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## AleXander OlevskiI and AleXander UlanovskiI

## Near Critical Density Irregular Sampling in Bernstein Spaces

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Mathematisches Forschungsinstitut Oberwolfach gGmbH (MFO)
Schwarzwaldstrasse 9-11
77709 Oberwolfach-Walke
Germany
Tel $\quad+49783497950$
Fax $\quad+49783497955$
Email admin@mfo.de
URL www.mfo.de
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# Near critical density irregular sampling in Bernstein spaces* 

Alexander Olevskii and Alexander Ulanovskii

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#### Abstract

We obtain sharp estimates for the sampling constants in Bernstein spaces when the density of the sampling set is near the critical value


Keywords: Bernstein space; Beurling's sampling theorem; Sampling constant

## 1 Introduction

### 1.1 Beurling's sampling theorem

Definition 1 Let $\sigma$ be a positive number. The Bernstein space $B_{\sigma}$ consists of all continuous bounded functions on $\mathbb{R}$ which are the Fourier transforms of distributions supported by $[-\sigma, \sigma]$.

It is well-known that $B_{\sigma}$ can be also characterized as the space of all bounded functions on $\mathbb{R}$ which can be extended to the complex plane as entire functions of exponential type $\sigma$.
Definition $2 A$ set $\Lambda \subset \mathbb{R}$ is called a set of stable sampling (SS) for $B_{\sigma}$ if

$$
\begin{equation*}
\sup _{x \in \mathbb{R}}|f(x)| \leq C \sup _{\lambda \in \Lambda}|f(\lambda)|, \text { for all } f \in B_{\sigma}, \tag{1}
\end{equation*}
$$

where $C>0$ is a constant.
We denote by $K\left(\Lambda, B_{\sigma}\right)$ the infimum over all $C$ for which inequality (1) holds true, and call $K\left(\Lambda, B_{\sigma}\right)$ the sampling constant. We also set $K\left(\Lambda, B_{\sigma}\right)=\infty$ when $\Lambda$ is not an SS for $B_{\sigma}$.

[^0]Definition 3 A set $\Lambda$ is called uniformly discrete (u.d.) if

$$
\inf _{\lambda, \gamma \in \Lambda, \lambda \neq \gamma}|\lambda-\gamma|>0
$$

If $\Lambda$ is an SS for $B_{\sigma}$, then (see [1, Corollary of Theorem 2]) for every positive $\epsilon$ there is a u.d. subset $\Lambda^{\prime}$ of $\Lambda$ satisfying $K\left(\Lambda^{\prime}, B_{\sigma}\right)<$ $K\left(\Lambda, B_{\sigma}\right)+\epsilon$. Hence, in order to describe all sampling sets for $B_{\sigma}$, it suffices to describe the u.d. sampling sets. The classical theorem of Beurling [1] states that this description can be given in terms of the lower uniform density of $\Lambda$,

$$
D^{-}(\Lambda):=\lim _{l \rightarrow \infty} \min _{a \in \mathbb{R}} \frac{|\Lambda \cap(a, a+l)|}{l} .
$$

Here $|\Lambda \cap(a, a+l)|$ denotes the number of elements in $\Lambda \cap(a, a+l)$.
Theorem A (A. Beurling) A u.d. set $\Lambda$ is an $S S$ for $B_{\sigma}, \sigma>0$, if and only if

$$
D^{-}(\Lambda)>\frac{\sigma}{\pi}
$$

### 1.2 Sampling near critical density

Suppose $\Lambda \subset \mathbb{R}$ is a u.d. set satisfying $D^{-}(\Lambda)=1$. By Theorem A, $\Lambda$ is an SS for $B_{\sigma}$ when $\sigma<\pi$, and is not an SS for $B_{\sigma}$ when $\sigma \geq \pi$. One may check that when $\sigma<\pi$ and $\sigma$ approaches the critical value $\pi$, then $K\left(\Lambda, B_{\sigma}\right)$ tends to infinity.

We ask how fast $K\left(\Lambda, B_{\sigma}\right)$ must grow when $\sigma \uparrow \pi$.
When $\Lambda=\mathbb{Z}$ is the set of integers, Bernstein [2] proved that $K\left(\mathbb{Z}, B_{\sigma}\right)$ has exactly the logarithmic growth:
Theorem B (S.N. Bernstein) Let $\Lambda=\mathbb{Z}$. Then

$$
\begin{equation*}
K\left(\mathbb{Z}, B_{\sigma}\right)=\frac{2}{\pi} \log \frac{\pi}{\pi-\sigma}(1+o(1)), \quad \sigma \uparrow \pi . \tag{2}
\end{equation*}
$$

A slightly weaker result was proved by Boas and Schaeffer [3]. Some estimates of $K\left(\mathbb{Z}, B_{\sigma}\right)$ can be found in [11]. We mention also paper [4] which considers Gabor frames generated by the Gaussian window with respect to the lattice $a \mathbb{Z} \times a \mathbb{Z}$ : An asymptotic behavior of the frame constants is obtained as constant $a$ approaches the critical value $a=1$.

The main result of this paper shows that the critical constants $K\left(\Lambda, B_{\sigma}\right)$ always have at least the logarithmic growth as $\sigma \uparrow \pi$ :

Theorem 1 For every $\Lambda, D^{-}(\Lambda)=1$, and every $0<\sigma<\pi$, we have

$$
\begin{equation*}
K\left(\Lambda, B_{\sigma}\right) \geq C \log \frac{\pi}{\pi-\sigma}, \tag{3}
\end{equation*}
$$

where $C>0$ is an absolute constant.
Suppose a set $\Lambda$ is an SS for $B_{\sigma}$. This means that the sampling constant $K\left(\Lambda, B_{\sigma}\right)$ is finite. Then, by Theorem A, $D^{-}(\Lambda)>\pi / \sigma$. Using Theorem 1 , one can in a sense measure the stability of Theorem A by showing that $D^{-}(\Lambda)$ cannot be too close to $\pi / \sigma$ unless the sampling constant $K\left(\Lambda, B_{\sigma}\right)$ is large:

