V^h)/h = \dim \phi_c(V^h) - 1 = \dim I - 2.$$

In the case (2), $h \notin \phi_c(V^h)$ and the projection $V^h \rightarrow V^h/h$ is injective on $\phi_c(V^h)$, so that

$$\dim I' = \dim \phi_{c'}(V^h/h) = \dim \phi_c(V^h) = \dim I - 1.$$

Next, in the case (3), Proposition 5.3.2(1) implies that $K \cap V^h \neq K$, and thus, $V^h/(K \cap V^h) = V/K$ or, in other words, $\phi_c(V^h) = \phi_c(V) = I$. Furthermore, the quotient map $V^h \rightarrow V^h/h$ is injective on $I \cap V^h$, since $h \notin I$, and therefore

$$\dim I' = \dim \phi_c(V^h) = \dim I. \quad \square$$

5.5. The case of real plane quintics. Here, and for the rest of this Section, S is a real non-singular quintic and q_0 its Rokhlin quadratic function. We let also $K_i = \{x \in K \mid q_0(x) = i\}$, $i = 0, 1$.

5.5.1. Proposition.

- (1) A quadratic function $q : H_1(X; \mathbb{Z}/2) \rightarrow \mathbb{Z}/2$ is real if and only if its difference class $h^* = q - q_0 \in H^1(S; \mathbb{Z}/2)$ is real, that is Poincaré-dual to some $h \in K$.
- (2) All real quadratic functions $q : H_1(X; \mathbb{Z}/2) \rightarrow \mathbb{Z}/2$ have the same restriction to I ; this restriction is linear and $q(x) = i$ for any $x \in I_i$, $i = 0, 1$. In particular, $I_i = K_i \cap I$, $i = 0, 1$.
- (3) The Arf-invariant $\text{Arf}(q_0 + h^*) \in \mathbb{Z}/2$ is equal to $q_0(h) + 1$. In particular,

$$\text{Arf}(q_0 + h^*) = \begin{cases} 1 & \text{if } h \in K_0, \\ 0 & \text{if } h \in K_1. \end{cases}$$

Proof. Proof of Item (1) is straightforward from definitions.

The identity $q|_I = (q_0 + h^*)|_I = q_0|_I$ holds for any $h \in K$ because $h^*(x) = h \cdot x = 0$ for any $x \in I$, as it follows from Proposition 5.3.2(1). The other part of item (2) follows from $q(x + cx) = q(x) + q(cx) + x \cdot cx = x \cdot cx \pmod{2}$.

Item (3) follows from two well known facts: a general formula

$$\text{Arf}(q + h^*) = \text{Arf}(q) + q(h), \quad q \in \text{Quadr}(S), h \in H_1(S; \mathbb{Z}/2)$$

that we apply to $q = q_0$, and the fact that $\text{Arf}(q_0) = 1$ in the case of plane quintics. \square

5.5.2. Corollary. *If S is the spectral curve of a non-singular real cubic threefold X with respect to a real line $l \in F_{\mathbb{R}}(X) \setminus \Gamma_{\text{res}}$, then, for the Smith discrepancies d_X and d_S of X and S , respectively, we have two options, depending on the spectral difference class $h^* \in H^1(S; \mathbb{Z}/2)$ dual to $h \in H_1(S; \mathbb{Z}/2)$:*

- (1) $d_S = d_X + 2$, if $h \in I$, that is if $h = x + cx$ for some $x \in H_1(S; \mathbb{Z}/2) \setminus K$;
- (2) $d_S = d_X$ otherwise, that is for any $h \in K \setminus I$.

Proof. Recall, that according to Proposition 4.1.1 the Smith discrepancies d_X and d_S are equal to the rank of the homomorphism $\text{id} + c_*$ in $H_3(X; \mathbb{Z}/2)$ and $H_1(S; \mathbb{Z}/2)$, respectively. Note also that h is always non zero. By Proposition 5.5.1(1), the quadratic function q associated with the spectral theta-characteristics of (X, l) is of the form $q = q_0 + h^*$, where $h \in K$. It remains to apply Proposition 5.4.1 and to notice that its second case, $h \in I_1$, is impossible, since otherwise, by Proposition 5.5.1(3), we get $\text{Arf}(q) = 0$, while that the spectral theta-characteristics are odd, so the Arf-invariant should be equal to 1. \square

5.6. Comparison of Klein types.

5.6.1. Lemma. *In notation of Corollary 5.5.2,*

- (1) if $d_X = d_S$, then the Klein types of X and S are the same;
- (2) if $d_X = d_S - 2$ and S is of Klein type I, then X is of Klein type I too.

Proof. According to Corollary 4.2.1, being of Klein type I for a non-singular real quintic S (respectively, non-singular real cubic threefold X) is equivalent to vanishing of the characteristic element $w_c(S) \in H_1(S; \mathbb{Z}/2)$ (respectively, $w_c(X) \in H_3(X; \mathbb{Z}/2)$). On the other hand, Theorem 5.1.1 says that $H_3(X; \mathbb{Z}/2)$ and $H_1^h(S; \mathbb{Z}/2)/h$ are equivariantly isometric, which implies that $w_c(X)$ is the image of $w_c(S)$ under this isometry. So, vanishing of $w_c(S)$ implies vanishing of $w_c(X)$. In the other direction, if $w_c(X) = 0$ then $w_c(S) \in \{0, h\}$, and since $w_c(S) \in I = \text{Im}(1 + c_S)$ (see Proposition 5.3.2 (2)), the Klein types of S and X must be the same if $d_X = d_S$ (since it is equivalent to $h \in K \setminus I$, see Proposition 5.5.2). \square

5.6.2. Proposition. *Let $l \subset X$ provide a spectral skew matching $([X], [S])$, and let $q = q_0 + h^*$ with $h \in H_1(S; \mathbb{Z}/2)$ be the quadratic function representing the theta-characteristic associated with the pair (X, l) . Then this matching is admissible mixed if $h = w_c$ and type-preserving if $h \neq w_c$.*

Proof. According to Proposition 4.2.1, S is of Klein type I if and only if $w_c = 0$. Similarly, using in addition Theorem 5.1.1, we conclude that X is of the Klein type I if and only if the image of $w_c \in V = H_1(S; \mathbb{Z}/2)$ in the quotient $V^h/h \cong H_3(X; \mathbb{Z}/2)$

vanishes. The latter happens if either $w_c = 0$ or $w_c = h$. Recall also that $h \neq 0$, see Proposition 3.2.2. Thus, we have 3 cases:

- (1) $w_c = 0$, then both S and X have Klein type I and thus, the matching is type-preserving;
- (2) $w_c \neq 0$ and $h \neq w_c$, then both S and X have Klein type II and thus, the matching is also type-preserving;
- (3) $w_c \neq 0$ and $h = w_c$, then S has type II but X has type I and the matching is admissible mixed. \square

5.6.3. Corollary. *The only spectral skew matchings $([X], [S])$ with $[S] = J$ are with $[X] = C^1$ and $[X] = C_I^1$. Namely,*

- (1) $[X] = C_I^1$ if the spectral difference class h^* is dual $h = w_c$,
- (2) $[X] = C^1$ if $h \neq w_c$.

In particular, there is no spectral matching $([X], [S])$ with $[S] = J$ and $[X] = C_{I(2)}^1$.

Proof. For $[S] = J$ we have $d_S = 6$, while by Lemma 5.6.1 d_X must be either 6 or 4. But real cubic threefolds X with $d_X = 6$ do not exist, and X with $d_X = 4$ must be of type C^1 , C_I^1 , or $C_{I(2)}^1$ (see Figure 4).

To exclude the latter, let us consider the conic bundle $\pi_l: X_l \rightarrow P^2$, see Subsection 2.2. For X of type $C_{I(2)}^1$ we have $X_{\mathbb{R}} = S^3 \sqcup \mathbb{R}P^3$, and, thus, the real locus $(X_l)_{\mathbb{R}}$ is also disconnected. On the other hand, the restriction $\pi_l|_{(X_l)_{\mathbb{R}}}$ projects $(X_l)_{\mathbb{R}}$ to $\mathbb{R}P^2$ with connected fibers, they are real conics, and a connected critical locus, that is the only real component of S , which is impossible for a disconnected $(X_l)_{\mathbb{R}}$.

So, it remains to consider the skew matchings (C^1, J) and (C_I^1, J) . By Proposition 5.3.2(5), $w_c \in I_0$, which together with Proposition 5.5.1 implies that $\text{Arf}(q_h) = 1$ if $h = w_c$. Hence, choosing $h = w_c$ we may apply Theorem 3.3.1 to (S, q_h) with $[S] = J$, which provides us with X of type I and $d_X = 4$, which must be of type C_I^1 , since we have already excluded the type $C_{I(2)}^1$. Similarly, any choice of $h \in I_0 \setminus \{0, w_c\}$ gives X of type II and $d_X = 4$, which yields $[X] = C^1$, and it is left to notice that $I_0 \neq \{0, w_c\}$. In fact I_0 is a subgroup of I of index ≤ 2 (as a kernel of q_0 that is linear on I) and $\text{rank } I = 6$. \square

5.7. The values of h and q on the ovals and bridges of S . We say that a connected component $C \subset S_{\mathbb{R}}$ has *even contact with the theta-conic* Θ if the number of tangency points of Θ with C is even and *odd contact* otherwise. Tangency points are counted here with multiplicities: if the local intersection index is $2k$, such a tangency point is counted with multiplicity k .

5.7.1. Proposition. *Consider a real non-singular cubic threefold X and a real line $l \in F_{\mathbb{R}}(X) \setminus \Gamma_{\text{res}}$. Let $q = q_0 + h^*$ and $h \in H_1(S; \mathbb{Z}/2)$ be the corresponding quadratic function and spectral difference class, respectively. Then for each connected component $C \subset S_{\mathbb{R}}$ the following properties are equivalent:*

- (1) C has even contact with Θ ;
- (2) the spectral covering $p_S: \tilde{S} \rightarrow S$ is trivial over C .
- (3) $h \cdot [C] = 0$;
- (4) $q([C]) = \begin{cases} 1 & \text{if } C \text{ is an oval,} \\ 0 & \text{if } C \text{ is the one-sided component.} \end{cases}$

Proof. Equivalence of (1) and (2) follows from Proposition 2.6.1, and of (2) and (3) from Proposition 3.2.2. As it follows immediately from original Rokhlin's definition of q_0 (via the indices of normal vector fields over membranes), q_0 takes value 1 on oval components. If S is of type I, this implies that q_0 is equal to 1 on the one-sided component. It takes the same value on the one-sided component for any real non-singular plane quintic, since (once more according to Rokhlin's definition) the value of q_0 on the one-sided component does not change under any variation of S through real plane quintics without singular points on the one-sided component, Now, equivalence of (3) and (4) follows from that $q = q_0 + h^*$. \square

5.7.2. Proposition. *In notation of Proposition 5.7.1, for any $v = [\gamma] \in H_1(S; \mathbb{Z}/2)$, $cv = v$ represented by some bridge $\gamma \subset S$ the following properties are equivalent:*

- (1) γ connects real points on the components not separated by Θ ;
- (2) the theta-covering $p_S: \tilde{S} \rightarrow S$ is trivial over γ .
- (3) $h^*(v) = h \cdot [\gamma] = 0$;

Proof. Analogous to Proposition 5.7.1. \square

5.7.3. Corollary. *Consider a real non-singular cubic threefold X and a real line $l \in F_{\mathbb{R}}(X) \setminus \Gamma_{\text{res}}$. Then the matching $([X], [S])$ is skew, that is $d_S = d_X + 2$, if and only if the following two conditions are satisfied:*

- (1) every oval of $S_{\mathbb{R}}$ has even contact with Θ ;
- (2) the interior of each oval of $S_{\mathbb{R}}$ does not contain $\Theta_{\mathbb{R}}$ and all the ovals lie in the same connected component of $P_{\mathbb{R}}^2 \setminus \Theta_{\mathbb{R}}$ as $J \setminus \Theta_{\mathbb{R}}$.

Proof. By Corollary 5.5.2(1), the matching is skew if and only if $h \in I$, which according to Proposition 5.3.2 is equivalent to $h^*(K) = 0$. By Proposition 5.3.1, the latter is equivalent to the vanishing of h^* on the oval-classes and bridge-classes.

By Propositions 5.7.1 and 5.7.2, h^* vanishes on an oval-class $[C]$ if and only if C has even contact with Θ , and it vanishes on a bridge-class $[\gamma]$ if and only if the two real points of the bridge are not separated by Θ . Since any oval can be connected by a sequence of bridges with the J-component, these two equivalences imply the statement. \square

5.8. Proof of Theorem 4.8.1(3). The “only if” part follows immediately from Corollary 5.5.2 and Lemma 5.6.1. The proof of “if” part is split into Lemmas 5.8.5 and 5.8.6 below.

5.8.1. Lemma. *Let $S \subset P^2$ be a non-singular real plane quintic and $h \in H_1(S; \mathbb{Z}/2)$ be an element of $K_0 \setminus \{0\}$.*

- (1) *There exist a non-singular real cubic threefold X and a real line $l \in F_{\mathbb{R}}(X) \setminus \Gamma_{\text{res}}$ such that S and h are the spectral curve and the spectral difference class associated to (X, l) .*
- (2) *If $h \in K_0 \setminus I$ then for any such pair (X, l) representing (S, h) the matching $([X], [S])$ is perfect.*
- (3) *If $h \in I_0^* = (K_0 \cap I) \setminus \{0\}$ then for any such pair (X, l) representing (S, h) the matching $([X], [S])$ is skew.*

Proof. (1) By Proposition 5.5.1(3), the theta-characteristic represented by the quadratic function $q = q_0 + h^*$ belongs to $\Theta_{\mathbb{R},1}^*(S)$. Hence, according to Proposition

3.2.1, this theta-characteristic can be represented by a contact conic, and it remains to apply Theorem 3.3.1.

(2) is an immediate consequence of Corollary 5.5.2, while that (3) is an immediate consequence of Corollary 5.5.2 and Lemma 5.6.1(1). \square

5.8.2. Lemma. *Let S be a non-singular real plane quintic.*

- (1) *If $S_{\mathbb{R}}$ has at least one oval, then the set $K_0 \setminus I$ is non-empty.*
- (2) *If $S_{\mathbb{R}}$ has at most four ovals, then the set I_0^* is non-empty.*

Proof. (1) If S has $k \geq 1$ ovals, then $\text{rank } I = d_S = 6 - k$ by Proposition 4.1.1 and then $\text{rank } K = 12 - \text{rank } I = 6 + k$ in accordance with 5.3.2(1). Thus, $K \setminus I$ is non-empty.

Assume now first that $w_c \neq 0$. Then, by Proposition 5.3.2(3) we have $I_1 \neq \emptyset$. Choosing $y \in I_1$ and $x \in K \setminus I$ we deduce from $x + y \in K \setminus I$ and $q_0(x + y) = q_0(x) + q_0(y) = q_0(x) + 1$ that q_0 is not constant on $K \setminus I$ and, hence, $K_0 \setminus I \neq \emptyset$.

If $w_c = 0$, then $k \geq 2$, so that $\text{rank } K/I \geq 4$. Due to Proposition 5.3.2(1), it implies that there exist $x, y \in K \setminus I$ such that $x + y \in K \setminus I$ and $x \cdot y = 0$. Then, for such a pair x, y either $q_0(x)$, or $q_0(y)$, or $q_0(x + y) = q_0(x) + q_0(y)$ is $0 \in \mathbb{Z}/2$, and thus $\{x, y, x + y\} \cap (K_0 \setminus I) \neq \emptyset$.

(2) Since $k \leq 4$ implies $\text{rank } I = 6 - k \geq 2$, the kernel I_0 of q_0 (whose restriction to I is linear) has $\text{rank} \geq 1$, and so $I_0^* = I_0 \setminus \{0\} \neq \emptyset$. \square

5.8.3. Lemma. *Let S be a real non-singular plane quintic of type $J \sqcup 1\langle 1 \rangle$, and let J, O_1 and O_2 denote its one-sided component, the external and the internal oval respectively. Then:*

- (1) *$[J] \in K_0 \setminus I$ and $[O_1], [O_2] \in K_1 \setminus I$;*
- (2) *there exists $h_1 \in K_0 \setminus I$, such that $h_1^*(J) = 1$.*

Proof. Rokhlin's function q_0 takes value 1 on all oval-classes. Hence, we have $q_0(O_1) = q_0(O_2) = 1$, and the identity $[J] + [O_1] + [O_2] = 0 \in H_1(S; \mathbb{Z}/2)$ (S is of Klein type I) implies $q_0(J) = q_0(O_1) + q_0(O_2) + O_1 \circ O_2 = 0$ so, $[O_i] \in K_1$, $i = 1, 2$, and $[J] \in K_0$. To complete proving (1) note that for all bridges b_i , $i = 1, 2$, between J and O_i we have $b_i \circ J = b_i \circ O_i = 1$, which implies that $[J], [O_i] \notin I$, $i = 1, 2$, since bridge classes belong to K , while that I is orthogonal to K (see Proposition 5.3.2(1)).