Corollary 1 Suppose a u.d. set $\Lambda$ is an $S S$ for $B_{\sigma}, \sigma>0$. Then

$$
\begin{equation*}
D^{-}(\Lambda) \geq \frac{\sigma}{\pi} \cdot \frac{1}{1-\exp \left\{-C K\left(\Lambda, B_{\sigma}\right)\right\}} \tag{4}
\end{equation*}
$$

where $C>0$ is an absolute constant.
To prove this corollary, one may observe that the relations

$$
\begin{gather*}
K\left(\Lambda, B_{\sigma}\right)=K\left(a \Lambda, B_{\sigma / a}\right),  \tag{5}\\
D^{-}(a \Lambda)=D^{-}(\Lambda) / a
\end{gather*}
$$

are true, where $a>0$ and $a \Lambda=\{a \lambda, \lambda \in \Lambda\}$. Then, to get (4), one chooses $a=D^{-}(\Lambda)$ and applies (3).

We shall present two proofs of Theorem 1 based on two different approaches. The first approach is based on Faber's ideas in the interpolation theory, while the second one belongs to circle of Beurling's ideas.

Remark 1 Since Beurling's Theorem A follows from Corollary 1, our first approach gives a new proof of this fundamental result.
Remark 2 By removing a single point from $\mathbb{Z}$, one gets a stronger estimate from below than (3):

$$
K\left(\mathbb{Z} \backslash\{0\}, B_{\sigma}\right) \geq \frac{\sigma}{\pi-\sigma}
$$

Indeed, set

$$
f(x)=\frac{\sin \sigma x}{\sigma x}
$$

Then $\max _{x \in \mathbb{R}}|f(x)|=1$ and

$$
|f(n)|=\left|\frac{\sin \sigma n}{\sigma n}\right|=\left|\frac{\sin (\pi-\sigma) n}{\sigma n}\right| \leq \frac{\pi-\sigma}{\sigma}, \quad n \in \mathbb{Z} \backslash\{0\},
$$

from which the estimate above follows.
In fact, sampling constants $K\left(\Lambda, B_{\sigma}\right)$ may have arbitrarily fast growth:

Theorem 2 For every function $\omega(\sigma) \uparrow \infty$ as $\sigma \uparrow \pi$, there exists $\Lambda, D^{-}(\Lambda)=1$, such that

$$
K\left(\Lambda, B_{\sigma}\right) \geq \omega(\sigma), \quad \sigma<\pi
$$

## 2 Sampling constants for polynomials. Faber's approach

Let us denote by $\mathbb{T}:=\{|z|=1, z \in \mathbb{C}\}$ the unite circle in the complex plane, and by $C(\mathbb{T})$ the space of all continuous functions on $\mathbb{T}$ with the uniform norm $\|\cdot\|$. Let

$$
P_{n}:=\left\{\sum_{j=0}^{n} c_{j} z^{j},|z|=1\right\}
$$

denote the subspace of $C(\mathbb{T})$ of the restrictions onto $\mathbb{T}$ of all complex polynomials of degree $\leq n$.

Definition $4 A$ set $\Lambda \subset \mathbb{T}$ is called a set of stable sampling (SS) for $P_{n}$ if

$$
\|f\| \leq C \sup _{\lambda \in \Lambda}|f(\lambda)|, \quad f \in P_{n},
$$

where $C$ does not depend on $f$. The sampling constant $K\left(\Lambda, P_{n}\right)$ is defined to be the infimum over all such $C$.

Clearly, a set $\Lambda \subset \mathbb{T}$ is an SS for $P_{n}$ if and only if $|\Lambda|>n$.
Our next result is an analogue of Theorem 1 for polynomials, and may have intrinsic interest.

Theorem 3 There is an absolute constant $C>0$ such that for every $\Lambda \subset \mathbb{T},|\Lambda|>n$, we have

$$
K\left(\Lambda, P_{n}\right) \geq C \log \frac{n}{|\Lambda|-n} .
$$

Remark 3 Among all sets $\Lambda \subset \mathbb{T}$ satisfying $|\Lambda|=n+1$, the minimum of $K\left(\Lambda, P_{n}\right)$ is attained for the equally spaced nodes, i.e.

$$
K\left(\Lambda, P_{n}\right) \geq K\left(\mathbb{Z}_{n+1}, P_{n}\right),
$$

see [7], [5] and [6]. Here $\mathbb{Z}_{n+1}$ is the set of $n+1$-roots of unity. The inequality above was conjectured by Erdös in [8].

In what follows, we will use a variant of Theorem 3 for trigonometric polynomials.

Denote by $C_{2 \pi}$ the space of all continuous $2 \pi$-periodic functions on $\mathbb{R}$ equipped with the uniform norm $\|\cdot\|$, and by

$$
T_{k}:=\left\{\sum_{j=-k}^{k} c_{j} e^{i j t}, t \in \mathbb{R}\right\}
$$

the $(2 k+1)$-dimensional subspace of all trigonometrical polynomials of degree $\leq k$. Sampling sets $\Gamma \subset[0,2 \pi)$ for $T_{k}$ and sampling constants $K\left(\Gamma, T_{k}\right)$ are defined as above. Clearly, $\Gamma \subset[0,2 \pi)$ is an SS for $T_{k}$ if and only if $|\Gamma|>2 k$. Then we have
Theorem 3* There is an absolute constant $C>0$ such that for every $\Gamma \subset[0,2 \pi),|\Gamma|>2 k$, we have

$$
K\left(\Gamma, T_{k}\right) \geq C \log \frac{2 k}{|\Lambda|-2 k} .
$$

It is easy to check that Theorems 3 and $3^{*}$ are equivalent. Indeed, since $K\left(\Lambda, P_{n}\right) \geq K\left(\Lambda, P_{n-1}\right)$, one can check that Theorem 3 for odd $n$ follows from the result for even $n$. Then take any even number $n$ and set $k=n / 2$. The relation $\Lambda=\left\{e^{i \gamma}: \gamma \in \Gamma\right\}$ establishes a one-to-one correspondence between sets $\Lambda \subset \mathbb{T}$ and $\Gamma \subset[0,2 \pi)$, and the relation $g(t)=e^{-i k t} f\left(e^{i t}\right)$ establishes a one-to-one norm preserving correspondence between functions $f \in P_{n}$ and $g \in T_{k}$. It follows that $K\left(\Lambda, P_{n}\right)=K\left(\Gamma, T_{k}\right), n=2 k$, which proves the equivalence between Theorems 3 and $3^{*}$.

Our proof of Theorem $3^{*}$ involves some ideas going back to Faber.
Recall that a linear operator $U: C_{2 \pi} \rightarrow T_{k}$ is called a projector if

$$
\begin{equation*}
U f=f, \quad f \in T_{k} \tag{6}
\end{equation*}
$$

The following result is well known: Every projector $U$ : $C_{2 \pi} \rightarrow T_{k}$ satisfies the inequality

$$
\begin{equation*}
\|U\|>C \log k . \tag{7}
\end{equation*}
$$

Here and below we denote by $C$ some absolute positive constants, maybe different form line to line.

Inequality (7) follows directly from the fundamental observation due to Faber: By averaging of every projector with respect to translations, one gets a translation-invariant projector which is simply the $k$-th partial Fourier sum $S_{k}$. Precisely,

$$
\begin{equation*}
\frac{1}{2 \pi} \int_{0}^{2 \pi} H_{h} U H_{-h} d h=S_{k}, \quad U: C_{2 \pi} \rightarrow T_{k} \tag{8}
\end{equation*}
$$

Here $H_{h}$ is the translation operator and $S_{k}(f)$ means the $k$-th partial Fourier sum of $f$.

Remark 3 Actually, Faber considered Lagrange interpolation projectors, which send $f$ to the polynomial $q \in T_{k}$ interpolating $f$ at given $n$ nodes on the circle. Sometimes (see [9]) equality (8) for arbitrary projectors is called the Zygmund-Marzinkievich-Berman formula, while inequality (7) is called the Lozinski-Harshiladze theorem.

The result above has a number of versions and applications. We shall use the following one due to Al.A. Privalov [13]:
Lemma 1 ([13]) There is a constant $C>0$ with the property: Given integers $1 \leq m \leq 2 k$, a projector $U: C_{2 \pi} \rightarrow T_{k}$, and linear functionals $\psi_{j} \in C_{2 \pi}^{*}, j=1, \ldots, m$, there is a function $f \in C_{2 \pi},\|f\| \leq$ 1 , such that $\psi_{j}(f)=0, j=1, \ldots, m$, and

$$
\|U f\| \geq C \log \frac{2 k}{m}
$$

The reader may find a list with additional references in [13]. For completeness of presentation, we prove this lemma in sec. 4.

Proof of Theorem $3^{*}$. Denote by $m \geq 0$ the number such that $|\Gamma|=2 k+m+1$. Since clearly, $K\left(\Gamma, T_{k}\right) \geq K\left(\Gamma^{*}, T_{k}\right)$ whenever $\Gamma \subset \Gamma^{*} \subset[0,2 \pi)$, we may assume that $m \geq 1$. Choose any subset $\Gamma_{m} \subset \Gamma$ such that $\left|\Gamma_{m}\right|=m$, and set $\Gamma^{\prime}=\Gamma \backslash \Gamma_{m}$. Then $\left|\Gamma^{\prime}\right|=2 k+1$.

Set

$$
\varphi(t):=\prod_{\gamma \in \Gamma^{\prime}} \sin \frac{t-\gamma}{2},
$$

and define $U: C(\mathbb{T}) \rightarrow T_{k}$ to be the Lagrange interpolation operator

$$
U f(t):=\varphi(t) \sum_{\gamma \in \Gamma^{\prime}} \frac{f(\gamma)}{2 \varphi^{\prime}(\gamma) \sin \frac{t-\gamma}{2}}
$$

It is easy to see that $U$ is a projector onto $T_{k}$.
Now, for every $\gamma^{\prime} \in \mathbb{T} \backslash \Gamma^{\prime}$, the relation

$$
\psi_{\gamma^{\prime}}(f):=U f\left(\gamma^{\prime}\right)=\varphi\left(\gamma^{\prime}\right) \sum_{\gamma \in \Gamma^{\prime}} \frac{f(\gamma)}{2 \varphi^{\prime}(\gamma) \sin \frac{\gamma^{\prime}-\gamma}{2}}
$$

is a linear functional on $C_{2 \pi}$. It follows from Lemma 1 that there exists $f \in C_{2 \pi},\|f\| \leq 1$, such that $\psi_{\gamma}(f)=0, \gamma \in \Gamma_{m}$, and $\|U f\| \geq$ $C \log (2 k / m)$, from which Theorem $3^{*}$ follows.

The following statement follows from Theorem $3^{*}$ by an appropriate change of variable.

Corollary 2 There is an absolute constant $C>0$ with the property: Given an interval $(-N, N), N \in \mathbb{N}$, and a set $\Lambda \subset(-N, N),|\Lambda|>$ $2 N$, there is a trigonometric polynomial

$$
P(t)=\sum_{j=-N}^{N} c_{j} e^{\frac{i \pi j}{N} t} \in B_{\pi}
$$

such that

$$
\max _{t \in \mathbb{R}}|P(t)| \geq C \log \frac{2 N}{|\Lambda|-2 N} \max _{\lambda \in \Lambda}|P(\lambda)| .
$$

## 3 Sampling constants for Bernstein spaces

### 3.1 A sampling theorem for $B_{\pi}$

Let $N$ be a positive integer and $\Lambda \subset \mathbb{R}$ be a set. Throughout this section we use the notation

$$
\Lambda_{N}:=\Lambda \cap(-N, N), \quad \Lambda(N):=\Lambda \cup(-\infty,-N] \cup[N, \infty)
$$

Since $\Lambda(N)$ contains two infinite rays $|t| \geq N$, it is an SS for $B_{\pi}$. We show that for large $N$, the sampling constant $K\left(\Lambda(N), B_{\pi}\right)$ must be large unless the number of points of $\Lambda$ in $(-N, N)$ is "much larger than" $2 N$ :
Theorem 4 There is an absolute constant $C>0$ such that for every set $\Lambda \subset \mathbb{R},\left|\Lambda_{N}\right|>2 N$, we have

$$
\begin{equation*}
K\left(\Lambda(N), B_{\pi}\right) \geq C \log \frac{2 N}{\left|\Lambda_{N}\right|-2 N} \tag{9}
\end{equation*}
$$

Throughout the rest of this section we denote by $C$ different positive absolute constants.