Existence of a nodal degeneration of S merging components J and O_1 implies that as a bridge b_1 we can take the vanishing class of such a degeneration. Then, $q_0(b_1) = 1$. With such a choice, $h_1 = [b_1] + [O_2]$ satisfies the required properties of (2): $q_0(h_1) = q_0(b_1) + q_0(O_2) + b_1 \circ O_2 = 0$ and $h_1 \circ J = 1$, which implies both $h_1 \notin I$ and $h_1^*(J) = 1$. \square

5.8.4. Lemma. *There exist precisely 3 deformation classes of non-singular real cubic threefolds X that match the class $[S]$ of non-singular real quintics S of type $J \sqcup 1\langle 1 \rangle$. They are as follows:*

- (1) *$[X] = C_7^3$, the matching is skew and type-preserving, the theta conic Θ has even contact with the ovals, the interior of each oval of $S_{\mathbb{R}}$ does not contain $\Theta_{\mathbb{R}}$ and the both ovals are visible.*
- (2) *$[X] = C_{I(2)}^1$, the matching is perfect, the interior of each oval of $S_{\mathbb{R}}$ does not contain $\Theta_{\mathbb{R}}$ and the both ovals are invisible.*
- (3) *$[X] = C_7^1$, the matching is perfect, the displacement of $\Theta_{\mathbb{R}}$ with respect to the ovals is different from those described in (1) and (2).*

Proof. (1) By Proposition 4.1.1, $\text{rank } I = d_S = 4$. Hence, $\text{rank } I_0 \geq 3$ which implies $I_0^* \neq \emptyset$. By Lemma 5.8.1, any $h \in I_0^*$ leads to a pair (X, l) that realizes a skew matching such that h is its spectral difference class. Then, $[X] = C_I^3$ since C_I^3 is the only deformation class that may give a skew spectral matching: it is type-preserving, and admissible mixed ones do not exist at all. The rest of claim (1) follows from Corollary 5.7.3.

The remaining matchings, as in (2) and (3), can be obtained by the following choices of h : $h = [J]$ for (2), and $h = h_1$ from Lemma 5.8.3 for (3).

Indeed, let us consider the real part $\pi_{\mathbb{R}}: X_{\mathbb{R}} \rightarrow P_{\mathbb{R}}^2$ of the conic bundle π_l defined by l . Recall that a residual conic r_s with $s \in P_{\mathbb{R}}^2$ has a non-empty real locus if and only if $s \in \pi_{\mathbb{R}}(X_{\mathbb{R}})$. Since the real locus of any real conic is connected, connectedness of $X_{\mathbb{R}}$ is equivalent to connectedness of $\pi_{\mathbb{R}}(X_{\mathbb{R}})$. By Proposition 2.7.1 the boundary of $\pi_{\mathbb{R}}(X_{\mathbb{R}})$ is formed by the invisible ovals of $S_{\mathbb{R}}$. Thus, in the case $[S] = J \sqcup 1(1)$ the only possibility for W to be disconnected is if the both ovals are invisible, which, according to Proposition 5.7.2, happens for $h = h_0$, and does not happen for $h = h_1$. The rest of claims (2) and (3) follows from Corollary 5.7.3. \square

5.8.5. Lemma. *Any perfect matching is spectral.*

Proof. Given a perfect matching $([X], [S])$, the quintic S should have at least one oval, because quintics without ovals have Smith discrepancy 6, but cubic threefolds X with $d_X = 6$ do not exist. Hence, according to Lemma 5.8.2(1) there exists $h \in K_0 \setminus I$, which leads to a spectral perfect matching $([X'], [S])$ by Proposition 5.8.1(2). The only case in which several perfect matching with the same $[S]$ exist is that of $[S] = J \sqcup 1(1)$. These perfect matchings are spectral due to Proposition 5.8.4. \square

5.8.6. Lemma. *Any type-preserving or admissible mixed skew matching is spectral.*

Proof. For any skew matching $([X], [S])$ we have $d_S = d_X + 2 \geq 2$, so S should have $6 - d_S \leq 4$ ovals. Then by Lemma 5.8.2(2) there exists $h \in I_0^*$, which in its turn produces by Proposition 5.8.1(3) a spectral skew matching $([X'], [S])$. The only cases of several skew matchings with the same $[S]$ are those of $[S] = J$ and $[S] = J \sqcup 2$, see Figure 4.

The case $[S] = J$ is treated in Corollary 5.6.3. Assume that $[S] = J \sqcup 2$. Then, there exist two skew matchings: one is type-preserving and another is admissible mixed. By Proposition 5.3.2(5), $w_c \in I_0$. In addition, $w_c \neq 0$ since S is of Klein type II. Thus, we have two options for the choice of h : $h = w_c$ and $h \in I_0 \setminus \{0, w_c\}$. The second option does exist, since $\text{rank } I_0 \geq \text{rank } I - 1 = d_S - 1 = 3$. Finally, according to Proposition 5.6.2 the first choice gives admissible mixed and the second one gives type-preserving skew matching. So, both matchings are spectral. \square

5.9. Proof of Theorem 4.8.1 in the case $d(X) \geq 4$. Part (1) and part (3) are already proved in Subsections 4.9 and 5.8, respectively. Due to part (3) the number of deformation classes of (X, l) with $d_X \geq 4$ is at least 6 (see Figure 4). On the other hand, due to part (1), this number is bounded from above by the sum of the numbers obtained by counting the number of connected components in a real locus $F_{\mathbb{R}}$ of a Fano surface for each of deformation classes with Smith discrepancy ≥ 4 . The latter is one for each of the deformation types C^0 and $C_{I(2)}^1$, and it is equal to

two for types C^1 and C_I^1 (see Table 1). Thus our upper and lower bounds fit, and the result follows.

6. THE CONORMAL PROJECTION

6.1. Binary codes of lines in a cubic surface. Consider a non-singular cubic surface $Y \subset P^3$ and a line $l \subset Y$. The pencil of planes $\{P_s\}_{s \in P^1}$ in P^3 passing through l defines a conic bundle over P^1 , $\pi_l: Y \rightarrow P^1$, whose fibers are the residual conics $r_s \subset P_s \cap Y$. Its discriminant set, that is the set of points $s \in P^1$ for which the residual conic is singular, consists of 5 distinct points, $\{s_1, \dots, s_5\} \subset P^1$, and for each of them the residual conic splits into a pair of distinct lines, $r_{s_i} = l_i^0 \cup l_i^1$, (see Figure 6) will be called the *spectrum of (Y, l)* .

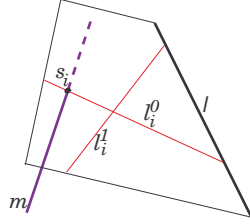


FIGURE 6. One of the five tritangent planes passing through the line l .

In other words, the spectrum specifies the five *tritangent planes* P_s that contain l . Note that a line $m \subset Y$ disjoint from l intersects one of the two lines, l_i^0 or l_i^1 , for $i = 1, \dots, 5$, since $l + l_i^0 + l_i^1$ form a hyperplane section divisor. Moreover, m cannot intersect both lines, since a triple line intersection point on a non-singular cubic surfaces may appear only for a triple of coplanar lines. We denote by $b_i = b_i(m) \in \{0, 1\}$ the corresponding upper index, so that $l_i^{b_i} \cap m \neq \emptyset$ for $i = 1, \dots, 5$, and thus associate to each line $m \subset Y$ disjoint from l its *binary code* $b_1 \dots b_5$. These codes depend on our choice of the lines l_1^0, \dots, l_5^0 , or in other words, on the order of points s_1, \dots, s_5 , and the the order of lines in each pair l_i^0, l_i^1 , and we call such a choice an *l -transversal marking* of (Y, l) .

6.1.1. Proposition. *Among the 27 lines on a non-singular cubic surface Y exactly 16 do not intersect a chosen line $l \subset Y$. For any l -transversal marking of (Y, l) , the binary codes $b_1 \dots b_5$ of these 16 lines are all distinct, and the sum of their bits $b_1 + \dots + b_5$ taken modulo 2 is the same for all these 16 lines.*

Proof. The first statement is contained in the discussion that precedes the definition of binary codes. The Gram determinant of the homology classes $[l], [l_1^0], \dots, [l_5^0] \in H_1(Y)$ is equal to 4. Hence, a combination $a[l] + \sum a_i[l_i^0]$ of these 6 elements with integer coefficients a, a_0, \dots, a_5 is divisible by 2 if and only if $a + \sum a_i = 0 \pmod{2}$. This implies the second statement.

6.2. Real spectrum and truncated codes. Assume now that the non-singular cubic surface Y and the line $l \subset Y$ are real. Then its spectrum $\{s_1, \dots, s_5\} \subset P^1$ is invariant under complex conjugation, namely, contains $c \leq 2$ pairs of conjugate imaginary points and $r = 5 - 2c$ real ones. A real spectral point s_i is said to be of *real crossing* type if the two lines l_i^j , $j = 0, 1$, are real, and of *imaginary crossing*

type if they are conjugate imaginary. Let us denote by r_{re} and r_{im} the number of the real spectral points of corresponding type, $r_{re} + r_{im} = r$.

The binary codes that enumerate real lines $m \subset Y$, $m \cap l = \emptyset$, will be called *real codes*. For a pair of conjugate imaginary points of the spectrum, $s_j = \bar{s}_i$, we may choose an l -transversal marking so that $l_j^0 = \bar{l}_i^0$, then for any real line $m \subset Y$ $m \cap l_j^0 \neq \emptyset$ if and only if $m \cap l_i^0 \neq \emptyset$, and thus, $b_j(m) = b_i(m)$. So, only one of these two bits is *informative* and by dropping the other one from the real binary code we do not lose any data. After dropping one bit for each pair of conjugate imaginary spectral points, we may additionally drop one of the bits representing a real spectral point, since four bits determine the fifth one due to parity check. So, for real codes there are only $(r - 1) + c = 4 - c$ informative bits and we obtain the following.

6.2.1. Proposition. *Given a non-singular real cubic Y and a real line $l \subset Y$, the set of real lines $m \subset Y$ disjoint from l is empty if $r_{im} > 0$ and consists of 2^{4-c} lines distinguished by their truncated codes if $r_{im} = 0$. \square*

6.3. Binary codes under nodal degeneration. Let Y be a one-nodal cubic surface. Then, Y contains precisely 21 lines: 6 of them, called *double lines*, pass through the node, while 15 others, called *simple lines*, do not. The term “double line” is motivated by the fact that under any perturbation $\{Y_t\}_{t \in [0,1]}$ of Y each of them splits into 2 lines on Y_t , $t > 0$, whereas a simple line $l \subset Y$ is varied univalently (see [SeB]).

A pencil of planes $\{P_s\}$ passing through a fixed simple line $l \subset Y$ contains precisely 4 tritangent planes: one of them, P_{s_0} , passes through the node, while 3 others, P_{s_i} , $i = 1, 2, 3$, do not. Under a perturbation, the plane P_{s_0} , which is called *double tritangent plane*, splits into a pair of tritangent planes, $P_{s_{01}}(t)$ and $P_{s_{02}}(t)$, whereas P_{s_i} , $i = 1, 2, 3$, which are called *simple tritangent planes*, are varied univalently (see [SeB]).

Like in the non-singular case, we consider an l -transversal marking of such a pair (Y, l) as a choice of residual lines l_i^0 and l_i^1 in the planes P_{s_i} , $0 \leq i \leq 3$, and define the corresponding 4-bit codes $b_0b_1b_2b_3$ for the 8 simple lines disjoint from l . For the 4 double lines disjoint from l (that is double lines different from l_0^0 and l_0^1) we use the 3-bit codes $b_1b_2b_3$ (the bit b_0 is not well-defined for these 4 lines, since they all meet both l_0^0 and l_0^1 at the node).

Given a perturbation $\{Y_t\}_{t \in [0,1]}$ of Y and an l -transversal marking of Y , there exists a unique perturbation $l(t)$ of l and a unique $l(t)$ -transversal marking of $(Y_t, l(t))$, $t > 0$, such that $l_i^0(t)$ and $l_i^1(t)$ with $i = 1, 2$ converge to l_0^0 and l_0^1 , respectively, while that $l_{i+1}^j(t)$ with $i \geq 2$ converge to l_i^j . We call such a family of markings *coherent*.

6.3.1. Proposition. *Let $\{Y_t\}_{t \in [0,1]}$ be a perturbation of a one-nodal cubic surface $Y = Y_0$ and $l(t) \subset Y_t$ a perturbation of a simple line $l \subset Y$. Then among the 16 lines disjoint from $l(t)$ on Y_t precisely 8 merge pairwise forming 4 double lines in Y , namely, with respect to a coherent family of transversal l -markings, the lines encoded $01b_1b_2b_3$ and $10b_1b_2b_3$ merge together and form a double line encoded $b_1b_2b_3$. The 8 other lines encoded $bbb_1b_2b_3$ converge to the simple lines encoded $bb_1b_2b_3$.*

Proof. It follows from interpretation of the binary codes as intersection indices in $H_2(Y_t; \mathbb{Z}/2)$ and a simple observation that, for any pair $m'(t), m''(t)$ of lines on Y_t merging to a double line on Y , the difference between the classes realized

by $m'(t), m''(t)$ in $H_2(Y_t; \mathbb{Z}/2)$ is equal (in accordance with the Picard-Lefschetz formula) to the class realized by the vanishing sphere, and the intersection of the latter class with each of the former ones is equal to 1. \square

6.4. Binary codes under real nodal degenerations. Here, in the setting of the previous subsection, we assume in addition that Y , l , and $\{Y_t\}_{t \in [0,1]}$ are real. This implies that the lines $l(t) \subset Y_t$, the spectrum $\{s_0, s_1, s_2, s_3\}$ of (Y, l) , and the spectrum $\{s_{01}(t), s_{02}(t), s_1(t), s_2(t), s_3(t)\}$ of $(Y_t, l(t))$ are also real. Since the only node of Y must be real, we have $s_0 \in P_{\mathbb{R}}^1$ and for the lines l_0^0 and l_0^1 there are 2 options: they may be either both real or conjugate imaginary. The points $s_{01}(t)$ and $s_{02}(t)$ can be also either both real or conjugate imaginary.

6.4.1. Proposition. *Let $(Y_t, l(t))$ be a real perturbation of a real one-nodal cubic surface Y and let $l \subset Y$ be a real simple line. The following criteria for merging of real lines $m(t) \subset Y_t$, $l(t) \cap m(t) \neq \emptyset$ in Y_t as $t \rightarrow 0$ hold for any family of coherent $l(t)$ -transversal markings.*

- (1) *If the points $s_{01}(t), s_{02}(t)$ and the lines l_0^0, l_0^1 are real, then among 2^{4-c} real lines $m(t)$ a half is univalent (not merging), namely, the ones with equal bits $b_{01} = b_{02}$. The other half of real lines merge pairwise, namely, the one encoded $01b_1b_2b_3$ merges with the one encoded $10b_1b_2b_3$.*
- (2) *If the points $s_{01}(t), s_{02}(t)$ are imaginary but the lines l_0^0, l_0^1 are real, then all the 2^{4-c} real lines $m(t)$ have equal bits $b_{01} = b_{02}$ in their encodings and in particular cannot merge.*
- (3) *If the points $s_{01}(t), s_{02}(t)$, as well as the lines l_0^0, l_0^1 , are imaginary, then all the 2^{4-c} real lines $m(t)$ have distinct bits $b_{01} \neq b_{02}$ and all merge pairwise, namely, the one encoded $01b_1b_2b_3$ merges with the one encoded $10b_1b_2b_3$.*

Proof. Part (1) is a direct consequence of Propositions 6.3.1 and 6.2.1. In the rest, it is sufficient to notice in addition that $l_{01}^0(t)$ is conjugate to $l_{02}^0(t)$ in part (2) (so that their limit l_0^0 is real), and conjugate to $l_{02}^1(t)$ in part (3) (so that their limit is imaginary). \square

6.5. Hyperplane sections of cubic threefolds. Assume that $X \subset P^4$ is a non-singular cubic threefold and $l \notin \Gamma_{\text{res}} \cup \Gamma_{II}$, so that the associated spectral quintic S and theta-conic Θ are non-singular. There is an obvious correspondence between the set of lines $m \subset P^2$ on a plane $P^2 \subset P^4 \setminus l$ (that is used to numerate the projective planes containing l) and the set of hyperplanes $H_m \subset P^4$ containing l , namely, $m = H_m \cap P^2$. Consider the hyperplane section $Y_m = X \cap H_m$ and note that when it is non-singular, it can be identified with its proper inverse image $Y_{m,l}$ under the blow-up $X_l \rightarrow X$ along l . In such a case, the spectrum of (Y_m, l) is the intersection $m \cap S$.

6.5.1. Proposition. *For a non-singular cubic $X \subset P^4$ and a line $l \notin \Gamma_{\text{res}} \cup \Gamma_{II}$, the following holds:*

- (1) *$Y_{m,l}$ is non-singular if and only if m is transverse to S .*
- (2) *If m is simply tangent to S at a point $s \in S \setminus \Theta$, that is $\text{ind}_s(S, m) = 2$, then $Y_{m,l}$ has no other singularities than a node at some point $x \in \pi_l^{-1}(s)$.*
- (3) *$Y_m = X \cap H_m$ is non-singular if and only if m is transverse both to S and Θ ; in particular, if Y_m is non-singular, then so is $Y_{m,l}$.*

Proof. Claims (1) and (2) follow from Proposition 2.1.1(3). Since $Y_{m,l}$ is the proper image of Y_m under blowing up X along l , the singularities on Y_m which are absent

on $Y_{m,l}$ may appear only along l . On the other hand, a singularity of Y_m at a point $p \in l$ happens if and only if $H_m = T_p$. Due to Lemma 2.4.4, $H_m = T_p$ for $p \in l$ if and only if m is tangent to Θ . Wherefrom Claim (3). \square

6.6. Conormal projection. Consider a non-singular cubic threefold X and a line $\ell \subset X$ such that $\ell \notin \Gamma_{II}$. Let $F_\ell \rightarrow F$ denote the blow-up of the Fano surface F of X performed at the point $l \in F$, and $E_\ell \subset F_\ell$ its exceptional curve. Let $\tilde{S} = \tilde{S}_\ell \subset F_\ell$ denote, like in Section 2, the spectral covering curve formed by the lines incident to l . The hyperplanes in P^4 that contain ℓ form a *conormal projective plane* dual to P^2 , and we denote it by \hat{P}^2 . There is a map $\lambda: F_\ell \rightarrow \hat{P}^2$ that we define first in the complement of $\tilde{S} \cup E_\ell$. If $m \in F \setminus \tilde{S}$, and $m \neq l$, then $m \cap l = \emptyset$ and we let $\lambda(m)$ be the 3-space spanned by ℓ and m .