In order to prove this theorem we first need an auxiliary lemma.
Lemma 2 Assume $M \in \mathbb{N}$ and $M^{-1 / 3} / 2<\delta<M^{-1 / 3}$. For every set $\Gamma \subset \mathbb{R},\left|\Gamma_{M}\right|>2 M$, we have

$$
\begin{equation*}
K\left(\Gamma(M), B_{\pi /(1-\delta)}\right) \geq C \log \frac{2 M}{\left|\Gamma_{M}\right|-2 M} . \tag{10}
\end{equation*}
$$

### 3.2 Proof of Lemma 2

We may assume that $M$ is a sufficiently large number, so that the inequalities below hold true.

1. Let us show that it suffices to prove Lemma 2 for the case

$$
\begin{equation*}
2 M+M^{2 / 3} \leq\left|\Gamma_{M}\right| \leq 3 M \tag{11}
\end{equation*}
$$

Indeed, if $\left|\Gamma_{M}\right|>3 M$, then (10) is true for $C=1 / \log 2$.
Further, assume (10) holds for all sets $\Gamma^{\prime}$ satisfying (11). Let us show that it is then true for all sets $\Gamma$ satisfying $2 M<\left|\Gamma_{M}\right|<$ $2 M+M^{2 / 3}$. For every such set $\Gamma$ one may choose a set $\Gamma^{\prime}$ such that $\Gamma \subset \Gamma^{\prime}$ and $0 \leq\left|\Gamma_{M}^{\prime}\right|-\left(2 M+M^{2 / 3}\right) \leq 1$. Then

$$
\begin{gathered}
K\left(\Gamma(M), B_{\pi /(1-\delta)}\right) \geq K\left(\Gamma^{\prime}(M), B_{\pi /(1-\delta)}\right) \geq C \log \frac{2 M}{M^{2 / 3}+1}> \\
\frac{C}{3} \log M>\frac{C}{6} \log \frac{2 M}{\left|\Gamma_{M}\right|-2 M},
\end{gathered}
$$

which completes the proof.
2. Fix a number $m, M-2 \sqrt{M}<m<M-\sqrt{M}$, such that there are no two distinct points $\gamma_{1}, \gamma_{2} \in \Gamma_{M}$ satisfying $\left|\gamma_{1}-\gamma_{2}\right|=m$. Set

$$
\Gamma^{\prime}:=\Gamma_{M}+m \mathbb{Z}=\bigcup_{\gamma \in \Gamma_{M}}(\gamma+m \mathbb{Z})
$$

One may check that $\Gamma_{M} \subset \Gamma^{\prime}$ and $\left|\Gamma_{m}^{\prime}\right|=\left|\Gamma_{M}\right|$. By this and Corollary 2 , there is a trigonometric polynomial

$$
P(t)=\sum_{j=-m}^{m} c_{j} e^{\frac{i \pi j}{m} t} \in B_{\pi}
$$

satisfying

$$
\begin{equation*}
\max _{t \in \mathbb{R}}|P(t)| \geq C \log \frac{2 m}{\left|\Gamma_{M}\right|-2 m} \max _{\gamma \in \Gamma_{M}}|P(\gamma)| . \tag{12}
\end{equation*}
$$

Denote by $\left|t_{0}\right| \leq m$ a maximum modulus point of $P$. Set

$$
g(t):=\frac{P(t)}{P\left(t_{0}\right)} \frac{\sin \left(m^{-1 / 3}\left(t-t_{0}\right)\right)}{m^{-1 / 3}\left(t-t_{0}\right)}
$$

and $\delta:=1-\left(1+m^{-1 / 3}\right)^{-1} \in\left(M^{-1 / 3} / 2,2 M^{-1 / 3}\right)$. Then

$$
g \in B_{\pi+m^{-1 / 3}}=B_{\pi /(1+\delta)}
$$

3. We now obtain some estimates of $|g|$ from above on the set $\Gamma(M)$. Firstly,

$$
\max _{|t| \geq M}|g(t)| \leq \max _{|t| \geq M}\left|\frac{\sin \left(m^{-1 / 3}\left(t-t_{0}\right)\right)}{m^{-1 / 3}\left(t-t_{0}\right)}\right| \leq \frac{1}{m^{-1 / 3}(M-m)} \leq \frac{2}{M^{1 / 6}} .
$$

Further, by (11) and (12),

$$
\begin{gathered}
\max _{\gamma \in \Gamma_{M}}|g(\gamma)| \leq \frac{\max _{\gamma \in \Gamma_{M}}|P(\gamma)|}{\max _{t \in \mathbb{R}}|P(t)|} \leq \\
\left(C \log \frac{2 m}{\left|\Gamma_{M}\right|-2 m}\right)^{-1} \leq\left(\frac{C}{2} \log \frac{2 M}{\left|\Gamma_{M}\right|-2 M}\right)^{-1} .
\end{gathered}
$$

Hence, since

$$
\max _{t \in \mathbb{R}}|g(t)|=g\left(t_{0}\right)=1,
$$

we see that $g$ satisfies

$$
\max _{t \in \mathbb{R}}|g(t)|=1 \geq \min \left\{\frac{C}{2} \log \frac{2 M}{\left|\Gamma_{M}\right|-2 M}, \frac{M^{1 / 6}}{2}\right\} \max _{\gamma \in \Gamma(M)}|g(\gamma)|,
$$

which proves (10).

### 3.3 Proof of Theorem 4

The argument in the first part of the previous proof shows that we may assume $2 N+N^{2 / 3} \leq\left|\Lambda_{N}\right| \leq 3 N$. Clearly, we may also assume that $N$ is a large number.

Choose $N^{-1 / 3} / 2<\delta<2 N^{-1 / 3} / 3$ such that $\delta N \in \mathbb{N}$, and set $M=(1-\delta) N$ and $\Gamma=(1-\delta) \Lambda$. It is clear that $\left|\Gamma_{M}\right|=\left|\Lambda_{N}\right|>2 M$, and one may check that $M^{-1 / 3} / 2<\delta<M^{-1 / 3}$. This means that we can apply Lemma 2, which gives

$$
\begin{gathered}
K\left(\Lambda(N), B_{\pi}\right)=K\left(\Gamma(M), B_{\pi /(1-\delta)}\right) \geq C \log \frac{2 M}{\left|\Gamma_{M}\right|-2 M}= \\
C \log \frac{2(1-\delta) N}{\left|\Lambda_{N}\right|-2(1-\delta) N}>\frac{C}{2} \log \frac{2 N}{\left|\Lambda_{N}\right|-2 N}
\end{gathered}
$$

which proves (9).

### 3.4 Proof of Theorem 1

Set $a=\sigma / \pi$ and $\Gamma=a \Lambda$. By (5), Theorem 1 is equivalent to the statement that for every set $\Gamma \subset \mathbb{R}$ satisfying $D^{-}(\Gamma)=\pi / \sigma>1$, we have

$$
\begin{equation*}
K\left(\Gamma, B_{\pi}\right) \geq C \log \frac{\pi}{\pi-\sigma} \tag{13}
\end{equation*}
$$

Without loss of generality we may assume that $\pi-\sigma$ is a small number, and denote by $N$ the integer satisfying

$$
\frac{\sigma}{2 \pi-2 \sigma}-1<N \leq \frac{\sigma}{2 \pi-2 \sigma} .
$$

Then

$$
D^{-}(\Gamma)=\frac{\pi}{\sigma} \leq 1+\frac{1}{2 N} .
$$

Therefore, there exists an interval of length $2 N$ which contains at most $2 N+2$ points of $\Gamma$. We may assume that $\left|\Gamma_{N}\right| \leq 2 N+2$. Since

$$
K\left(\Gamma, B_{\pi}\right) \geq K\left(\Gamma(N), B_{\pi}\right),
$$

estimate (13) follows from Theorem 4.

## 4 Proof of Lemma 1

Given $1 \leq m<2 k$ linear functionals $\psi_{j} \in C_{2 \pi}^{*}$, we have to show that there exists $g \in C_{2 \pi}$ satisfying $\psi_{j}(g)=0, j=1, \ldots, m$, and

$$
\begin{equation*}
\max _{t \in[0,2 \pi)}|U g(t)|>C \log \frac{2 k}{m} . \tag{14}
\end{equation*}
$$

Here and throughout this proof we denote by $C$ absolute constants.

1. Fix integer constants

$$
\rho: \simeq\left(\frac{k}{m}\right)^{1 / 3}, m_{1}: \simeq \frac{k}{\rho},
$$

where $a \simeq b$ means $|a-b|<C$. We may assume that $k / m, \rho$ and $m_{1} / m \rho$ are large numbers.
2. Set

$$
Q_{0}(t):=\left(\frac{\sin 2 m \rho t}{4 m \rho \sin t / 2}\right)^{2}, Q(t):=\sum_{l=1}^{4 m \rho} \alpha_{l} Q_{0}\left(t-\frac{\pi l}{2 m \rho}\right) .
$$

One can check that

$$
\|Q\| \leq \max \left\{\left|\alpha_{l}\right| ; l=1, \ldots, 4 m \rho\right\} .
$$

Observe that $\alpha_{l}$ can be chosen to satisfy the equalities

$$
\psi_{j}\left(e^{i m_{1} q t} Q(t)\right)=0,
$$

where

$$
q=0, \pm 1, \ldots, \pm(\rho-1), \pm(\rho+1), \ldots, \pm 2 \rho, j=1, \ldots, m
$$

This is so, since the number of equalities, $(4 \rho-1) m$, is less than the number of coefficients, $4 m \rho$. Moreover, we may chose $\alpha_{l}$ so that

$$
\max \left\{\left|\alpha_{l}\right| ; l=1, \ldots, 4 m \rho\right\}=\alpha_{l_{0}}=1
$$

Set $t_{0}:=\pi l_{0} /(2 m \rho)$. Then $\|Q\|=\alpha_{l_{0}}=Q\left(t_{0}\right)=1$.
3. Consider Fejér's polynomial

$$
P(t):=\left(\frac{1}{\rho}+\frac{\cos t}{\rho-1}+\ldots+\frac{\cos (\rho-1) t}{1}\right)-
$$

$$
\left(\frac{\cos (\rho+1) t}{1}+\ldots+\frac{\cos 2 \rho t}{\rho}\right)=: P_{1}(t)-P_{2}(t)
$$

Clearly, $P_{1}(0)>\log \rho$ and it is well-known that $\left\|P_{1}-P_{2}\right\| \leq C$. Set $f_{1}(t):=C P_{1}\left(m_{1} t\right), f_{2}(t):=C P_{2}\left(m_{1} t\right)$ and $f=f_{1}-f_{2}$, where $C$ is such that $\|f\|=1$. Observe that

$$
\left\|f_{1}\right\|=f_{1}(0)=C P_{1}(0)>C \log \rho \geq C \log \frac{k}{m} .
$$

4. Consider the polynomials

$$
g_{\tau}:=\left(H_{-\tau} f\right) \cdot Q,
$$

where $\left(H_{\tau} f\right)(t):=f(t-\tau)$. Clearly, $\left\|g_{\tau}\right\| \leq 1$ and all our functionals vanish on $g_{\tau}$, for every $\tau$. To prove the lemma, we show that there exists $\tau$ such that $g_{\tau}$ satisfies (14).