6.6.1. Proposition.

- (1) *The above map λ can be extended to \tilde{S} and E_ℓ and provide a well-defined regular morphism $\lambda: F_\ell \rightarrow \hat{P}^2$ with finite fibers. It maps E_ℓ bijectively onto the conic $\hat{\Theta}$ dual to Θ , and \tilde{S} onto $\hat{\Theta}$ with degree 5.*
- (2) *The map λ has degree 16.*

Proof. Let $[x : y : z : u : v]$ be coordinates in P^4 such that the line l is given by equations $x = y = z = 0$. Each line l' close to l intersects hyperplanes $v = 0$ and $u = 0$ at the points $[p_1 : p_2 : p_3 : 1 : 0]$ and $[q_1 : q_2 : q_3 : 0 : 1]$, respectively, and we consider the 6-tuples (p, q) , $p = (p_1, p_2, p_3)$, $q = (q_1, q_2, q_3)$ as local coordinates in the Grassmannian of lines $G(2, 5)$.

As is known (see [CG]), the tangent plane $T_l F$ to the Fano surface $F \subset G(2, 5)$ is defined in coordinates (p, q) by equations

$$(6.6.2) \quad L_{11}(p) = 0, L_{22}(q) = 0, L_{11}(q) + 2L_{12}(p) = 0, 2L_{12}(q) + L_{22}(p) = 0$$

where L_{ij} are entries of the fundamental matrix (2.2.2). The linear forms L_{11}, L_{12}, L_{22} are linearly independent, as it follows from our assumption that line l is not multiple, see Corollary 2.4.3. Therefore, the equations (6.6.2) mean that the line in P^2 connecting $[p_1 : p_2 : p_3]$ and $[q_1 : q_2 : q_3]$, which is the projection of l' to P^2 from l , is tangent to the theta-conic $\Theta = \{L_{11}L_{22} - L_{12}^2 = 0\}$. This proves the existence of a continuous extension of λ to E_ℓ and implies that it sends bijectively E_ℓ onto the conic dual to Θ .

To extend λ to \tilde{S} , we let $\lambda(m)$ be equal to the 3-space tangent to X at the point $m \cap l$, for each $m \in \tilde{S}$. Continuity follows from analysis of the same first order variation (6.6.2), applied this time to $m \in \tilde{S}$. The extension of λ to the whole F_ℓ is a regular map, as any continuous extension of a rational map. Our description of λ on \tilde{S} implies that $\lambda(\tilde{S})$ is the conic $\hat{\Theta}$ dual to Θ . The restriction $\tilde{S} \rightarrow \hat{\Theta}$ has degree 5 because there exist 6 lines passing through a generic point of X from which number we subtract one representing l .

To show finiteness of fibers of λ , assume that, conversely, some cubic surface $Y = X \cap H$ traced by a hyperplane $H \subset P^4$, $l \subset H$ contains infinitely many lines. It is well-known that such cubic Y should be either a cone over irreducible cubic curve, or contain a curve, C , formed by singular points of Y (it can be a conic if Y is reducible, or otherwise a line), see, for example, [Ab]. In the first case, $l \subset Y$ should be one of the generators of the cone, which contradicts to that l is a simple line in X (which means that plane sections of X cannot contain l as a double line).

In the second case, one can easily show that X must contain some singular point. Namely, we can choose coordinates $[x_0 : \dots : x_4]$ in P^4 so that $H = \{x_4 = 0\}$, $X = \{f(x_0, \dots, x_4) = 0\}$. Then $\frac{\partial f}{\partial x_i} = 0$ along C for $0 \leq i \leq 3$, and singular points appear on X at the intersection of C with the quadric $\{\frac{\partial f}{\partial x_4} = 0\}$, if the latter derivative is not identically zero. If it is zero, then X is a cone and the singular point appears at the vertex.

The degree of λ is 16, since for any non-singular cubic surface Y , in particular, for $Y = X \cap H$ with a generic H , this is the number of lines in Y disjoint from a given one. \square

6.6.3. Proposition. *The set of critical values of λ is the curve $\widehat{S} \subset \widehat{P}^2$ dual to the spectral curve $S \subset P^2$. Over each non-singular point of \widehat{S} the projection λ has 8 unramified sheets and 4 copies of folds (double coverings branched along \widehat{S}).*

Proof. The first statement is a straightforward consequence of Propositions 6.6.1 and 6.5.1 implying that the degree of λ is 16 over $\widehat{P}^2 \setminus \widehat{S}$.

As it holds for any surjective proper finite holomorphic map $\lambda : X \rightarrow M$ with non-singular covering space X and non-singular target M , the part $R = \lambda^{-1}(\widehat{S} \setminus \text{Sing } \widehat{S})$ of the ramification locus is a non-singular curve and the ramification index of λ is constant along each connected component of R . Hence, it is sufficient to check the second statement on a dense subset of \widehat{S} .

Thus, pick a line $m \subset P^2$ simply tangent to S at a point $s \notin \Theta$ and assume m intersects S at 3 more distinct points. In some pencil of lines containing m take a small circle around m , represent this circle as a path m_τ , $\tau \in [0, 1]$, $m_0 = m_1$. Denote the points of $m_\tau \cap S$ by s_τ^i , $\tau \in [0, 1]$, $i = 1, \dots, 5$, where $i = 1, 2$ are chosen for the two points near s . Since the tangency is simple, the points s_τ^1, s_τ^2 alternate after a full twist, that is $s_1^1 = s_0^2$ and $s_1^2 = s_0^1$.

Each of the surfaces $\pi_l^{-1}(m_\tau)$ is a non-singular cubic surface, and, considering the radial path from τ to the center of the circle, we get, for each τ , a perturbation Y_t^τ of the cubic surface $Y_0 = \pi_l^{-1}(m)$ which is one-nodal by Proposition 6.5.1. Each of these surfaces contains the line l . Start from $\tau = 0$ and choose a coherent family of l -transversal markings compatible with an ordering l_0^0, l_0^1 of the 2 lines lying on Y_0 over s , so that the lines $l_i^0(t), i = 1, 2$ that lie over s_0^i for $t = 1$ converge to l_0^0 as t tends to 0, while that $l_i^1(t), i = 1, 2$ that lie over s_0^i converge to l_0^1 . This compatibility property implies, due to the unicity of coherent markings (see Proposition 6.3.1), that under varying τ from 0 to 1 and making a continuous choice of l -transversal markings the line $l_1^0(t)$ (respectively, $l_2^0(t)$) sends to $l_2^1(t)$ (respectively, $l_1^1(t)$). Therefore, the monodromy action transforms a line with initial binary code $b_1 b_2 b_3 b_4 b_5$ into the line which the code $b_2 b_1 b_3 b_4 b_5$ with respect to the same, initial, marking. Thus, there are 8 invariant lines, they have binary codes $bbb_3 b_4 b_5$, and 4 transpositions of lines, $01b_3 b_4 b_5 \mapsto 10b_3 b_4 b_5$. \square

Let $\lambda_{\mathbb{R}} : F_{\mathbb{R}} \rightarrow \widehat{P}_{\mathbb{R}}^2$ be the restriction of λ . We say that a real generic line $m \subset P^2$ has *real intersection type* (a, b) if it has a real intersection points with S outside Θ and b real intersection points inside (genericity means that m is not tangent to S and Θ and has no common points with $S \cap \Theta$). Clearly, $a \geq 1$ is odd, $b \geq 0$ is even, and $a + b \leq 5$. In fact, $a = r_{re}$, $b = r_{im}$ are the characteristics introduced in Subsection 6.2 applied to (Y_m, l) .

6.6.4. Proposition. *Assume that an oval $C \subset S_{\mathbb{R}}$ is convex, and m is a real line*

obtained by a small shift of a tangent to C in the outward direction, so that the dual point $\hat{m} \in \widehat{P}_{\mathbb{R}}^2$ lies in the interior of the dual oval \hat{C} . Let (a, b) be the real intersection type of m with S .

- (1) If $b > 0$, then $\lambda(F_{\mathbb{R}})$ have no point in a neighbourhood of \hat{C} .
- (2) If $b = 0$ and C is a visible oval, then the number of unramified sheets over \hat{C} is $2^{\frac{a+3}{2}}$, the number of folds is $2^{\frac{a+1}{2}}$, and the folds are directed towards the exterior of \hat{C} .
- (3) If $b = 0$ and C is invisible, then there are no unramified sheets, the number of folds is $2^{\frac{a+1}{2}}$, and the folds are directed towards the interior of \hat{C} .

Proof. Proposition 6.2.1 gives $|\lambda_{\mathbb{R}}^{-1}(m)| = 2^{\frac{3+a}{2}}$ if $b = 0$ and $|\lambda_{\mathbb{R}}^{-1}(m)| = 0$ if $b > 0$. If a point is chosen from the other side of \hat{C} , then the dual line has the real intersection type $(a, b) + (2, 0)$ if oval C is visible and $(a, b) + (0, 2)$ otherwise. Since by Proposition 6.6.3, the critical locus over \hat{C} is formed only by folds, the local degrees of $\lambda_{\mathbb{R}}$ prescribe the number of such folds and their normal direction. \square

6.7. Special example: spectral quintic with a nest. In what follows we investigate a pair (S, Θ) such that:

- (1) S is a real non-singular plane quintic that has a nest of two ovals: an oval O_2 in the interior of an oval O_1 ;
- (2) Θ is a real non-singular conic tangent to S at 5 distinct points;
- (3) O_2 lies in the interior of $\Theta_{\mathbb{R}}$, which in its turn lies in the interior of O_1 ;
- (4) at every point s on O_1 or O_2 , the tangent line to S has a simple tangency at this point (in particular, both ovals are convex) and intersects the J -component of S at only one point.

As it follows from Proposition 5.8.4, if the spectral pair (S, Θ) corresponds to a pair (X, l) and satisfies properties (1)–(3), then X is of type C_1^1 (exotic cubic).

An example of a pair (S, Θ) that satisfies properties (1)–(4) is given by a non-singular real conic Θ with an oval $\Theta_{\mathbb{R}} \neq \emptyset$ and a quintic S obtained by a small real perturbation

$$\Theta LQ \pm \varepsilon L_1 \dots L_5 = 0, \quad 0 < \varepsilon \ll 1$$

of the product ΘLQ , where Q is a nonsingular real conic whose oval $Q_{\mathbb{R}}$ contains $\Theta_{\mathbb{R}}$ in the interior, L is a real line whose real locus $L_{\mathbb{R}}$ is disjoint from $Q_{\mathbb{R}}$ and $\Theta_{\mathbb{R}}$, and $L_1 \dots L_5$ are five real tangents to Θ .

6.7.1. Proposition. *For the conormal projection $\lambda_{\mathbb{R}}: F_{\mathbb{R}} \rightarrow \widehat{P}_{\mathbb{R}}^2$ associated to a pair (X, l) whose spectral quintic and theta-conic satisfy conditions (1)–(4) the following properties hold:*

- (1) $\lambda_{\mathbb{R}}(F_{\mathbb{R}})$ is the disc bounded by \hat{O}_2 ; over the interior of the annulus bounded by \hat{O}_1 and \hat{O}_2 the map $\lambda_{\mathbb{R}}$ is a 8-sheeted non-ramified covering;
- (2) over every point of \hat{O}_2 the number of folds is equal to 4 and all the folds are directed inward;
- (3) over every point of \hat{O}_1 the number of unramified sheets is 4 and the number of folds is 2; the both folds are directed outward.

Proof. Straightforward consequence of Proposition 6.6.4. \square

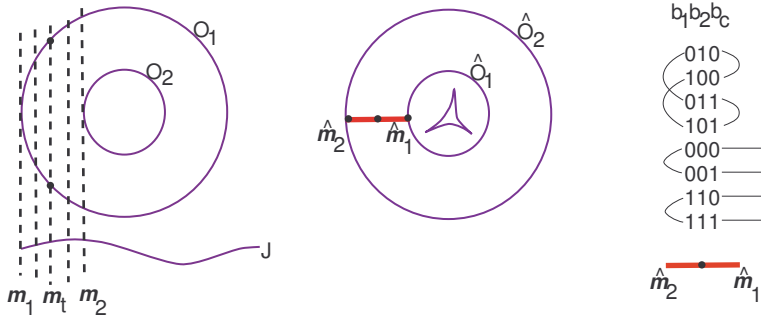


FIGURE 7. Conormal projection for an exotic cubic threefold

Our aim now is to show that in the example given in Proposition 6.7.1 the blown up Fano surface $F_{\mathbb{R}}(X)$ contains a component nicely projected to the annulus \hat{R} bounded by \hat{O}_1 and \hat{O}_2 .

6.7.2. Proposition. *The inverse image $\lambda_{\mathbb{R}}^{-1}(\hat{R}) \subset F_{\mathbb{R}}$ contains a connected component of $F_{\mathbb{R}}$ whose Euler characteristic is 0.*

To prove this proposition we find a component of $F_{\mathbb{R}}$ which is fibered over a circle. Namely, we consider the composition $\psi: \lambda_{\mathbb{R}}^{-1}(\hat{R}) \rightarrow S^1$, $\psi = \mu \circ \lambda_{\mathbb{R}}$, of $\lambda_{\mathbb{R}}$ restricted over \hat{R} with the fibration $\mu: R \rightarrow S^1$ whose fibers are line segments on the annulus \hat{R} that are cut by the lines passing through a points inside \hat{O}_1 . Let us pick such a line segment $[\hat{m}_1, \hat{m}_2]$, connecting points $\hat{m}_i \in \hat{O}_i$.

6.7.3. Lemma. *The inverse image $\lambda_{\mathbb{R}}^{-1}([\hat{m}_1, \hat{m}_2])$ is homeomorphic to a disjoint union of a circle with two closed intervals.*

Proof. By Propositions 6.6.1 and 6.6.3, $\lambda_{\mathbb{R}}^{-1}([\hat{m}_1, \hat{m}_2])$ consists of several copies of the line segment $[\hat{m}_1, \hat{m}_2]$ some of which are identified at the boundary points. We will enumerate them using Proposition 6.2.1 and apply Proposition 6.4.1 to precise the boundary identification.

By definition, the line segment $[\hat{m}_1, \hat{m}_2] \subset P_{\mathbb{R}}^2$ corresponds to a segment of a pencil $\{m_t\}_{t \in [1,2]}$ of lines between m_1 tangent to O_1 and m_2 tangent to O_2 (see Figure 7). An internal point $\hat{m} \in [\hat{m}_1, \hat{m}_2]$ represents a line $m = m_{t_0}$, $1 < t_0 < 2$, crossing O_1 at a pair of points, s_1, s_2 , and not crossing O_2 . In addition, m intersects once the J -component of $S_{\mathbb{R}}$ at some point s_J and contains a pair of conjugate imaginary points $s_c, \bar{s}_c \in S$, these five points form the spectrum of the cubic $Y_{m,l} = \pi_l^{-1}(m)$.

Consider an l -transversal marking $l_1^0, l_2^0, l_J^0, l_c^0, l_{\bar{c}}^0$ corresponding to the spectral points $s_1, s_2, s_J, s_c, \bar{s}_c$ respectively. The points of $\lambda_{\mathbb{R}}^{-1}(\hat{m})$ can be interpreted as real lines in $Y_{m,l}$ disjoint from l . Like in Subection 6.2, we enumerate them via truncated codes containing only the three informative bits b_1, b_2, b_c that correspond to s_1, s_2 and s_c . Varying \hat{m} along the interval $[\hat{m}_1, \hat{m}_2]$, we obtain 8 copies of $[\hat{m}_1, \hat{m}_2]$ that we denote $[1, 2]_{b_1 b_2 b_c}$, with 8 combinations of the bits $b_1, b_2, b_c \in \{0, 1\}$.

To apply Proposition 6.4.1, we choose an l -transverse family of markings coherently with respect to the degeneration of $Y_{m_t, l} = \pi_l^{-1}(m_t)$ as $t \rightarrow m_1$. Then by part (1) of that proposition, for each $b_c \in \{0, 1\}$, the intervals $[1, 2]_{10b_c}$ and $[1, 2]_{01b_c}$ are

glued over the point 1, while the other 4 intervals denoted $[1, 2]_{00b_c}$ and $[1, 2]_{11b_c}$ remain unglued over 1.

Part (3) of the same proposition implies pairwise gluing of all 8 copies $[1, 2]_{b_1b_2b_c}$ over point 2, namely, the interval indexed with b_1b_20 is glued over this point to the interval indexed with b_1b_21 . Here, it is the spectral points s_c and \bar{s}_c that play the role of s_{01} and s_{02} , but the bit corresponding to \bar{s}_c is not informative, and is dropped.