Set

$$
G(\tau):=\left(U g_{\tau}\right)\left(t_{0}-\tau\right)=G_{1}(\tau)+G_{2}(\tau),
$$

where

$$
G_{j}(\tau):=\left(U\left(H_{-\tau} f_{j}\right) \cdot Q\right)\left(t_{0}-\tau\right)
$$

In order to prove that $g_{\tau}$ satisfies (14) for some $\tau$, it suffices to show that $\max _{\tau}|G(\tau)|>C \log k / m$. To prove the latter, it is convenient to use the de la Vallée Poussin means:

$$
V_{l}(f)(x):=\frac{1}{l} \sum_{j=l}^{2 l-1} S_{j}(f)(x),
$$

where $V_{l}(f)$ denotes the $l$-th partial Fourier sum of $f$. It is well known that $\left\|V_{l}(G)\right\|<C\|G\|$.

It is easy to see that polynomial $G_{2}(t)$ contains only exponentials with exponents $j:|j|>8 m \rho$, so that $V_{4 m \rho}\left(G_{2}\right)=0$. Further, one may check that polynomial $\left(H_{-\tau} f_{1}\right) \cdot Q$ belongs to $T_{k}$, so that

$$
G_{1}(\tau)=\left(\left(H_{-\tau} f_{1}\right) \cdot Q\right)\left(t_{0}-\tau\right)=f_{1}\left(t_{0}\right) Q\left(t_{0}-\tau\right)
$$

Hence, $G_{1} \in T_{4 m \rho}$ which gives $V_{4 m \rho}\left(G_{1}\right)=G_{1}$. We conclude that

$$
\begin{gathered}
\|G\| \geq C\left\|V_{4 m \rho}(G)\right\|=C\left\|V_{4 m \rho}\left(G_{1}\right)\right\|= \\
C\left\|G_{1}\right\| \geq C\left|G_{1}(0)\right|=C\left|f_{1}(0) \| Q\left(t_{o}\right)\right| \geq C \log \frac{k}{m} .
\end{gathered}
$$

## 5 Beurling's approach

### 5.1 Some results from Beurling's sampling theory

Beurling in [1] has built a general theory of balayage (or sweeping) of any finite measure from $\mathbb{R}^{n}$ to a given set $\Lambda$ without changing the values on a compact set $E$ of its Fourier transform. For a large class of sets $E$ he established connection between balayage and sampling in the corresponding Bernstein space. We recall several facts from his theory which we use in the proof of Theorem 1 below.

Given two closed sets $A$ and $B$ on $\mathbb{R}$, the Fréchet distance $d(A, B)$ between $A$ and $B$ is the smallest number $d>0$ such that $A \subset$ $B+[-d, d]$ and $B \subset A+[-d, d]$. Let $\Lambda_{j}$ be (not necessarily discrete) closed sets in $\mathbb{R}$. A set $\Lambda$ is called a weak limit of $\Lambda_{j}$ if for every closed interval $I$ we have $d\left(\Lambda \cap I, \Lambda_{j} \cap I\right) \rightarrow 0, j \rightarrow \infty$. We shall use the fact that (see [1], Theorem 1)

$$
\begin{equation*}
K\left(\Lambda, B_{\sigma}\right) \leq \lim \inf _{j \rightarrow \infty} K\left(\Lambda_{j}, B_{\sigma}\right) \tag{15}
\end{equation*}
$$

Given a u.d. set $\Lambda$, consider its translations $\Lambda-a, a \in \mathbb{R}$. For every sequence $a_{j}$, one can always choose a subsequence such that the corresponding translations of $\Lambda$ converge weakly to some u.d. set $\Lambda^{\prime}$. We denote by $W(\Lambda)$ the set of all possible weak limits of the translates of $\Lambda$. It follows from (15) that

$$
K\left(\Lambda^{\prime}, B_{\sigma}\right) \leq K\left(\Lambda, B_{\sigma}\right), \quad \Lambda^{\prime} \in W(\Lambda) .
$$

We say that a set $\Lambda$ is a uniqueness set for $B_{\sigma}$ if $f \in B_{\sigma}$ and $\left.f\right|_{\Lambda}=0$ imply $f=0$. There is a beautiful connection between sampling and uniqueness properties for Bernstein spaces (see [1], Theorem 3):
Theorem C (Beurling) $\Lambda$ is an $S S$ for $B_{\sigma}$ if and only if every set $\Lambda^{\prime} \in W(\Lambda)$ is a uniqueness set for $B_{\sigma}$.

### 5.2 Some facts about entire functions

1. A corollary of Jensen formula.

Given an entire function $f$, denote by $n_{f}(r)$ the number of its zeros in the circle $|z|<r$. Then the inequality

$$
\begin{equation*}
n_{f}(r) \leq \max _{|z| \leq r} \log |f(e z)|-\log |f(0)| \tag{16}
\end{equation*}
$$

holds provided $f(0) \neq 0$. This is an immediate corollary of Jensen formula (see [10], p.13).

## 2. Bernstein's inequality.

Set

$$
\|f\|:=\sup _{x \in \mathbb{R}}|f(x)| .
$$

Bernstein's inequality ([10], p. 227, [14], p. 72) states

$$
\begin{equation*}
\left\|f^{\prime}\right\| \leq \sigma\|f\|, \quad \text { for every } \quad f \in B_{\sigma} \tag{17}
\end{equation*}
$$

3. Observe that every $f \in B_{\sigma}$ satisfies ([14], Theorem 11, p. 70)

$$
|f(x+i y)| \leq\|f\| e^{\sigma|y|}, \quad x+i y \in \mathbb{C} .
$$

From this inequality and (16), for every $f \in B_{\sigma}, f(0) \neq 0$, it follows that

$$
\begin{equation*}
n_{f}(r) \leq \sigma e r+\log \frac{\|f\|}{|f(0)|}, \quad r>0 . \tag{18}
\end{equation*}
$$

### 5.3 Proof of Theorem 1

Throughout the proof we denote by $C$ some absolute constants.
To prove Theorem 1, we show that for every $\Lambda, D^{-}(\Lambda)=1$, and every $\sigma<\pi$ there exists $f \in B_{\sigma}$ satisfying

$$
\begin{equation*}
\|f\|=1, \quad|f(\lambda)| \leq \frac{C}{\log \frac{\pi}{\pi-\sigma}}, \quad \text { for every } \lambda \in \Lambda . \tag{19}
\end{equation*}
$$

It is clear that it suffices to verify this only for $\sigma>\sigma_{0}$, with some $\sigma_{0}<\pi$.

By Theorem C and (15), we may additionally assume that there exists $\varphi \in B_{\pi}$ such that $\left.\varphi\right|_{\Lambda}=0$. Without loss of generality, we may also assume that $0 \notin \Lambda,\|\varphi\|=1$ and $|\varphi(0)| \geq 1 / 2$.

Fix any number $N \geq 64$, and set $\sigma:=\pi-\pi N^{-3}$. Observe that

$$
\begin{equation*}
\log \frac{\pi}{\pi-\sigma}=3 \log N \tag{20}
\end{equation*}
$$

To find a function $f$ satisfying (19), we consider three cases.

1. Assume that the interval $[-N, N]$ contains a zero $\lambda_{0}$ of $\varphi$ of multiplicity $\geq 2$. Set

$$
g(x):=\frac{\lambda_{0} \varphi(x)}{x-\lambda_{0}} .
$$

We have $g \in B_{\pi},\left.g\right|_{\Lambda}=0$ and $|g(1 / 2)|=|\varphi(1 / 2)| \geq 1 / 2$. The latter shows that $\|g\| \geq 1 / 2$. Further,

$$
|g(x)| \leq \frac{\left|\lambda_{0}\right|\|\varphi\|}{N^{2}-\left|\lambda_{0}\right|} \leq \frac{2}{N}, \text { for all } x,|x| \geq N^{2} .
$$

Now set

$$
\begin{equation*}
f(x):=\frac{g\left(\frac{\sigma}{\pi} x\right)}{\|g\|} \tag{21}
\end{equation*}
$$

Then $f \in B_{\sigma}$ and $\|f\|=1$. When $\lambda \in \Lambda,|\lambda|<N^{2}$, from (17) we have

$$
|f(\lambda)|=\frac{\left|g\left(\lambda-\frac{\pi-\sigma}{\pi} \lambda\right)\right|}{\|g\|} \leq \frac{\pi-\sigma}{\pi}|\lambda| \frac{\left\|g^{\prime}\right\|}{\|g\|} \leq \frac{\pi}{N} .
$$

When $\lambda \in \Lambda,|\lambda| \geq N^{2}$, it follows from the estimate on $|g(x)|$ and $\|g\|$ above that $|f(\lambda)|<4 / N$. These estimate and (22) prove (a stronger inequality than) (19).
2. We may assume that $\varphi$ has only simple zeros on $[-N, N]$. Assume additionally that every subinterval of $[-N, N]$ of length $\sqrt{N}$ contains at least $\sqrt{N} / 8$ points of $\Lambda$. Then

$$
\begin{equation*}
\sum_{\lambda \in \Lambda,|\lambda|<N} \frac{1}{|\lambda|} \geq \frac{1}{8} \sqrt{N} \sum_{|j|<\sqrt{N}} \frac{1}{|j| \sqrt{N}}=C \log N . \tag{22}
\end{equation*}
$$

Now, for every $\lambda \in \Lambda,|\lambda|<N$, we denote by $c_{\lambda}$ the number such that $\left|c_{\lambda}\right|=1$ and

$$
-\frac{c_{\lambda}}{\lambda \varphi^{\prime}(\lambda)}=\left|\frac{c_{\lambda}}{\lambda \varphi^{\prime}(\lambda)}\right| .
$$

Set

$$
g(x):=\varphi(x) \sum_{\lambda \in \Lambda,|\lambda|<N} \frac{c_{\lambda}}{(x-\lambda) \varphi^{\prime}(\lambda)} .
$$

Then $g \in B_{\pi}, g(\lambda)=c_{\lambda}$ whenever $\lambda \in \Lambda,|\lambda|<N$, and $g(\lambda)=0$ otherwise. Using Bernstein's inequality (17) for $\varphi^{\prime}(\lambda)$ and (22), we get

$$
|g(0)|=|\varphi(0)| \sum_{\lambda \in \Lambda,|\lambda|<N} \frac{1}{\left|\lambda \varphi^{\prime}(\lambda)\right|} \geq C \log N .
$$

Moreover, by (18), for every $|x|>2 N^{2}$ we have

$$
|g(x)| \leq \sum_{\lambda \in \Lambda,|\lambda|<N} \frac{1}{\left|\left(2 N^{2}-\lambda\right) \varphi^{\prime}(\lambda)\right|} \leq \frac{|g(0)|}{N} .
$$

Hence, two estimates hold:

$$
\begin{equation*}
\frac{|g(\lambda)|}{\|g\|} \leq \frac{|g(\lambda)|}{|g(0)|} \leq \frac{C}{\log N}, \quad \lambda \in \Lambda \tag{23}
\end{equation*}
$$

and

$$
\begin{equation*}
\frac{|g(x)|}{\|g\|} \leq \frac{C}{N}, \quad|x|>2 N^{2} \tag{24}
\end{equation*}
$$

Let $f$ be defined by (21). Then $f \in B_{\sigma}$ and $\|f\|=1$. When $\lambda \in \Lambda,|\lambda|<2 N^{2}$, we have $|\lambda| N^{-3}<2 / N$. Hence, by (17) and (23),

$$
|f(\lambda)|=\frac{\left|g\left(\lambda-\lambda N^{-3}\right)\right|}{\|g\|} \leq \frac{|g(\lambda)|+|\lambda| \sigma N^{-3}\|g\|}{\|g\|} \leq \frac{C}{\log N} .
$$

From (24) it follows that the ratio above admits an even better estimate for $|\lambda|>2 N^{2}$. These estimates and (20) prove (19).
3. Assume that there is an interval $I$ of length $\sqrt{N}$ which contains $<\sqrt{N} / 8$ points of $\Lambda$. We may assume that $I=[-\sqrt{N} / 2, \sqrt{N} / 2]$.