Such a gluing of the 8 intervals $[1, 2]_{b_1b_2b_c}$ produces a circle from intervals indexed with 010, 011, 100, and 101, and two line segments from the remaining 4 intervals (see Figure 7). \square

Proof of Proposition 6.7.2. The monodromy of the fibration $\psi: \lambda_{\mathbb{R}}^{-1}(\hat{R}) \rightarrow S^1$ sends the circle component (see Lemma 6.7.3) to itself, which yields a connected component of $F_{l_{\mathbb{R}}}$ with zero Euler characteristic. \square

6.8. Proof of Theorem 4.11.1 for exotic cubics X . As was already seen in the previous subsection the cubic threefold X treated there is of type C_I^1 . Hence, its Fano surface $F_{\mathbb{R}}(X)$ is a disjoint union of two components, $N(X) = \mathbb{R}P^2$ and N_6 , and $F_{l_{\mathbb{R}}}$ is obtained by blowing up $F_{\mathbb{R}}$ at its point l . So, existence of a component with zero Euler characteristic in $F_{l_{\mathbb{R}}}$ established in Proposition 6.7.2 implies that $l \in N(X)$. Together with Theorem 4.8.1 (proved for all cubics with Smith deficiency ≥ 4 in Subsection 5.9), this completes the proof of Theorem 4.11.1 for exotic cubics. \square

7. QUADROCUBICS AND SPECTRAL CURVES AS THEIR CENTRAL PROJECTIONS

7.1. Quadrocubic associated to a singular point. Given a cubic threefold $X \subset P^4$ with a node $\mathfrak{s} \in X$, the lines l passing through the node represent points $[l]$ of the associated quadrocubic $A \subset P_{\mathfrak{s}}^3$ (see Subsection 4.7). As usual, we choose coordinates x, y, z, u, v in P^4 so that s acquires the coordinates $(0, 0, 0, 0, 1)$, present the equation of X in the form $f_3 + vf_2 = 0$, and denote by $Q_{\mathfrak{s}} \subset P_{\mathfrak{s}}^3$ the quadric defined by equation $f_2 = 0$. Recall that A is the intersection of $Q_{\mathfrak{s}}$ with the cubic surface defined by $f_3 = 0$.

Real nodes of real n -dimensional hypersurfaces are classified by the signature (p, q) , $p + q = n + 1$, of the Hessian matrix, or equally by the signature of the degree two part of the real polynomial representing the hypersurface in a real local system of coordinates centered at the node. For example, a real node $x \in A_{\mathbb{R}}$ of a real plane curve A may have signature $(1, 1)$, and then called a *cross-node*, or signature $(2, 0)$ or $(0, 2)$, and then called a *solitary node*.

In the case of a real nodal cubic threefold $X = \{f_2 + f_3 = 0\}$ the real quadric $Q_{\mathfrak{s}} = \{f_2 = 0\}$ is a hyperboloid for signature $(2, 2)$, an ellipsoid for $(3, 1)$, and a quadric without real points for $(4, 0)$. In the case of signature $(4, 0)$, the real locus of A is also empty.

7.1.1. Proposition. *Assume that \mathfrak{s} is a node of a cubic threefold X . Then, a point $\mathfrak{s}' \in X$, $\mathfrak{s}' \neq \mathfrak{s}$, is singular on X if and only if the line $\mathfrak{s}\mathfrak{s}'$ represents a singular point $[\mathfrak{s}\mathfrak{s}']$ of $A \subset P_{\mathfrak{s}}^3$, and \mathfrak{s}' is a node of X if and only if $[\mathfrak{s}\mathfrak{s}']$ is a node of A . In particular, A is non-singular if and only if X has no other singular point than \mathfrak{s} .*

If X , \mathfrak{s} , \mathfrak{s}' are real and \mathfrak{s}' has signature (p, q) , then the corresponding to it node of A has signature $(p - 1, q - 1)$. Furthermore, if X undergoes a perturbation X_a , $a \in$

$[0, 1]$ which keeps X_a nodal at \mathfrak{s} and has a local model $x_0^2 + \dots + x_p^2 - (y_0^2 + \dots + y_q^2) = a$ in Morse coordinates at \mathfrak{s}' , then the associated with \mathfrak{s} quadrocubic A undergoes a transformation which has, in appropriate Morse coordinates on $Q_{\mathfrak{s}}$ at $[\mathfrak{s}\mathfrak{s}']$, a local model $u_1^2 + \dots + u_p^2 - (v_1^2 + \dots + v_q^2) = g(a)$, $g(0) = 0$, $g(a) > 0$ for $a > 0$. \square

Proof (cf., [Wa]). Choose a real system of affine coordinates x, y, z, t in a way that $\mathfrak{s} = (0, 0, 0, 0)$ and $\mathfrak{s}' = (0, 0, 0, 1)$, and write an equation of X in a form $f_2 + f_3 = 0$. Then, $df_2 + df_3 = 0$ and $f_2 + f_3 = 0$ at \mathfrak{s}' , and using the Euler relations we get $f_2 = 0$, $f_3 = 0$, which shows that $[\mathfrak{s}\mathfrak{s}'] \in P_{\mathfrak{s}}^3$ is a singular point of A . Reciprocally, if df_2 and df_3 are linear dependent at a point $(0, 0, 0, 1)$ and $f_2 = f_3 = 0$ at this point, while that df_2 does not, then X is singular at the point $(0, 0, 0, \lambda)$ with $df_2 + \lambda df_3 = 0$.

Assume that \mathfrak{s}' is a node of X . Then \mathfrak{s}' is a Morse point of $f_2 + f_3$, since \mathfrak{s}' is a node. In addition, $f_2 = 0$ is non-singular at \mathfrak{s}' , since \mathfrak{s} is a node. Hence the restriction of f_3 on $f_2 = 0$ is also Morse at \mathfrak{s}' , which means that $[\mathfrak{s}\mathfrak{s}']$ is a node on A .

To prove the converse statement and to compare the signatures, we choose $f_2(\frac{x}{t}, \frac{y}{t}, \frac{z}{t}, 1)$ as a first coordinate h_1 in a local system centered at \mathfrak{s}' and add $1-t$ and two generic linear forms h_2, h_3 in x, y, z as supplementary coordinates. Then the quadratic part in Taylor expansion of the equation $f_2(x, y, z, 1) + t f_3(x, y, z, 1) = 0$ writes in these coordinates as $h_1(1-t + L(h_1, h_2, h_3)) + Q(h_2, h_3)$ where L is a linear form and Q is a quadratic form. This combined quadratic form is a direct sum of Q and a non-degenerate form of rank 2. Thus, \mathfrak{s}' is a node on X if $[\mathfrak{s}\mathfrak{s}']$ is a node on A . In the real case, the signature of $h_1(1-t + L(h_1, h_2, h_3)) + Q(h_2, h_3)$, which is the signature of the node of X , is the sum of the signature of Q , which is the signature of the node on A , while the signature of the complementary rank 2 summand is $(1, 1)$.

To conclude, note that according to the already proved part of the proposition making a cubic non-singular in a neighborhood of \mathfrak{s}' is equivalent to making the quadrocubic non-singular in a neighborhood of $[\mathfrak{s}\mathfrak{s}']$. Hence, it remains only to compare the directions of the perturbations, that is to identify the signs in appropriate local models, and it is sufficient to do it just for one arbitrary chosen perturbation of X . We choose the one defined by $f_2 + f_3 = at^3$ and repeat literally the above formulas with Taylor expansion, which gives us $h_1(1-t + L(h_1, h_2, h_3)) + Q(h_2, h_3) = a$ as a local model for the quadrocubics, and the result follows. \square

7.1.2. Proposition. *Let S and Θ be the spectral curve and theta-conic of a nodal cubic threefold X , and let $l \subset X$ be a line passing through a node $\mathfrak{s} \in X$ and not containing other nodes of X . Then:*

- (1) *the central projection from $[l]$ induces a regular birational map $A \rightarrow S$, this map is biregular over all points of S except two singular points of S which are nodes or cusps;*
- (2) *$\Theta = 2L$, where L is the line passing through these two singular points of S ; this line is traced on P_t^2 by the plane $H \subset P_{\mathfrak{s}}^3$ tangent to $Q_{\mathfrak{s}}$ at $[l] \in Q_{\mathfrak{s}}$.*

Proof. If $t \in P_t^2$ is represented by a line $l' \in A$, $l' \neq l$, then the residual conic q_t is reducible, since it contains l' . This shows that the image of A under the central projection from $[l]$ is contained in S . Conversely, for any given $t \in S$, at least one of the lines $l' \subset q_t$ passes through the singular point \mathfrak{s} , and therefore $[l'] \in A$.

The central projection induces a birational map $Q_{\mathfrak{s}} \rightarrow P_l^2$ which blows up the point $[l] \in Q_{\mathfrak{s}}$ and contracts the two generators of $Q_{\mathfrak{s}}$ that pass through $[l]$ into two points on P_l^2 . Since, according to Proposition 7.1.1, $[l]$ is non-singular point of A , this implies the claim (1). This shows also that the line L is traced by the plane tangent to $Q_{\mathfrak{s}}$ at $[l] \in Q_{\mathfrak{s}}$.

To check that $Q = 2L$, we choose the coordinates so that l has equation $x = y = z = 0$ and $s = [0 : 0 : 0 : 0 : 1]$. Then, using notation from Subsection 2.2, we get $L_{22} = 0$ and $f_2(x, y, z, u) = 2(Q_2(x, y, z) + uL_{12}(x, y, z))$. Thus shows that the tangent plane at $[l] = [0 : 0 : 0 : 0 : 1]$ to the quadric $f_2 = 0$ is defined by $L_{12} = 0$. On the other hand, according to (2.2.4), Θ is defined by L_{12}^2 . \square

7.1.3. Corollary. *Let X be a one-nodal real cubic threefold, $l \subset X$ a real line passing through the node, and Q, A, S the associated quadric, quadrocubic, and spectral curve.*

- (1) *If the node has signature $(3, 1)$, then S has a conjugate pair of imaginary nodes.*
- (2) *If the node has signature $(2, 2)$, then S has two real nodal or cuspidal points. Such a point is a solitary node, if the corresponding line-generator of Q passing through $[l]$ intersects A at imaginary points, a cross-like node if the line generator intersects A at 3 distinct real points, and a cusp if at least two of the three points coincide.* \square

7.1.4. Proposition. *For a nodal cubic threefold X and a line $l = \mathfrak{s}_1\mathfrak{s}_2$ passing through a pair of nodes $\mathfrak{s}_1, \mathfrak{s}_2 \in X$ the associated spectral quintic splits as $S = S' \cup m$ where S' is a quartic and m is a line. The theta-conic is the double line $\Theta = 2m$.*

Proof. Pick the coordinates in P^4 so that $\mathfrak{s}_1 = [0 : 0 : 0 : 1 : 0]$ and $\mathfrak{s}_2 = [0 : 0 : 0 : 0 : 1]$, then in the fundamental matrix A_s (see (2.2.2)) of (X, l) we have $L_{11} = L_{22} = 0$, and thus, $\det A_s = 2L_{12}Q_1Q_2 - L_{12}^2C$. So, S splits into a line, $m = \{L_{12} = 0\}$ and a quartic $S' = \{2Q_1Q_2 - L_{12}C = 0\}$, while $\Theta = \{L_{12}^2 = 0\}$. \square

7.1.5. Proposition. *Each real two-nodal plane quintic is a spectral quintic of a real one-nodal cubic hypersurface. Any Morse-type perturbation of the nodes of such a quintic is realized by some real smoothing of the corresponding cubic hypersurface.*

Proof. Given a real two-nodal plane quintic, we get a non-singular real quadrocubic and a real point on it by blowing up the nodes and contracting after that the proper image of the line joining the nodes. The quadrocubic with a marked point thus obtained defines a real one-nodal cubic hypersurface and a real line on it, and the initial quintic is the spectral quintic of this pair.

Let (X, l) is a pair such that X is a real one-nodal cubic hypersurface, l is a real line on X going through the node, and S is the spectral, real two-nodal, quintic of this pair. Then, under an appropriate choice of projective coordinates, l is given by equations $x = y = z = 0$, X by equation $xu^2 + 2yu + 2Q_{13}u + 2Q_{23}v + C = 0$, and S by

$$\det \begin{pmatrix} x & y & Q_{13} \\ y & 0 & Q_{23} \\ Q_{13} & Q_{23} & C \end{pmatrix} = 0.$$

The two double points of S are the intersection points of the conic $Q_{23} = 0$ with the line $y = 0$ (cf., the proof of Proposition 7.1.2 above). They are nodal under

(binary form discriminant) conditions $pC(p, 0, 1) - Q_{13}^2(p, 0, 1) \neq 0, qC(q, 0, 1) - Q_{13}^2(q, 0, 1) \neq 0$, where $(p, 0, 1)$ and $(q, 0, 1)$ are coordinates of the nodes. Finally, one can get all the Morse types of modifications by perturbations of the form

$$\det \begin{pmatrix} x & y & Q_{13} \\ y & t(ax + by + cz) & Q_{23} \\ Q_{13} & Q_{23} & C \end{pmatrix} = 0$$

where a, b, c are real constants and t a small real parameter. Indeed, with such a choice the perturbation term is $t(ax + by + cz)(xC - Q_{13}^2)$, and, since the last factor is non vanishing at the points $(p, 0, 1)$ and $(q, 0, 1)$, one can achieve, by an appropriate choice of a and c , any given signs of the perturbation term at these points, if they are real, and achieve non-vanishing of the perturbation term at them, if they are imaginary (complex conjugate). \square

7.2. Isomorphisms between the quadratic cones of nodes. Assume that X is a nodal cubic threefold with nodes $\mathfrak{s}_0, \dots, \mathfrak{s}_k, k \geq 1$. With each node \mathfrak{s}_i in its local projective space P_i^3 (formed by the lines through \mathfrak{s}_i) we associate as usual the *local quadric* $Q_i \subset P_i^3$ and the quadric $A_i \subset Q_i$. These quadrics can be related naturally by means of birational isomorphisms $\phi_{ij}: Q_j \dashrightarrow P^2$, where P^2 is any plane not passing through the nodes of X and ϕ_{ij} is induced by the central projection $P^4 \dashrightarrow P^2$ with center $l = s_i s_j$.

7.2.1. Proposition. *For each pair $0 \leq i, j \leq k$, the following holds:*

- (1) *the spectral quintic S associated with the line $s_i s_j$ splits as $S = S' \cup m$ where S' is a quartic and m is a line.*
- (2) *$\phi_{ij}(A_j) = \phi_{ji}(A_i) = S'$.*
- (3) *$\phi_{ij}^{-1}: P^2 \rightarrow Q_i$ is the blow up of two points of $S' \cup m$ followed by the blow down of the proper image of m , while $\phi_{ji}^{-1}: P^2 \rightarrow Q_j$ is the blow up of two other points of $S' \cup m$ followed by the blow down of the proper image of m .*

Proof. Item (1) recalls the first part of Lemma 7.1.4. To get item (2) it is sufficient to follow the definitions and notice that for each line-direction $s_j x \in A_j$ the section of X by the plane generated by s_i and this direction splits into 3 lines: $s_j x, s_i s_j$, and a third line, which goes through s_i , since such a section should be singular at s_i . Item (3) is a straightforward consequence of the classical decomposition of the central projections $Q_i \dashrightarrow P^2$ into one blow up and two blow downs. \square

7.3. 6-nodal Segre cubics. We say that k points in P^n are *in linearly general position* if no $m + 1 \leq n + 1$ of them generate a subspace of dimension $< m$. If $k \geq n + 1$, it is clearly enough to require that no hyperplane contains more than n points.

In what follows the nodes of a multinodal cubic threefold $X \subset P^4$ will be always assumed to be in linearly general position. Such 6-nodal cubics, studied by C. Segre, are of a particular interest for us (for a modern overview see [Do]). These cubics X , which we call *6-nodal Segre cubics*, can be equivalently characterized as follows.

7.3.1. Proposition. *If a cubic $X \subset P^4$ has 6 nodes, $\mathfrak{s}_0, \dots, \mathfrak{s}_5$ and no other singular points, the following conditions are equivalent:*

- (1) *X is a Segre cubic.*

- (2) For each $0 \leq i \leq 5$, the quadrocubic A_i associated with \mathfrak{s}_i is 5-nodal, no two of its nodes lie on the same generator of the local quadric $Q \subset P_{\mathfrak{s}_0}^3$ and no four are coplanar in $P_{\mathfrak{s}_i}^3$.
- (3) Each A_i splits into a pair of irreducible transversely intersecting components of bidegrees $(2, 1)$ and $(1, 2)$.
- (4) The spectral curve associated to any line $l_{ij} = \mathfrak{s}_i \mathfrak{s}_j \subset X$ splits into a pair of non-singular transverse conics and a line transverse to the conics and not passing through their 4 common points.

Proof. (1) \Leftrightarrow (2) is immediate, since due to Proposition 7.1.1, for each i , the set of nodes of X distinct from s_i is in bijection with the set of nodes of A_i , and this bijection consists in representing the nodes of A_i as line-directions $s_i s_j$, $j \neq i$.

(2) \Rightarrow (3) Since the quadrocubics are 5-nodal, they are reducible. They have no neither line or conic components: indeed, in the case of line-component the 3 points of intersection with the complementary components give 3 of the nodes on a line, and in the case of conic-component the 4 points of intersection with the complementary components give 4 in a plane. Therefore, our quadrocubics split in two irreducible components of bidegrees $(2, 1)$ and $(1, 2)$. The intersection is transversal, since the quadrocubics are nodal.

(3) \Rightarrow (2) Straightforward.

(3) \Leftrightarrow (4) The spectral curve S_{ij} splits into $S' \cup m$, where S' can be seen as the image of A_i under central projection $Q_i \dashrightarrow P^2$ with center $[l_{ij}] \in Q_i$ and m as the trace of the tangent plane to Q_i at $[l_{ij}]$; conversely, A_i can be seen as the proper image of S_{ij} under blow up at 2 points of intersection of S with m followed by the blow down of the proper image of m (see Proposition 7.2.1). Therefore, A_i splits into irreducible components of bidegrees $(2, 1)$ and $(1, 2)$ if and only if S' splits into conics. Transversality properties of S are equivalent to nodality of A_i . \square

7.3.2. Corollary. *Let f_2 and f_3 be homogeneous polynomials of degrees 2 and 3 in four unknowns. If the quadric $f_2 = 0$ is non-singular and the quadro-cubic $f_2 = 0, f_3 = 0$ splits into two transversal irreducible components of bidegrees $(2, 1)$ and $(1, 2)$, then $f_2 + f_3 = 0$ is an affine equation of a 6-nodal Segre cubic $X \subset P^4$. \square*

7.4. 5-point configurations on an ellipsoid. As is well known, the result of blowing up a real non-singular quadric at a real collection of 5 points in a general linear position is a real del Pezzo surface of degree 3, which embeds via the anti-canonical linear system as a real non-singular cubic surface in P^3 . It is B. Segre [SeB] who distributed real lines on a real cubic surface in elliptic and hyperbolic ones, and determined which of the five exceptional curves $E \subset Y$ are elliptic and which ones are hyperbolic. We summarize these observations of Segre as follows.

Given a set of 5 linearly generic points $\mathcal{P} = \{p_1, \dots, p_5\}$ on an ellipsoid $Q \subset P^3$, the following bipartitions of \mathcal{P} into a pair and a triple of points appear naturally.

- (1) Bipartition by the valency of p_i on the convex hull of \mathcal{P} : 3 vertices have valency 4 and 2 vertices have valency 3.
- (2) For 3 points of 5 (respectively, 2 points of 5) the central projection of $\mathcal{P} \setminus \{p_i\}$ from a point p_i to any affine plane that is complementary to the tangent plane to Q at p_i sends the set $\mathcal{P} \setminus \{p_i\}$ into a convex quadrilateral (respectively, a triangle with one point inside it).
- (3) Among 5 exceptional real lines $E_i \subset Y$ of the blow up $Y \rightarrow Q$ at \mathcal{P} , there are 3 elliptic (respectively, 2 hyperbolic) ones.

7.4.1. Proposition. *All the four above partitions coincide.*

Proof. The equivalence between (1) and (2) is more or less explicit in [SeB], §40), and it is straightforward. The equivalence between (1) and (3) is implicit in [SeB], but it follows directly from the definition of ellipticity through the Segre involution. \square

We call such a bipartition of a 5-point configuration on an ellipsoid the *Segre 3+2 bipartition*. Applying the central projection to reduce 5-point configurations on an ellipsoid to 4-point configurations on an affine plane, one can easily obtain the following consequence (also contained in [SeB], §40).

7.4.2. Proposition. *The space of pairs (Q, \mathcal{P}) formed by real ellipsoids Q and collections of 5 real linearly generic points $\mathcal{P} \subset Q_{\mathbb{R}}$ is connected. Given such a pair (Q, \mathcal{P}) , each even permutation $\mathcal{P} \rightarrow \mathcal{P}$ that preserves Segre 3+2 bipartition can be realized by monodromy along a continuous loop in the space of pairs (Q, \mathcal{P}) . \square*

The similar question for less than 5 points is much simpler.

7.4.3. Proposition. *The space of pairs (Q, \mathcal{P}) formed by real ellipsoids Q and linearly generic k -point configurations $\mathcal{P} \subset Q_{\mathbb{R}}$, $k \leq 4$, is connected. Given such a pair (Q, \mathcal{P}) , each even permutation $\mathcal{P} \rightarrow \mathcal{P}$ if $k = 4$, and each permutation $\mathcal{P} \rightarrow \mathcal{P}$ if $k < 4$, can be realized by monodromy along a continuous loop in the space of pairs (Q, \mathcal{P}) .*

Proof. It follows from connectedness of $SO(3)$. \square

7.5. Another classification of quadrocubics. The object of this subsection is a *coarse deformation classification* of pairs (Q, A) where Q is a real non-singular quadric and $A \subset Q$ is a real non-singular curve of bi-degree $(3, 3)$, that is, the classification of such pairs up to deformation and projective equivalence.

Here, by an *oval* of A we mean a component of $A_{\mathbb{R}}$ which is null-homologous in $Q_{\mathbb{R}}$; clearly, non-oval components may appear only if $Q_{\mathbb{R}}$ is a hyperboloid. We say that a pair of ovals of $A_{\mathbb{R}}$ is *separated* if they bound disjoint discs on $Q_{\mathbb{R}}$, and that a set of 3 ovals O_1, O_2 and O_3 forms a *3-nest* on $Q_{\mathbb{R}}$ if a disc D_1 bounded by O_1 contains a disc D_2 bounded by O_2 , and D_2 contains O_3 . A non-oval component of $A_{\mathbb{R}}$ on a hyperboloid is said to be of type (p, q) , $0 \leq q \leq p$, if, under an appropriate orientation of this component and line-generators of $Q_{\mathbb{R}}$, it realizes the class $(p, q) \in \mathbb{Z}^2 \cong H_1(Q_{\mathbb{R}})$ with respect to the basis in $H_1(Q_{\mathbb{R}})$ given by the lines generators.

The following theorem is a straightforward consequence of deformation classification of real bi-degree $(3, 3)$ curves on real quadrics, as established in [DZ], [Zv].

7.5.1. Theorem.

- (1) *Pairs (Q, A) with $Q_{\mathbb{R}} = \emptyset$ are all coarse deformation equivalent to each other.*
- (2) *Pairs (Q, A) where $Q_{\mathbb{R}}$ is an ellipsoid form 8 coarse deformation classes: one of type $\mathbf{1}_1$, six of type \mathbf{k} , $0 \leq k \leq 5$, with $A_{\mathbb{R}}$ consisting of k disjoint ovals, and one of type $\mathbf{3}_I$ with $A_{\mathbb{R}}$ consisting of 3 ovals forming a nest.*
- (3) *Pairs (Q, A) where $Q_{\mathbb{R}}$ is a hyperboloid form 8 coarse deformation classes: five of type \mathbf{k} , $1 \leq k \leq 5$ with $A_{\mathbb{R}}$ consisting $k - 1$ disjoint ovals and component of type $(1, 1)$, one of type $\mathbf{1}_I$ with $A_{\mathbb{R}}$ consisting of a sole $(3, 1)$ -component, and two of type $\mathbf{3}_I$ with $A_{\mathbb{R}}$ consisting either of three homologous to each other type $(1, 1)$ components, or of two separated ovals and a type $(1, 1)$ component. \square*

7.6. Ascending and descending perturbations of a node on $X_{\mathbb{R}}$. Each real one-nodal cubic threefold X_0 admits two types of real perturbations X_t , $t \in [0, 1]$: as it is shown on Figure 4, for one type of perturbations the Smith discrepancy of X_t , $t > 0$, is equal to the Smith discrepancy of the quadrocubic associated with X_0 , for the other type it is less by 1 (see, for example, [Kr3]). We call *ascending* the perturbations of the first kind, and *descending* for the other. In terms of Figure 4, an ascending perturbation represents an ascending oriented edge of $\Gamma_{3,3}$ (direction of growing d) and a descending perturbation represent a descending oriented edge.

Perturbations of real one-nodal plane quintics have similar properties, and we apply to them a similar terminology: an ascending perturbation represents an ascending oriented edge of $\Gamma_{5,1}$, and a descending perturbation a descending edge.

These definitions are consistent with the spectral correspondence: if we pick a real line $l \subset X_0$ not through the node and a family $l_t \subset X_t$, $t \geq 0$, of real lines accompanying a perturbation as above, then the spectral curve S_0 experiences an ascending (respectively, descending) perturbation, if the perturbation of X_0 is ascending (respectively, descending). Such a relation follows, for example, from the congruence $d(S_t) = d(X_t) \pmod 2$.

7.6.1. Proposition. *If X_0 is a real one-nodal cubic threefold whose node has signature $(3, 1)$ and $l_0 \subset X_0$ is a real line passing through the node, then each real perturbation (X_t, l_t) , $t \in [0, 1]$, of (X_0, l_0) and the associated with it perturbation of S_0 are ascending, and the matchings $([X_t], [S_t])$, $t > 0$, are skew.*

Proof. According to Corollary 7.1.3(1), the nodes of S_0 are imaginary, and so the real locus $A_{\mathbb{R}}$ of the quadrocubic A associated with X_0 is projected homeomorphically on $S_{0\mathbb{R}}$. Hence, $S_{0\mathbb{R}}$ has the same number r of connected components as $A_{\mathbb{R}}$, that is $r = 5 - d_A$. Since the nodes of S_0 are imaginary, $S_{t\mathbb{R}}$ are homeomorphic to $S_{0\mathbb{R}}$, and hence have the discrepancy $7 - r = d_A + 2$.

On the other hand, by Theorem 4.7.3 and Corollary 4.7.4, ascending perturbations of X_0 have the discrepancy $d_X = d_A$, while the discrepancy for descending perturbations is $d_A + 1$ (existence of a real line l_0 guarantees that $A_{\mathbb{R}} \neq \emptyset$ and so, Corollary 4.7.4 is applicable). So, since $d(S_t)$ and $d(X_t)$ must have the same parity (see Corollary 5.5.2), the considered perturbation is ascending, and the matching $([X_t], [S_t])$ is skew due to $d_{S_t} = d_{X_t} + 2$. \square

7.7. Monodromy permutation of ovals of quadrocubics. As is well known (see, for example, [Zv]), any real quadrocubic with 5 ovals is of Klein type I, and if it lies on an ellipsoid Q then, with respect to the complex semiorientation, 3 ovals have the same sign, while that 2 other ovals have the opposite sign. We call this decomposition the *complex orientation bipartition*.

Denote by $V_k^{3,1}$, $0 \leq k \leq 5$, the space of real one nodal cubic threefolds with a node of signature $(3, 1)$ whose quadrocubic, $A_{\mathbb{R}}$, consists of k real components bounding disjoint discs on the ellipsoid $Q_{\mathbb{R}}$ (the latter assumption excludes only quadrocubics of Klein's type I with 3 ovals on this ellipsoid).

7.7.1. Proposition.

- (1) *The space $V_5^{3,1}$ is connected. The complex orientation bipartition of the ovals of the quadrocubics $A_{\mathbb{R}}$ is preserved along any continuous paths in $V_5^{3,1}$, and any permutation preserving this bipartition can be realized by a continuous loop.*

- (2) For $k \leq 4$, the monodromy in $V_k^{3,1}$ acts transitively on the set of ovals of $A_{\mathbb{R}}$, and on the set of unordered pairs of ovals.

Proof. Part (1): According to Theorem 7.5.1, the pairs $(Q_{\mathbb{R}}, A_{\mathbb{R}})$ where $Q_{\mathbb{R}}$ is an ellipsoid and $A_{\mathbb{R}}$ is a real quadrocubic with 5 ovals are all deformation equivalent. Combining this result with Proposition 4.7.1 (and connectedness of the group of real projective transformations of $P_{\mathbb{R}}^4$) we obtain the connectedness claim. The invariance of this bipartition is trivial.

To prove the claim on permutations, let us consider 5 points on $Q_{\mathbb{R}}$ in linearly general position. Then, there exists a unique curve B_1 of bidegree $(2, 1)$ and a unique curve B_2 of bidegree $(1, 2)$ passing through these points. These curves are complex conjugate to each other and intersect each other transversally. Their union is a curve of bidegree $(3, 3)$, and we denote by $f_C = 0$ an equation of $C = B_1 \cup B_2$ on Q , and an equation of Q by $f_2 = 0$. Consider then a small perturbation C' of $B_1 \cup B_2$ producing a real curve with 5 ovals, say a perturbation given by an equation $f'_C = 0$, $f'_C = f_C + \epsilon g_3$ where g_3 is any homogeneous polynomial of degree 3 without any zero on $Q_{\mathbb{R}}$.

The bipartition of the 5 ovals according to complex semiorientations coincides with the bipartition transported to the ovals from the Segre bipartition of the points p_1, \dots, p_5 . To justify it, it is sufficient: to consider another real quadrocubic with 5 ovals, namely, a 5-ovals quadrocubic obtained by a perturbation of the union of 3 circles cut by 3 sides of a regular tetrahedron on a sphere concentric to the circumscribed sphere, but of a bit bigger radius; to notice that the limit of a complex orientation of this quadrocubic should give an orientation of the circles; to observe that thus each plane intersecting the 3 ovals of the same sign separate the 2 other ovals; and apply finally the first definition of Segre bipartition, see Subsection 7.4.

Now, given any even permutation of 5 ovals of C' preserving their bipartition, we apply Proposition 7.4.2 and realize this permutation by a family of quadrocubics given, as above, by equations $f'_{C_t} = 0$, $f'_{C_t} = f_{C_t} + \epsilon g_3$. The passage from a loop of quadrocubics to a loop of cubic threefolds is insured, for example, by Lemma 4.7.2. To get the odd permutations preserving the bipartition it is sufficient to combine the above loops with a loop in the space of real one-nodal cubic threefolds that moves the node in a way reversing the local orientations of $P_{\mathbb{R}}^4$.

Part (2) of the Proposition is proved similarly, modulo replacement of the reference to Proposition 7.4.2 by the reference to Proposition 7.4.3. \square

7.8. Atoric nodal cubics and monodromy permutations of their nodes. We say that a real nodal cubic threefold $X \subset P^4$ is *atoric* if:

- (1) its nodes are real and in linearly generic position;
- (2) all the nodes have signature $(3, 1)$;
- (3) the real loci of quadrocubics associated with the nodes consist only of solitary points.

The name is motivated by Proposition 8.6.1, where we show that the real Fano surface $F_{\mathbb{R}}(X)$ of such a cubic X has no torus components.

It turns out that if the conditions (2) - (3) hold for one node of X , then they are satisfied for the others.

7.8.1. Lemma. *Assume that X is a real k -nodal cubic satisfying the above condition (1). Then if one of the nodes, $\mathfrak{s} \in X$ has signature $(3, 1)$ and its quadrocubic*

$A_{\mathbb{R}}$ consists of $k-1$ solitary nodes, then X is atoric (that is, the conditions (2) and (3) hold for all the other nodes as well).

Proof. According to Proposition 7.2.1, the quadric $Q_{\mathfrak{s}'}$ associated with the node $\mathfrak{s}' \neq \mathfrak{s}$ is obtained from the ellipsoidal quadric $Q_{\mathfrak{s}}$ associated with \mathfrak{s} by the following sequence of birational transformations: the blowing up of $Q_{\mathfrak{s}}$ at the point p' represented by the line $\mathfrak{s}\mathfrak{s}'$, the blowing down of the pair of conjugate imaginary exceptional curves arisen from linear generators, the blowing up of the pair of conjugate imaginary points on the image T of the section of $Q_{\mathfrak{s}}$ by the plane tangent to $Q_{\mathfrak{s}}$ at p' , and, finally, the blow down of the real exceptional curve arisen from T . The result is an ellipsoid with a quadrocubic consisting of $k-1$ solitary nodes on it, since the real locus $(Q_{\mathfrak{s}})_{\mathbb{R}}$ experienced only one blowup and one blowdown. \square

7.8.2. Proposition. *Assume that $X \subset P^4$ is an atoric real k -nodal cubic, $3 \leq k \leq 5$, and $\mathfrak{s} \in X$ is a fixed node. Then the real equisingular deformations of X preserving the node \mathfrak{s} fixed act transitively on the other nodes.*

Proof. Straightforward consequence of Proposition 7.7.1 and Lemma 4.7.2. \square

An immediate consequence of Proposition 7.8.2 is the following.

7.8.3. Corollary. *For an atoric real k -nodal cubic $X \subset P^4$ with $3 \leq k \leq 5$, the monodromy action of real equisingular deformations is transitive on the set of nodes and the set of unordered pairs of nodes.*

7.9. Perturbation of atoric nodal cubics. The main strata of the real locus of the discriminant hypersurface $\Delta^{3,3} \subset \mathcal{C}^{3,3}$ that correspond to real one-nodal cubic threefolds with signature (p, q) different from $(2, 2)$ are coorientable. In the case of signature $(3, 1)$, real perturbations modeled in local Morse coordinates by $x_1^2 + x_2^2 + x_3^2 - x_4^2 = a$ with $a > 0$, which we call *one-sheeted perturbations*, lead to one side, and those with $a < 0$, which we call *two-sheeted*, to the opposite one.

Now, consider a real k -nodal cubic threefold X_0 whose nodes $\mathfrak{s}_1, \dots, \mathfrak{s}_k$ have all signature $(3, 1)$. If its real perturbation $\{X_t\}_{t \in [0,1]}$ is one-sheeted at i nodes and two-sheeted at the other $k-i$ nodes, we call it a *perturbation of real type $(i, k-i)$* . Two perturbations of X_0 that are of the same type at each of the k nodes are called *coherent*.

To extend the notion of ascending perturbation from $k=1$ to any k , we define a real perturbation of X_0 *ascending*, if it produces the minimal possible value of Smith deficiency. We say that two real perturbations X_t and X'_t of X_0 are *deformation equivalent*, if they can be connected by a continuous family of perturbations.

7.9.1. Proposition. *Let X_0 be an atoric real nodal cubic threefold with $k \leq 6$ nodes. Then:*

- (1) *Any two coherent real perturbations of X_0 are locally deformation equivalent.*
- (2) *Each ascending perturbation of X_0 is one-sheeted at every node. In particular, the ascending perturbations of X_0 are all coherent and, thus, deformation equivalent.*

Proof. For each $k \leq 6$, the strata of nodal cubic threefolds with k nodes is an intersection of k transversal branches of the discriminant. This implies immediately the first claim.