We shall need the following lemma (see [12], Lemma 4.5):
Lemma 3 ([12]) Given an integer $n$ and a positive number $\omega<1$, let $P$ be an algebraic polynomial of degree $\leq n$ which has a zero of multiplicity $\geq \omega n$ at the point 1 . Then there exists a constant $0<\eta<1$ which depends only on $\omega$ such that

$$
\max _{|z|=1}|P(z)|=\max _{|z|=1 ;|z+1|>\eta}|P(z)| .
$$

Set

$$
\psi(x):=\left(\cos \frac{\pi}{\sqrt{N}} x\right)^{\sqrt{N} / 4} \prod_{\lambda \in \Lambda \cap I} \sin \frac{2 \pi}{\sqrt{N}}(x-\lambda) .
$$

One may check that $\psi$ is $\sqrt{N}$-periodic, $\psi \in B_{\pi / 2}$ and $\psi(\lambda)=0, \lambda \in$ $\Lambda \cap I$. Moreover, letting $z:=\exp (2 \pi i x / \sqrt{N})$, we see that

$$
\psi(x)=z^{-\sqrt{N} / 4}(z+1)^{\sqrt{N} / 8} \prod_{\lambda \in \Lambda \cap I}\left(z^{2}-\alpha_{\lambda}^{2}\right), \alpha_{\lambda}:=e^{-2 \pi i \lambda / \sqrt{N}} .
$$

Hence, by Lemma 3 we conclude that there exists an absolute constant $0<c<1 / 2$ and a point $x_{0},\left|x_{0}\right| \leq c \sqrt{N}$ satisfying

$$
\|\psi\|=\left|\psi\left(x_{0}\right)\right| .
$$

Set

$$
f(x):=\frac{\psi(x)}{\psi\left(x_{0}\right)} \frac{\sin \frac{\pi\left(x-x_{0}\right)}{4}}{\frac{\pi\left(x-x_{0}\right)}{4}} .
$$

We have $f \in B_{3 \pi / 4},\|f\|=f\left(x_{0}\right)=1, f(\lambda)=0$ whenever $\lambda \in$ $\Lambda,|\lambda|<\sqrt{N} / 2$. Moreover, since $\left|x-x_{0}\right|>(1 / 2-c) \sqrt{N},|x|>$ $\sqrt{N} / 2$, we get

$$
|f(x)| \leq\left|\frac{\sin \frac{\pi\left(x-x_{0}\right)}{4}}{\frac{\pi\left(x-x_{0}\right)}{4}}\right| \leq \frac{4}{\pi(1 / 2-c) \sqrt{N}},|x| \geq \sqrt{N} / 2,
$$

from which (19) follows.

## 6 Proof of Theorem 2

Theorem 2 follows easily from our Theorem 4.
Take any function $\omega(\sigma) \uparrow \infty, \sigma \uparrow \pi$, and any sequence $0<\sigma_{1}<$ $\sigma_{2}<\ldots, \sigma_{j} \uparrow \pi$. To prove Theorem 2 it suffices to construct a u.d. set $\Lambda, D^{-}(\Lambda)=1$, for which $K\left(\Lambda, B_{\sigma_{j}}\right)>\omega\left(\sigma_{j+1}\right), j \in \mathbb{N}$.

Set

$$
\Lambda_{1}:=\left\{\frac{\pi}{\sigma_{1}} n: n \in \mathbb{Z},|n|<N_{1}\right\} \cup\left\{x: x \in \mathbb{R},|x| \geq N_{1}\right\} .
$$

By Theorem 4 we may choose $N_{1}$ so large that

$$
K\left(\Lambda_{1}, B_{\sigma_{1}}\right)>\omega\left(\sigma_{2}\right) .
$$

Next, we set

$$
\Lambda_{2}:=\left\{\frac{\pi}{\sigma_{1}} n: n \in \mathbb{Z},|n|<N_{1}\right\}
$$

$$
\cup\left\{\frac{\pi}{\sigma_{2}} n: n \in \mathbb{Z}, N_{1}<|n|<N_{2}\right\} \cup\left\{x: x \in \mathbb{R},|x| \geq N_{2}\right\} .
$$

By Theorem 4 we may choose $N_{2}>N_{1}$ so large that

$$
K\left(\Lambda_{2}(N, \sigma), B_{\sigma_{2}}\right)>\omega\left(\sigma_{3}\right),
$$

and so on. Proceeding like that we construct a sequence $N_{j} \rightarrow \infty$ and a sequence $\Lambda_{j}$ which converge to some $\Lambda$. Since $\Lambda \subset \Lambda_{j}$ for each $j$, we have

$$
K\left(\Lambda, B_{\sigma_{j}}\right) \geq K\left(\Lambda_{j}, B_{\sigma_{j}}\right)>\omega\left(\sigma_{j+1}\right) .
$$

Moreover, for every $j \in \mathbb{N}$ we have

$$
\Lambda \cap\left\{x: N_{j}<|x|<N_{j+1}\right\}=\left\{\frac{\pi}{\sigma_{j}} n: N_{j}<|n|<N_{j+1}\right\} .
$$

From this it easily follows that $D^{-}(\Lambda)=1$, which completes the proof.

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