For $k=1$, the second claim follows from the first claim and Proposition 7.6.1. If $k > 1$, we perform the perturbation in two steps. First, we keep one node

and perturb the other nodes. As it follows from Proposition 7.1.1, to provide a quadrocubic with the maximal possible number of ovals, at the latter nodes such a perturbation should be one-sheeted. Note also that such a perturbation always exists. Thus, the case $k > 1$ is reduced to the case $k = 1$. \square

8. FANO SURFACES OF NODAL CUBICS

8.1. Fano surface of a nodal cubic threefold [CG], [Ha]. Assume that X is a nodal cubic threefold containing no any plane, $\mathfrak{s} \in X$ is one of the nodes, and A is the quadrocubic associated with this node. Then there appear a natural birational map $\sigma: \text{Sym}^2(A) \dashrightarrow F(X)$ from the symmetric square of A to the Fano surface. This map sends a generic pair $\{[l_1], [l_2]\} \in \text{Sym}^2(A)$ to the line $l \in F(X)$ such that $l_1 + l_2 + l$ is a plane section of X .

There are also two natural embeddings $\phi_i: A \rightarrow \text{Sym}^2(A)$, $i = 1, 2$, defined as follows. For every $a \in A$, each of the two generators $g_i(a)$, $i = 1, 2$, of the quadric $f_2 = 0$ passing through a intersects A at two more points in addition to a , and the embeddings ϕ_i send $a \in A$ to the pair of such additional intersection points of A with $g_i(a)$.

8.1.1. Proposition. *If X is a one-nodal cubic threefold, then $F(X)$ is a singular surface, its singular locus $\text{Sing } F(X)$ is a double curve with a simple double crossing, $\sigma: \text{Sym}^2(A) \rightarrow F(X)$ is the normalisation map, and, for each $i = 1, 2$, the composition $\sigma \circ \phi_i$ maps A on $\text{Sing } F(X)$ isomorphically.* \square

Now, consider a multi-nodal cubic threefold X with nodes \mathfrak{s}_i , $i = 0, \dots, k$. Then, according to Proposition 7.1.1, the quadrocubic A associated to \mathfrak{s}_0 is nodal and its nodes p_i , $i = 1, \dots, k$ are represented by the lines $\mathfrak{s}_0 \mathfrak{s}_i$. Note that the embeddings $\phi_i: A \rightarrow \text{Sym}^2(A)$, $i = 1, 2$, lift to embeddings $\hat{\phi}_i: \hat{A} \rightarrow \text{Sym}^2(\hat{A})$, where \hat{A} is the normalization of A . Note also that each nodal point p_i of A lifts to a pair of points p'_i, p''_i in \hat{A} .

8.1.2. Proposition. *For any multi-nodal cubic threefold X , the normalization map $\hat{F}(X) \rightarrow F(X)$ of the Fano surface $F(X)$ decomposes into the composition of the map $\hat{F}(X) \rightarrow \text{Sym}^2(\hat{A})$ obtained by blowing up the points (p'_i, p''_i) , $i = 1, \dots, k$, the projection $\text{Sym}^2(\hat{A}) \rightarrow \text{Sym}^2(A)$ induced by the normalization of A , and the mapping $\sigma: \text{Sym}^2(A) \rightarrow F(X)$.* \square

8.2. Fano surface of a 6-nodal Segre cubic threefold [Do], [HT]. We need to analyze in more details the normalization map from Proposition 8.1.2 when X is a 6-nodal Segre cubic threefold X , in which case the quadrocubic A associated to a node \mathfrak{s}_0 splits into two non-singular rational irreducible components B_1, B_2 (see Proposition 7.3.1) that intersect each other at 5 points p_i , $i = 1, \dots, 5$, given by the lines $\mathfrak{s}_0 \mathfrak{s}_i$. Thus,

$$\text{Sym}^2(\hat{A}) = \text{Sym}^2(B_1) \sqcup \text{Sym}^2(B_2) \sqcup (B_1 \times B_2),$$

and Proposition 8.1.2 implies that

$$\hat{F}(X) = P_1^2 \sqcup P_2^2 \sqcup R,$$

where $P_i^2 = \text{Sym}^2(B_i)$, $i = 1, 2$, are projective planes and R is a del Pezzo surface of degree 3 obtained from $B_1 \times B_2$ by blowing up the 5 points (p_i^1, p_i^2) representing the

intersection points $p_i \in B_1 \cap B_2$, $i = 1, \dots, 5$. Using an anticanonical embedding, R can be viewed as a non-singular cubic surface in P^3 (well-defined up to projective equivalence). In what follows we call R (respectively, its image R' in $F(X)$) the *central component of $F(X)$* (respectively, $\hat{F}(X)$).

Each of the planes $P_j^2 = \text{Sym}^2(B_j)$, $j = 1, 2$, contains 5 lines that are the images of $B_j \times p_i^j \subset B_j^2$ under the projection $B_j^2 \rightarrow P_j^2$. According to Proposition 8.1.2, to obtain $F(X)$ these lines should be identified with the corresponding five disjoint lines $L_{j,i} \subset R$ that are proper inverse images of $B_1 \times p_i^2$ (respectively, $p_i^1 \times B_2$) if $j = 1$ (respectively, $j = 2$).

One can easily check also that the curve $\phi_1(B_2)$ (respectively, $\phi_2(B_1)$) is also a line in P_1^2 (respectively, P_2^2) and that in $F(X)$ the six described lines in P_1^2 (respectively, P_2^2) are identified with the six lines $L_{1,i}$ (respectively, $L_{2,i}$), $i = 0, \dots, 5$, in R , where $L_{1,0}$ (respectively, $L_{2,0}$) is the proper inverse image in R of $\phi_2(B_2)$ (respectively, $\phi_1(B_1)$).

Note that the two sextuples of lines $L_{1,i}$ and $L_{2,i}$ form a Schläfli double six on R . Note also that identification of the six disjoint lines $L_{1,i} \subset R$ with pairwise intersecting six lines on P_1^2 and that of lines $L_{2,i} \subset R$ with lines on P_2^2 results on R in gluing of 15 pairs of points $L_{1,i} \cap L_{2,j}$ and $L_{1,j} \cap L_{2,i}$, $0 \leq i < j \leq 5$, which gives 15 singular (not normal) points q_{ij} on the result R' of this factorization. The points q_{ij} are *quadruple* in the sense that they have four local branches: two on R' and one on each of the planes P_1^2, P_2^2 (where q_{ij} are identified with the 15 pairwise intersection points of the 6 lines on each of P_j^2).

The above observations belong to C. Segre [SeC] (*cf.*, [Do]) and can be summarized as follows.

8.2.1. Proposition. *The Fano surface $F(X)$ of a 6-nodal Segre cubic threefold X splits into 3 irreducible components, $F(X) = P_1^2 \cup P_2^2 \cup R'$, two of which are projective planes $P_i^2 = \text{Sym}^2(B_i)$. The third component R' is the quotient of the cubic surface R obtained from $B_1 \times B_2$ by blowing it up at 5 points, (p_i^1, p_i^2) , $i = 1, \dots, 5$, representing 5 intersection points $p_i \in B_1 \cap B_2$. The factorization $R \rightarrow R'$ consists in gluing pairwise 15 pairs of intersection points $L_{1,i} \cap L_{2,j}$ and $L_{1,j} \cap L_{2,i}$, $0 \leq i < j \leq 5$ and is induced by identification of $L_{1,0}, L_{1,1}, L_{1,2}, L_{1,3}, L_{1,4}, L_{1,5}$ with 6 generic lines in P_1^2 and $L_{2,0}, L_{2,1}, L_{2,2}, L_{2,3}, L_{2,4}, L_{2,5}$ with 6 generic lines in P_2^2 . \square*

In the above construction it is often useful to identify the product $B_1 \times B_2$ with the local quadric Q_0 associated to the chosen node \mathfrak{s}_0 .

8.2.2. Proposition. *Let Q_0 and $A = B_1 \cup B_2$ be the quadric and the quadrocubic associated to one of the nodes \mathfrak{s}_0 of a 6-nodal Segre cubic threefold X . Then, $B_1 \times B_2$ can be canonically identified with Q_0 , which yields a canonical isomorphism between the central component R of $\hat{F}(X)$ and Q_0 blown up at the 5 nodes of A .*

Proof. By Proposition 7.3.1, one of the components of A , say B_1 , has bidegree $(2, 1)$, while the other component, B_2 , has bidegree $(1, 2)$. Projections of B_1 to the first and B_2 to the second factor (line-generator) of Q_0 are isomorphisms, which identifies Q_0 with $B_1 \times B_2$ and induces the required isomorphism. \square

Note that although the Fano surface $F(X)$ is independent of the choice of a node \mathfrak{s}_0 , the above construction makes use of such a choice, and this choice yields a distinguished pair of lines, $\begin{pmatrix} L_{1,0} \\ L_{2,0} \end{pmatrix}$ (represented by the curves B_1 and B_2), in the

double six $(\begin{smallmatrix} L_{1,0} L_{1,1} L_{1,2} L_{1,3} L_{1,4} L_{1,5} \\ L_{2,0} L_{2,1} L_{2,2} L_{2,3} L_{2,4} L_{2,5} \end{smallmatrix})$. It follows from Proposition 7.2.1 that, for any $i = 1, \dots, 5$, the pair $(\begin{smallmatrix} L_{1,i} \\ L_{2,i} \end{smallmatrix})$ represents the irreducible components of the quadrocubic $A_i \subset Q_i$ associated with \mathfrak{s}_i . Thus, the next statement is a consequence of the two previous propositions.

8.2.3. Corollary. *In notation and under assumptions of Proposition 8.2.1:*

- (1) *The six nodes \mathfrak{s}_i of X are in a canonical one-to-one correspondence with the six pairs of lines, $(\begin{smallmatrix} L_{1,i} \\ L_{2,i} \end{smallmatrix})$, of the Schläfli double six $(\begin{smallmatrix} L_{1,0} L_{1,1} L_{1,2} L_{1,3} L_{1,4} L_{1,5} \\ L_{2,0} L_{2,1} L_{2,2} L_{2,3} L_{2,4} L_{2,5} \end{smallmatrix})$.*
- (2) *Each of the local quadrics Q_i can be canonically identified with the result of contraction of the 5 lines $L_{i,j}$, $0 \leq j \leq 5$, $j \neq i$, on the cubic R that are incident with the lines $L_{1,i}$, $L_{1,j}$, $L_{2,i}$, $L_{2,j}$ and not incident with the other lines of the above double six.*
- (3) *Each of the points $[\mathfrak{s}_i \mathfrak{s}_j] \in F(X)$ represented by the line $\mathfrak{s}_i \mathfrak{s}_j \subset X$ connecting the nodes s_i, s_j is a quadruple point of $F(X)$ and belongs to the image of $L_{1,i}, L_{2,i}, L_{1,j}, L_{2,j} \subset R$ in R' . \square*

8.3. Real Fano surfaces of one-nodal cubics [Kr3]. Assume that X is a real one-nodal cubic threefold, and that each of A , $F(X)$, and $\hat{F}(X)$ is equipped with the induced real structure. Then, the real locus of $\text{Sym}^2(A) = \hat{F}(X)$ consists of $\binom{r}{2} + 1$ connected components. One component, which we denote $N(X)$, is non-orientable; it is obtained from the quotient A/conj of A by the complex conjugation by filling its holes with the r Möbius bands $\text{Sym}^2(C_i)$, $i = 1, \dots, r$, where C_1, \dots, C_r denotes the connected components of $A_{\mathbb{R}}$. The other $\binom{r}{2}$ components are tori $T_{ij} = C_i \times C_j$, $1 \leq i < j \leq r$.

8.3.1. Proposition. *Let X be a real one-nodal cubic threefold. If the node of X is of signature (p, q) with $p, q \geq 1$, then the signature of the node of the normal real slice of $F(X)$ at a point of $A_{\mathbb{R}}$ (such a slice is nodal according to Proposition 8.1.1) is $(p-1, q-1)$. If the node of X has signature $(4, 0)$ then $A_{\mathbb{R}} = \emptyset$. \square*

Recall that for real surfaces with a simple double crossing a normal real slice of signature $(2, 0)$ (respectively, $(1, 1)$) can be characterized by a local model $x^2 + y^2 = 0$ (respectively, $x^2 - y^2 = 0$) in the 3-space with local coordinates (x, y, z) . Let us call a component C of $A_{\mathbb{R}}$ *solitary circle* if the normal real slices along this component have signature $(2, 0)$ and *cross-like intersection circle* in the case of signature $(1, 1)$.

It is well known also that a perturbation of X leads to a smoothing of the singular locus A of $F(X)$ modeled by a usual smoothing of normal slices (cf., Krasnov's [Kr3] where it was crucial for the proof of the results we reproduced in Subsection 4.5). In particular, if the node of X has signature $(3, 1)$, then the real singular locus $A_{\mathbb{R}} \subset F(X)$ consists of $0 \leq r \leq 5$ solitary circles, and after ascending real perturbation X_t , $t \in [0, 1]$, of $X_0 = X$ such circles $C_i \subset A_{\mathbb{R}}$, $1 \leq i \leq r$, are perturbed simultaneously into r toric components T_{0i} of $F_{\mathbb{R}}(X_t)$, $t > 0$, while in the case of descending perturbation, these circles simultaneously vanish.

Those r toric component T_{0i} in the case of ascending perturbation X_t will be called *collapsing tori* of $F_{\mathbb{R}}(X_t)$, $t > 0$, relative to this perturbation (that can be viewed also as a nodal degeneration as $t \rightarrow 0$).

8.3.2. Proposition. *Assume that a real non-singular cubic threefold X_1 is obtained by an ascending perturbation X_t , $t \in [0, 1]$, of a one-nodal cubic X_0 whose node has signature $(3, 1)$. Then if X_1 has Klein type II, then the monodromy action*

is transitive on the set of collapsing tori of $F_{\mathbb{R}}(X_1)$ as well as on the set of the non collapsing ones. In particular, there are at most two orbits in the set of toric components of $F_{\mathbb{R}}(X_1)$.

Proof. The collapsible tori arise as result of perturbation of solitary circles represented by the components C_1, \dots, C_r of $A_{\mathbb{R}}$ treated as the double curve of $F_{\mathbb{R}}(X)$ and non collapsing ones by the products $C_i \times C_j$, $1 \leq i < j \leq r$. Since the Klein type of X_1 is the same as that of A (see Theorem 4.7.3), we can apply Proposition 7.7.1 and conclude, using Lemma 4.7.2 together with Proposition 7.9.1 and continuity argument, that the monodromy permutes the components C_i as well as their pairs, which implies the required claim. \square

A somewhat different perturbation scheme appears if X has a node of signature $(2, 2)$. Then Propositions 8.1.2 and 8.3.1 imply that the real components of the associated quadrocubic A look as simple normal self-intersection curve of $F_{\mathbb{R}}(X)$. More precisely, each singular connected component Σ of $F_{\mathbb{R}}(X)$ contains one component of quadrocubic, $C \subset A_{\mathbb{R}}$, as such self-intersection curve. In particular, some singular components Σ may be homeomorphic to the direct product of a figure-eight (wedge of two circles) with a circle. The same arguments (due to Krasnov [Kr3]) as in the previous case imply that an ascending perturbation of X leads to a perturbation of such component Σ into a pair of tori in $F_{\mathbb{R}}(X_t)$, $t > 0$, (the figure-eight factor is smoothed into a pair of circles). The tori that appear in $F_{\mathbb{R}}(X_t)$, $t > 0$, in such a way will be call *T-mergeable* with respect to the nodal degeneration X_t , $t \rightarrow 0$. If perturbation X_t is descending, then the figure-eight factor of Σ is smoothed into one circle, so that Σ is perturbed into one torus component on $F_{\mathbb{R}}(X_t)$.

8.3.3. Proposition. *Consider an ascending perturbation X_t , $t \in [0, 1]$, of a one-nodal real cubic X_0 that yields a non-singular real cubic threefold $X = X_1$. Assume that $l \subset X$ is a real line representing a point $[l] \in F_{\mathbb{R}}(X)$ on a torus which is collapsible, if the node of X_0 has signature $(3, 1)$, and *T-mergeable* in the case of signature $(2, 2)$. Then l realises a skew spectral matching, or in other words, for the spectral curve S of (X, l) we have*

$$d(S) = d(X) + 2.$$

Proof. If $A_{\mathbb{R}}$ has $r \geq 1$ connected components, then $d_A = d_X = 5 - r$, and we need to show that $d_S = 7 - r$. Consider a line $l_1 \subset X_1$ representing point $[l_1]$ of a torus component $T_1 \subset F_{\mathbb{R}}(X_1)$ and their continuous variations, $l_t \subset X_t$, $T_t \subset F_{\mathbb{R}}(X_t)$, $[l_t] \in T_t$ along with the spectral curves $S_t \subset P^2$ associated to (X_t, l_t) .

If the torus T_1 is contracting, then it degenerates as $t \rightarrow 0$ to one of the circle components of $A_{\mathbb{R}} \subset F_{\mathbb{R}}(X_0)$, which implies, in particular, that the limit line l_0 contains the node $\mathfrak{s} \in X_0$. By our assumption, \mathfrak{s} has signature $(3, 1)$, which means that the local quadric $Q_{\mathfrak{s}}$ is an ellipsoid and, thus, the line generators of $Q_{\mathfrak{s}}$ are imaginary. This implies that the two nodes of S are imaginary and, hence, the components C_1, \dots, C_r of $A_{\mathbb{R}}$ are projected into r smooth real components of $S_{0\mathbb{R}}$. Their smoothness implies that $S_{t\mathbb{R}}$ with $t > 0$ has also r components and so, $d_S = d_{S_1} = 7 - r$.

If the torus T_1 is *T-mergeable*, then its limit T_0 is merging along some component C_i of $A_{\mathbb{R}} \subset F_{\mathbb{R}}(X_0)$ with another torus, and the path l_t can be chosen so that $[l_0] \in C_i$. Since the node \mathfrak{s} in this case is of signature $(2, 2)$, the quadric $Q_{\mathfrak{s}}$ is a hyperboloid. Therefore, the two nodes of S are real.

None of these nodes is solitary or a self-intersection of the image of one of the real components of $A_{\mathbb{R}}$. Indeed, otherwise, the torus T_0 is merging not with a torus but with the non-orientable component of $\hat{F}_{\mathbb{R}}(X_0)$, that is

$$N(X_0) = A/\text{conj} \cup_{j=1}^r \text{Sym}^2(C_j) \subset \text{Sym}^2(A) = \hat{F}(X_0).$$

This is because a solitary point of S_0 can appear only as projection of an imaginary pair, $\{z, \bar{z}\}$, and then $[l_0] \in A/\text{conj}$, while if a node is formed by projection of some component $C_j \subset A_{\mathbb{R}}$, then $[l_0] \in \text{Sym}^2(C_j)$.

Thus, the two nodes of $S_{\mathbb{R}}$ are intersection points of the projections C'_j and C'_k of two different components C_j and C_k of $A_{\mathbb{R}}$. Therefore, the quintics $S_{t\mathbb{R}}, t > 0$, which are obtained by smoothing the nodes of $S_{\mathbb{R}}$, have either $r - 1$ or r connected components. The first option is excluded by Corollary 5.5.2, and we conclude that $d_{S_t} = 7 - r$, for $t > 0$. \square

8.4. Cubic threefolds of type C_I^3 . Here, we consider a real one-nodal cubic threefold X_0 with a node of signature $(2, 2)$ such that the associated quadrocubic is of type $\mathbf{3}_I$ (see Subsections 4.7 and 7.5). Such a cubic exists; indeed, one may start from constructing A as an intersection of a hyperboloid $f_2 = 0$ with three planes $L_1 = 0, L_2 = 0, L_3 = 0$ from a pencil of planes whose real base-line $L_1 = L_2 = L_3 = 0$ does not intersect $Q_{\mathbb{R}}$ (such a curve A is of type I, see, for example, [Zv]), and define X_0 by affine equation $f_2 + L_1L_2L_3 = 0$.

8.4.1. Proposition.

- (1) $F_{\mathbb{R}}(X_0)$ consists of 4 connected components, one of which is a non-orientable smooth surface and each of the other components is the product of a figure-eight with S^1 .
- (2) There exists an equisingular deformation $\{X_t\}_{t \in [0,1]}$ of X_0 preserving the node of X_0 at a fixed point of P^3 , such that $X_1 = X_0$ and whose monodromy gives a cyclic permutation of the three non-smooth components of X_0 .

Proof. (1) Let us denote by $C_i, i = 1, 2, 3$, the connected components of $A_{\mathbb{R}}$. By Proposition 8.1.1, the normalization $\hat{F}(X_0)$ of $F(X_0)$ is $\text{Sym}^2(A)$. It implies that $\hat{F}_{\mathbb{R}}(X)$ consists of one non-orientable component and 3 torus components $T_k, k = 1, 2, 3, T_k = C_i \times C_j, 1 \leq i < j \leq 3, k \neq i, j$. Each torus T_k contains a pair of disjoint curves, $D_{ki} = \phi_i(C_k), i = 1, 2$, which are identified to form the double curve of $\hat{F}(X_0)$ (see Proposition 8.1.1). Such an identification turns T_k in a component of $F_{\mathbb{R}}(X_0)$ homeomorphic to the product of a figure-eight with S^1 .

(2) By construction, $A_{0\mathbb{R}}$ splits into three disjoint ellipses $E_i = P_{i\mathbb{R}}^2 \cap Q_{\mathbb{R}}$ traced by the planes $L_i = 0, i = 1, 2, 3$. Rotation of these planes around the base-line gives us a family of triples of planes $L_{1t} = 0, L_{2t} = 0, L_{3t} = 0$ ending by a cyclic permutation $L_1 = L_{10} = L_{31}, L_2 = L_{20} = L_{11}, L_3 = L_{30} = L_{21}$, and we get the required family of cubic threefolds by defining it by equations $f_2 + L_{1t}L_{2t}L_{3t} = 0$. \square

8.4.2. Proposition. *For a real cubic threefold X of type C_I^3 , all the tori of $F_{\mathbb{R}}(X)$ are T -mergeable. More precisely, there exists a nodal degeneration of X that yields merging of the six toric components of $F_{\mathbb{R}}(X)$ pairwise, along the three connected components of the quadrocubic $A_{\mathbb{R}}$ associated to the node.*

Proof. Due to Proposition 8.4.1, the degeneration insured by Theorem 4.7.3 is as required. \square

8.5. Atoric real 6-nodal Segre cubics.

8.5.1. Proposition. *If X is an atoric real 6-nodal Segre cubic threefold, then the central component R of $\hat{F}(X) = P_1^2 \cup P_2^2 \cup R$ is a real non-singular cubic $(M-1)$ -surface, while that P_1^2, P_2^2 are imaginary complex conjugate.*

Proof. Choose one of the nodes $\mathfrak{s} \in X$. Since it is of signature $(3, 1)$, the quadric $Q_{\mathfrak{s}}$ is an ellipsoid. By Proposition 7.3.1 the quadrocubic A splits into two nonsingular irreducible curves B_1 and B_2 of bidegree $(2, 1)$ and $(1, 2)$, respectively, intersecting each other transversally at 5 points. In our case these curves are imaginary complex conjugate, since the complex conjugation transforms each curve of bidegree (a, b) in a curve of bidegree (b, a) . Since all the nodes of X are real, all the 5 points of $B_1 \cap B_2$ are real (see Proposition 7.1.1). Due to Proposition 8.2.2, this implies that R is an $(M-1)$ real cubic surface. The components P_1^2, P_2^2 are imaginary complex conjugate, since $P_i^2 = \text{Sym}^2(B_i)$ for each $i = 1, 2$ (see Proposition 8.2.1). \square

Note that R is an M -surface and both P_1^2, P_2^2 are real, if the nodes are of signature $(2, 2)$.

8.6. Monodromy of collapsing tori.

8.6.1. Proposition. *For each non-singular real cubic threefold X of type C^k , $1 \leq k \leq 5$, there exists a real degeneration X_t , $t \in [0, 1]$, of $X = X_1$ to a $(k+1)$ -nodal atoric cubic X_0 . The corresponding degeneration of the Fano surfaces $F(X_t)$, $t > 0$, contracts the $\binom{k+1}{2}$ tori of its real locus to the points $[l_{ij}] \in F(X_0)$, where l_{ij} are the lines connecting the nodes \mathfrak{s}_i and \mathfrak{s}_j , $0 \leq i < j \leq k$.*

Proof. Consider a non-singular real quadric Q whose real locus $Q_{\mathbb{R}}$ is an ellipsoid and a real quadrocubic A whose real locus $A_{\mathbb{R}}$ is formed by k solitary nodes on $Q_{\mathbb{R}}$ in linearly general position (one can construct such a quadrocubic as, for example, the image of a real plane quartic with $k-1$ solitary nodes under a standard birational transformation from real projective plane to a real ellipsoid, choosing the two base points of this transformation to be imaginary complex conjugate points in general position). Next as usual we pass from such a k -nodal quadrocubic A to a $(k+1)$ -nodal cubic $X_0 \subset P^4$ (see Proposition 4.7.1) so that A is associated to one node, \mathfrak{s}_0 , of X_0 and the other nodes \mathfrak{s}_i , $i = 1, \dots, k$ of X_0 represent the nodes of A (viewed as lines $\mathfrak{s}_0\mathfrak{s}_i$). Lemma 7.8.1 guarantees that all the nodes of X_0 are real and have signature $(3, 1)$.

Instead of a direct perturbation of all the nodes at once, it will be convenient to perform a perturbation at two steps: first, we keep the distinguished node \mathfrak{s}_0 of X_0 and perturb the others, which gives one nodal cubic X'_0 , and, second, we perturb the remaining node \mathfrak{s}_0 and obtain non-singular cubic X . More precisely, at the first step, we perturb the quadrocubic so that each solitary node $\mathfrak{s}_0\mathfrak{s}_i$ of A gives birth to an oval $C_i \subset Q_{\mathbb{R}}$ of the quadrocubic A' associated to X'_0 , and then choose and ascending perturbation of X'_0 , which implies that the resulting perturbation of X_0 is ascending too and thus, according to Theorem 4.7.3, really yields X of type C^k as required. The required perturbation scheme really exists because in the space of cubic threefolds $\mathcal{C}^{3,3}$ each stratum of k -nodal ones is an intersection of k transversal branches of the discriminant $\Delta^{3,3}$, for each $k \leq 6$.

As it was remarked in Subsection 8.3, the Fano surface $F_{\mathbb{R}}(X'_0)$ has torus components $T_{ij} = C_i \times C_j$, $1 \leq i < j \leq k$, as a part of its non-singular locus, and solitary circle components $C_i \subset A'_{\mathbb{R}}$, $i = 1, \dots, k$, forming its real singular locus.

An ascending perturbation of X'_0 leads to smoothing of circles C_i into toric components $T_{0i} \subset F_{\mathbb{R}}(X)$, $i = 1, \dots, k$. This means that the tori T_{0i} are collapsing and contract to the points l_{0i} as X contracts to X_0 . Since degeneration of X'_0 to X_0 contracts the oval C_i to points $\mathfrak{s}_0\mathfrak{s}_i$, the other other toric components of $F_{\mathbb{R}}(X)$ corresponding to $T_{ij} = C_i \times C_j$, $1 \leq i < j \leq k$, will contract as the corresponding ovals, namely, to the points $l_{ij} \in F_{\mathbb{R}}(X_0)$ representing lines $\mathfrak{s}_i\mathfrak{s}_j$. \square

8.6.2. Proposition. *Let X_0^τ , $\tau \in [0, 1]$, be a continuous loop in the space of atoric k -nodal cubic threefolds with $k \leq 6$, and let σ be the resulting permutation of the nodes $\mathfrak{s}_1, \dots, \mathfrak{s}_k$ of X_0^0 . Then, there exists a continuous map $[0, 1]^2 \rightarrow \mathcal{C}_{3,3}$, $(t, \tau) \mapsto X_t^\tau$, such that :*

- (1) $X_t^0 = X_t^1$ for any t and X_t^τ is non-singular for any $\tau \geq 0$ and any $t > 0$;
- (2) X_t^0 , $t \in [0, 1]$, is an ascending perturbation of X_0^0 ;
- (3) the torus component $T_{ij} \subset F_{\mathbb{R}}(X_1^0)$, $1 \leq i < j \leq k$, that contracts to the point $[\mathfrak{s}_i\mathfrak{s}_j] \in F(X_0^0)$ is mapped by the monodromy along the loop X_1^τ , $\tau \in [0, 1]$, to the torus component $T_{\sigma(i)\sigma(j)}$.

Proof. Straightforward consequence of Proposition 7.9.1, since for each $k \leq 6$ the strata of nodal cubic threefolds with k nodes is an intersection of k transversal branches of the discriminant. \square

8.6.3. Corollary. *If X is a real non-singular cubic threefold of type C^k , $1 \leq k \leq 5$, then all the torus components of $F_{\mathbb{R}}(X)$ are collapsible. If, in addition, $k < 5$ then the Fano monodromy group of X acts transitively on the torus components of $F(X)$.*

Proof. Proposition 8.6.1 implies the first statement. While that the second statement follows from Proposition 8.6.2(3) and Corollary 7.8.3. \square

9. PROOF OF MAIN THEOREMS

9.1. Proof of Theorem 4.11.1. If X belongs to the type C^0 or $C_{I(2)}^1$, then its Fano surface has only one connected component, $F_{\mathbb{R}}(X) = N_5$ (Subsection 4.5, Table 1). Hence, there exists only one spectral matching $([X], [S])$ involving such a type of cubic threefolds. This matching is perfect, since due to Lemma 5.8.5 the perfect matching is spectral.

For X of type C_I^1 , Theorem 4.11.1 is proved in Subsection 6.8.

If X is of type C^k , $1 \leq k \leq 5$, then, by Proposition 8.6.1 and Corollary 8.6.3, all the tori of $F_{\mathbb{R}}(X)$ are collapsible. Thus, by Proposition 8.3.3, the spectral matching $([X], [S])$ is skew if l is chosen on any of the tori. Therefore, for the perfect matching, which is always spectral due to Lemma 5.8.5, there remain only the option $l \in N(X)$.

In the remaining case C_I^3 , Proposition 8.4.2 shows that the tori are mergeable. Thus, by Proposition 8.3.3, they all provide skew matchings. The remaining component $N(X)$ provides a perfect matching, as it follows again from Lemma 5.8.5. \square

9.2. Proof of Theorem 4.12.1(1). For real cubic threefolds of type C^k , $2 \leq k \leq 4$, this claim of the theorem follows from Corollary 8.6.3, while for other types it is empty: the types C^5 and C_I^3 are not subject of the statement, and in the remaining cases the Fano surface has at most one toric component. \square

9.3. Segre 3-3 bipartition. Claims (1) and (2) of the following proposition go back to L. Schläfli, claim (3) is due to B. Segre [SeB], §41–42.

9.3.1. Proposition. *Assume that Y is a non-singular real cubic $(M-1)$ -surface. Then:*

- (1) Y has precisely 15 real and 12 imaginary lines, where the latter ones form a double six, $\begin{pmatrix} L_1 \dots L_6 \\ \bar{L}_1 \dots \bar{L}_6 \end{pmatrix}$, so that each line \bar{L}_i is complex-conjugate to L_i .
- (2) Each of the real lines intersects precisely two pairs of conjugate imaginary lines, (L_i, \bar{L}_i) and (L_j, \bar{L}_j) , so that this gives a one-to-one correspondence between the 15 real lines and the $\binom{6}{2}$ choices of $1 \leq i < j \leq 6$.
- (3) The set of the six pairs (L_i, \bar{L}_i) is partitioned into two triples, so that, with respect to the above correspondence, the 9 combinations of choices of (L_i, \bar{L}_i) and (L_j, \bar{L}_j) from different triples represent hyperbolic lines, while the 6 other combinations represent elliptic lines. \square

If we consider an atoric real 6-nodal Segre cubic threefold X and the lines on the cubic surface $Y = R \subset \hat{F}(X)$, then Proposition 8.2.1 and Corollary 8.2.3 transform the partition of the set of 6 pairs (L_i, \bar{L}_i) given by Proposition 9.3.1(3) into a bipartition of the set of the nodes of X . We name the latter bipartition the *Segre 3+3 bipartition of nodes*. A pair of nodes $(\mathfrak{s}_i, \mathfrak{s}_j)$ of nodes of X is called *hyperbolic* or *elliptic*, in accord with the type of the corresponding line of $Y = R$.

9.3.2. Proposition. *Let $\mathfrak{s}_0, \dots, \mathfrak{s}_5$ be the nodes of an atoric real Segre 6-nodal cubic threefold X and A the quadrocubic associated with \mathfrak{s}_0 . Then the Segre 3+3 bipartition of $\{\mathfrak{s}_0, \dots, \mathfrak{s}_5\}$ induces a 3+2 partition of $\{\mathfrak{s}_1, \dots, \mathfrak{s}_5\}$ that matches with the Segre 3+2 partition of the nodes of A via the correspondence $\mathfrak{s}_i \mapsto [\mathfrak{s}_0 \mathfrak{s}_i]$, where $[\mathfrak{s}_0 \mathfrak{s}_i]$ denotes the node of A represented by the line $\mathfrak{s}_0 \mathfrak{s}_i$.*

Proof. By Propositions 8.2.1 and 8.2.2, the normalized central component $R \subset \hat{F}(X)$ can be identified with the local quadric Q_p blown up at the points $q_i = [\mathfrak{s}_0 \mathfrak{s}_i]$, $i = 1, \dots, 5$. According to Proposition 7.4.1, the Segre 2+3 bipartition of $\{q_0, \dots, q_5\}$ is formed by a pair $\{q_1, q_2\}$ such that the corresponding exceptional divisors E_1 and E_2 are elliptic lines in R , while for the remaining triple $\{q_3, q_4, q_5\}$, the corresponding E_i , $i = 3, 4, 5$ are hyperbolic lines. Each line E_i is incident to the proper image of the imaginary generators of Q_p passing through q_i and to the components B_1 and B_2 of the quadrocubic $A = B_1 \cup B_2$. Ellipticity of E_i means, by definition of the Segre 3+3 bipartition, that the pair \mathfrak{s}_i and \mathfrak{s}_0 is elliptic, and so such a pair is contained in the same triple. This implies that $\{\mathfrak{s}_0, \mathfrak{s}_1, \mathfrak{s}_2\}$ form one triple, and $\{\mathfrak{s}_3, \mathfrak{s}_4, \mathfrak{s}_5\}$ form the other one. \square

9.4. Monodromy of nodes of atoric real Segre 6-nodal cubics. Throughout this Subsection X is assumed to be an atoric real Segre 6-nodal cubic threefold. The nodes of X are denoted by $\mathfrak{s}_0, \dots, \mathfrak{s}_5$.

9.4.1. Proposition. *The Segre 3+3 bipartition of the set of nodes of X is preserved under real equisingular deformations, so that any monodromy permutation induced on the set of nodes of X either preserves each triple of the bipartition or interchanges them.*

Proof. Since R remains a non-singular $(M-1)$ -cubic surface under real equisingular deformations of X , the result follows from Proposition 9.3.1(3) and invariance of elliptic and hyperbolic lines under real deformations of a real cubic surface. \square

The converse is also true.

9.4.2. Proposition. *Any permutation of the nodes of X that preserves or interchanges the triples of the Segre 3+3 bipartition of the set of nodes can be realized as a monodromy under a real equisingular deformation of X .*

First, we observe transitivity of the monodromy action.

9.4.3. Lemma. *Real equisingular deformations induce a transitive monodromy action on the set of nodes of X .*

Proof. We start with a real projective transformation $g: P^4 \rightarrow P^4$ that sends \mathfrak{s}_0 to any other given node \mathfrak{s}_i , and induces an isomorphism between the local quadric $Q_0 \subset P_{\mathfrak{s}_0}^3$ and the local quadric $Q_i \subset P_{\mathfrak{s}_i}^3$. The latter can be done since any pair of ellipsoids can be connected by a real projective equivalence. The 5-point configurations of the nodes of the quadrocubics A_i associated to $\mathfrak{s}_i \in X$ and of $g(A_0)$ associated to $\mathfrak{s}_i \in X' = g(X)$ that lie on the same ellipsoid $Q_i = g_*(Q_0)$ can be connected by a deformation in the class of sets of 5 points in linearly general position lying on Q_i , see Corollary 7.4.2. Next note that given 5 points in a general linear position lying on Q_i determine a unique curve of degree $(2, 1)$ and a unique curves of degree $(1, 2)$ that pass through the given points. These curves form together a quadrocubic with the 5 given nodes and depend continuously on them. Now, it remains to apply Lemma 4.7.2 to obtain an equisingular real deformation connecting the cubic X with itself and moving \mathfrak{s}_0 to \mathfrak{s}_i . \square

Proof of Proposition 9.4.2. Lemma 9.4.3 reduces the task to the case of permutations of the nodes \mathfrak{s}_i , $i = 0, \dots, 5$, that preserve a given node, say \mathfrak{s}_0 . Let $p_i \in A_0 \subset Q_{\mathfrak{s}_0}$ denote the nodes of the quadrocubic A_0 that correspond to the directions $\mathfrak{s}_0\mathfrak{s}_i$. By Proposition 7.4.1 and Corollary 7.4.2 any permutation of the set $\{p_1, \dots, p_5\}$ that preserves the induced Segre 3+2 bipartition can be realized by deformations in the class of linearly generic 5-point configurations. Using Proposition 9.3.2, we can lift such a deformation to a deformation of 6-nodal Segre cubics (like in the proof of Lemma 9.4.3). \square

9.5. Proof of Theorem 4.12.1(2). By Proposition 8.6.1, it is sufficient to consider a cubic $X_1 \subset P^4$ of type C^5 obtained by a perturbation X_t , $t \in [0, 1]$, of an atoric real 6-nodal Segre cubic X_0 . A monodromy permutation of the nodes \mathfrak{s}_i , $i = 0, \dots, 5$, of X_0 realized by a real equisingular deformation of X_0 induces a permutation of the lines l_{ij} connecting the nodes \mathfrak{s}_i and \mathfrak{s}_j , $0 \leq i < j \leq 5$, which in its turn provides the same permutation of the corresponding tori T_{ij} , as it follows from Proposition 8.6.2. On the other hand, Propositions 9.4.1 and 9.4.2 show that monodromy permutations of the nodes \mathfrak{s}_i are precisely the ones preserving the Segre 3+3 partition. The action induced by such permutations on the pairs $(\mathfrak{s}_i, \mathfrak{s}_j)$ produces 2 orbits: for one orbit \mathfrak{s}_i and \mathfrak{s}_j belong to the same triple of the partition, and for the other orbit they belong to different triples.

Now it remains to characterise the corresponding two orbits of $T_{ij} \in \mathcal{T}(X)$ as $\mathcal{T}_I(X)$ and $\mathcal{T}_{II}(X)$, according to their definition in Subsection 4.12. To do it, note that the spectral curve S_0 corresponding to the line $l_0 = \mathfrak{s}_i\mathfrak{s}_j$ on X_0 splits, due to Proposition 7.3.1(4), into a pair of conjugate imaginary conics, C_{ij} , C'_{ij} , intersecting at 4 real points and a real line L (reality of the 4 intersection points is due to their correspondence to the four real planes, $\mathfrak{s}_i\mathfrak{s}_j\mathfrak{s}_k$, $k \in \{0, \dots, 5\} \setminus \{i, j\}$). By Proposition 7.4.1, these 4 points are in a non-convex position in $\mathbb{RP}^2 \setminus L$ for

the first orbit of $\{s_i, s_j\}$, and convex for the second orbit. On the other hand, according to Theorem 4.11.1, the spectral curve S_1 of a pair (X_1, l_1) obtained by a perturbation of (X_0, l_0) , is itself a perturbation of S_0 which gives an $(M - 2)$ -quintic. Hence, each of the 4 real nodes of S_0 gives birth to an oval, whereas the line L is perturbed into the J-component of S_1 . Now it is left to apply Lemma 4.3.1 to distinguish quintics of types $J \sqcup 4_I$ and $J \sqcup 4_{II}$. \square

9.6. Proof of Theorems 4.12.1(3). By Theorems 4.7.3 and 7.5.1(3), a cubic $X \subset P^4$ of type C_I^3 can be obtained by an ascending perturbation from a real one-nodal cubic X_0 whose associated quadrocubic A has real locus formed by three non-contractible components. Recall that $F_{\mathbb{R}}(X_0)$ contains 6 tori that split into 3 pairs: in each pair the tori are merged together along the corresponding component of $A_{\mathbb{R}}$, see Proposition 8.4.2. The induced monodromy action cyclically permutes these 3 merged pairs and after an ascending perturbation we obtain an induced action of $\mathbb{Z}/3$ on the set of the six tori of $F_{\mathbb{R}}(X)$. This implies that there are at most 2 monodromy orbits on the set of tori, each orbit formed by 3 cyclically permuted tori. On the other hand, since the $(M-4)$ -quintic S can be of Klein's type I or II, and by Lemma 5.8.6 there are spectral matchings $([X], [S])$ with the both types, and they are realised by lines l on the toric components of $F(X)$ according to Theorem 4.11.1, we conclude that there exist two monodromy orbits on the set of tori: one orbit of type I, and another of type II. \square

9.7. Summary of the proof of Theorem 4.8.1. Part (1) of Theorem 4.8.1 is derived in Subsection 4.9 and part (3) in Subsection 5.8. Theorem 4.11.1 together with uniqueness of the odd component $N(X) \subset F_{\mathbb{R}}(X)$ implies (2) in the case of perfect matchings. By Theorem 4.12.1(1), pairs (X, l) that represent a given skew matching $([X], [S])$, are deformation equivalent unless $[X]$ is C^5 or C_I^3 . In the case of X having type C^5 (respectively, C_I^3) we apply Theorem 4.12.1(2) (respectively, 4.12.1(3)) showing that the deformation classes of pairs (X, l) (within the given class $[X]$) are distinguished by $[S]$.

10. CONCLUDING REMARKS

10.1. Expanded spectral correspondence. In this paper it was sufficient for us to stay within the most classical setting not involving discussion of theta-characteristics on singular curves and to use only the most basic properties of the spectral correspondence understood as a regular bijective morphism: $\mathcal{C}^*/PGL(5; \mathbb{C}) \rightarrow \mathcal{S}/PGL(3; \mathbb{C})$ when working over \mathbb{C} and $\mathcal{C}_{\mathbb{R}}^*/PGL(5; \mathbb{R}) \rightarrow \mathcal{S}_{\mathbb{R}}/PGL(3; \mathbb{R})$ over \mathbb{R} (see Subsection 3.4). Both morphisms are indeed isomorphisms. Furthermore, one can extend \mathcal{S} to a larger space $\hat{\mathcal{S}}$ by adding nodal quintics and define a theta-characteristic on a nodal quintic not as a line bundle but as a rank-1 torsion free sheaf that is not locally free at each of the nodes (*cf.*, [Co]). Then, these isomorphisms extend to isomorphisms $\mathcal{C}/PGL(5; \mathbb{C}) \cong \hat{\mathcal{S}}/PGL(3; \mathbb{C})$ and $\mathcal{C}_{\mathbb{R}}/PGL(5; \mathbb{R}) = (\mathcal{C}/PGL(5; \mathbb{C}))_{\mathbb{R}} \cong (\hat{\mathcal{S}}/PGL(3; \mathbb{C}))_{\mathbb{R}} = \hat{\mathcal{S}}_{\mathbb{R}}/PGL(3; \mathbb{R})$, respectively.

10.2. Monodromy groups. Using the approach and the results of this paper it is possible to evaluate the Fano real components monodromy group G_{mon} (see Subsection 4.12) for each of the deformation classes.

For example, in the case of maximal real cubic threefolds this group is isomorphic to the semi-direct product of $S_3 \times S_3$ and $\mathbb{Z}/2$, namely, to the subgroup $S_{123,456}$ of

the symmetric group S_6 on 6 elements $1, 2, \dots, 6$ formed by permutations leaving invariant the partition $\{1, \dots, 6\} = \{1, 2, 3\} \cup \{4, 5, 6\}$ (with possibility to interchange 3-element subsets). Indeed, as we have seen in Section 9.4 via degenerating maximal cubic threefolds to a 6-nodal Segre cubic, one can enumerate the real tori, $T_{ij} = T_{ji}$, $1 \leq i \neq j \leq 6$, of the Fano surface so that each element of G_{mon} preserves the 6+9 partition of the set of tori in $\{T_{ij}$ with $i, j \leq 3$ or $i, j \geq 4\}$ and $\{T_{ij}$ with $i \leq 3$ and $j \geq 4\}$, and, in addition, G_{mon} contains as a subgroup the group $S_{123,456}$ naturally acting on the set of tori. Furthermore, one can check that $H_1(F; \mathbb{Z}/2)$ contains 6 independent elements, c_1, \dots, c_6 , such that the intersection of the images of $H_1(T_{ij}; \mathbb{Z}/2)$ and $H_1(T_{kl}; \mathbb{Z}/2)$ in $H_1(F; \mathbb{Z}/2)$ is zero, if i, j and k, l have no common element, and generated by c_i , if k or l is equal to i . Clearly, the action of G_{mon} preserves these relations. Hence, each element of G_{mon} sends tori having a common index to tori having a common index, and the result follows.

Passing through one-nodal degeneration from cubics in class C^5 (M-cubics) to cubics of class C^4 , from C^4 to C^3 , etc., and noticing that the real structure of the Fano surfaces is modified only along a loci not containing the surviving real tori, one concludes that the above exchange property in H_1 is preserved for the remaining tori and, applying Proposition 7.4.3, obtains that for cubics of class C^{5-k} with $k \geq 1$ the group G_{mon} is isomorphic to S_{6-k} .

10.3. Conormal projection. The conormal projection used in Section 6 for studying Fano surfaces can be also used for studying real plane quintic curves. Here is one example that concerns M-quintics.

First, we recall a simple *convexity property* of the 6 ovals of an M-quintic: if we pick one point inside each oval, they will form in some affine plane $\mathbb{R}^2 \subset P_{\mathbb{R}}^2$ a convex hexagon disjoint from the one-sided component of the quintics (see Figure 8c)). Our observation is that for each generic M-quintic S there exist 6 and only 6 real bitangents whose tangency points are either imaginary, or both real and belonging to the same connected component of $S_{\mathbb{R}}$; these bitangents are in a natural correspondence with the six ovals of S : each bitangent separates the corresponding oval from the other five by cutting an angle from the hexagon described (see Figure 8(d)).

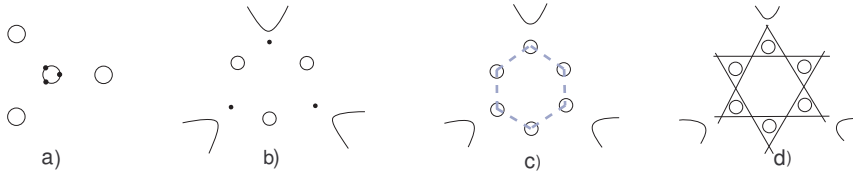


FIGURE 8. a) Quartic A with 3 fundamental points of the quadratic transformation; b) quintic S_0 ; c) M-quintic S with a hexagonal configuration of ovals; d) six bitangents at imaginary points.

For the proof, we treat, first, some special example. We start from considering the real quartic A_0 defined (for better visibility) in polar coordinates as $r^2 - 2r^3 \cos 3\phi + r^4 = 0$. Its real locus consists of 4 solitary nodes: at the origin ($r = 0$) and at three points s_k , $k = 0, 1, 2$, with $r = 1$ lying on the rays $R_k = \{\phi = \frac{2k}{3}\pi, r \geq 0\}$. A small real perturbation $r^2 - 2r^3 \cos 3\phi + r^4 = \epsilon^2$ is a quartic A (shown on Figure 8a)) that has 4 small ovals around the nodes of A_0 . Next, we consider the intersection points of the rays R_k , $k = 0, 1, 2$, with the central

oval of A and perform the standard quadratic Cremona transformation with these three points as fundamental points. The image of the quartic A will be a quintic S_0 with 3 ovals that are the images of the 3 non-central ovals of A , a one-sided component J that is the image of the central oval, and 3 solitary points that are the images of the pairs of imaginary points of A that lie on the sides of the fundamental triangle (see Figure 8b)). Each of the conics Θ_0 that pass through these 3 solitary points and intersect two of these 3 ovals contains the remaining oval inside. Finally, we consider an M-quintic S obtained by a small perturbation of S_0 and the theta-conic Θ obtained by a small perturbation of Θ_0 whose real locus contains inside all the 6 ovals of S and is tangent to 5 of them. The curve dual to S is shown on Figure 9.

Let X and l be a real cubic threefold and a real line on it defined by (S, Θ) as above, and let F be the Fano surface of X . By Proposition 6.6.4 the image $\lambda_{\mathbb{R}}(F_{l_{\mathbb{R}}})$ of the conormal projection $\lambda_{\mathbb{R}}: F_{l_{\mathbb{R}}} \rightarrow \widehat{\mathbb{P}}_{\mathbb{R}}^2$ is the complement of the six bands on $\widehat{\mathbb{P}}_{\mathbb{R}}^2$ formed by the points dual to the lines in $\mathbb{P}_{\mathbb{R}}^2$ crossing the six ovals of S .

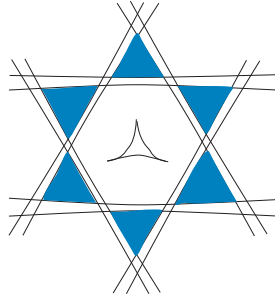


FIGURE 9. The dual curve, \hat{S} , and the 6 triangle components of $\lambda_{\mathbb{R}}(F_{l_{\mathbb{R}}})$.

This complement consists of 16 curvilinear polygons: 6 triangles, 9 quadrilaterals and a hexagon, as it is shown on Figure 9. So, the 16 connected components of $F_{l_{\mathbb{R}}}$ are mapped into the above 16 polygons, each in its own. The projection to the triangles and quadrilaterals have 4 sheets folded at the boundary and the Euler characteristic argument shows that the corresponding components of $F_{l_{\mathbb{R}}}$ must be tori, while the non-orientable component of $F_{l_{\mathbb{R}}}$ is mapped to the hexagon. Moreover, the projection of a torus to a triangle must have a branch point. It can be easily seen that such a point is dual to a real bitangent to S with imaginary tangency points, and the projective duality implies that this bitangent is located in $\mathbb{P}_{\mathbb{R}}^2$ as is stated.

To deduce the general claim, it is sufficient to notice that all M-quintics are deformation equivalent and that a bitangent tangent to two distinct components of the quintic can not disappear during a deformation.

10.4. Mutual position of $S_{\mathbb{R}}$ and $\Theta_{\mathbb{R}}$. The methods developed allows to obtain some restrictions on the position of the ovals of a real quintic S with respect to a contact conic Θ . The fact that the associated theta-characteristic differs from Rokhlin's one implies for instance that the ovals of an M-quintic S cannot lie outside the interior of $\Theta_{\mathbb{R}}$ and have each even contact with Θ (for instance, it can not happen that each of the 5 tangency points $S \cap \Theta$ is imaginary or lies on the J -component of $S_{\mathbb{R}}$).

Indeed, Corollary 5.7.3 together with the fact that every contact pair (S, Θ) is spectral (Theorem 3.3.1) shows that such a mutual position of $S_{\mathbb{R}}$ and $\Theta_{\mathbb{R}}$ implies that for the corresponding cubic threefold X we have $d_X = d_S - 2$ (the matching (X, S) is skew) which is impossible in the case $d_S = 0$. The latter argument shows also impossibility of a similar mutual position for $(M - 1)$ -quintics too.

Using that the spectral theta-characteristic must be odd, one can obtain some additional restrictions. For example, if all the ovals of an M -quintic S lie outside $\Theta_{\mathbb{R}}$ and only one of them is tangent to $\Theta_{\mathbb{R}}$, then the latter cannot be one of the three ovals joined by a vanishing bridge-cycle with the one-sided component of $S_{\mathbb{R}}$.

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