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The Magic Square of Reflections and Rotations

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THE MAGIC SQUARE OF REFLECTIONS AND ROTATIONS

RAGNAR-OLAF BUCHWEITZ[†], ELEONORE FABER, AND COLIN INGALLS

Dedicated to the memories of two great geometers, H.M.S. Coxeter and Peter Slodowy

ABSTRACT. We show how Coxeter's work implies a bijection between complex reflection groups of rank two and real reflection groups in $O(3)$. We also consider this *magic square of reflections and rotations* in the framework of Clifford algebras: we give an interpretation using (s)pin groups and explore these groups in small dimensions.

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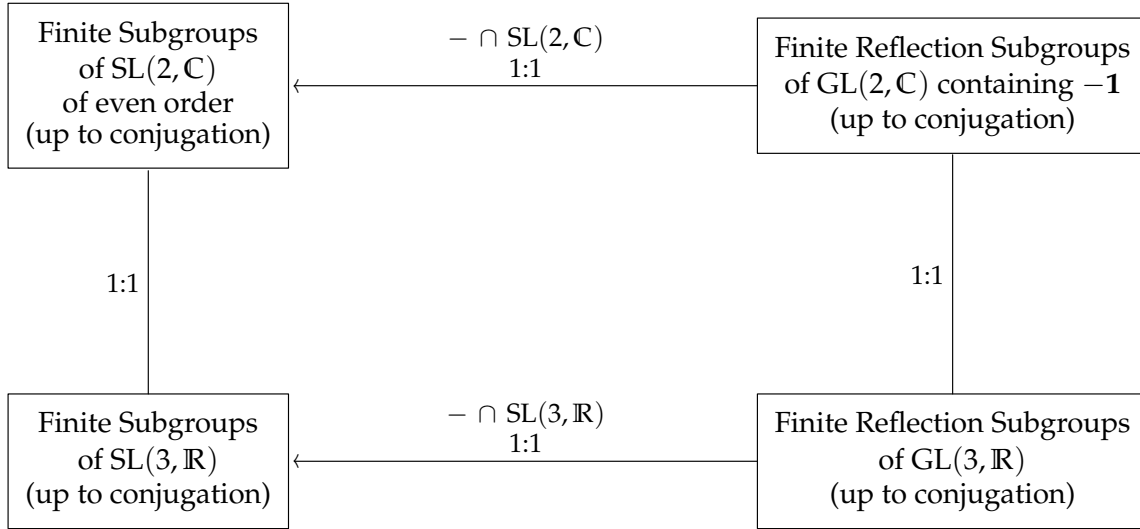
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[†]The first author passed away on November 11, 2017.

1. INTRODUCTION

This paper evolved from our investigations about a McKay correspondence for reflection groups [BFI17], relating the irreducible representations of a finite complex reflection group to certain maximal Cohen–Macaulay modules over its discriminant. In dimension $n = 2$ this complements the classical McKay correspondence that connects the irreducible representations of a finite group $G \leq \mathrm{SL}(2, \mathbb{C})$ to the cohomology of the minimal resolution of the quotient singularity \mathbb{C}^2/G , see [Buc12, BFI18, GSV83, Rei02] for more details.

The main objective here is to establish the following magic square that relates bijectively finite rotation and reflection groups from Euclidean geometry to corresponding finite subgroups in $\mathrm{GL}(2, \mathbb{C})$.



We will describe these bijections, give some historical background, and finally make them explicit, employing (s)pinor geometry and classical results about the Clifford algebra of Euclidean space \mathbb{R}^3 .

This leads to the second part of the paper, where we consider the various definitions of the pin group that are in use in the literature, see also this mathoverflow post of the first author [Buc16]. We point out differences between them for some small dimensional examples over \mathbb{R} .

2. PRELUDE: THE GROUPS

We will be concerned with real and complex rotations, so $K = \mathbb{R}$ or \mathbb{C} . Recall the following groups:

$\mathrm{GL}(n, K)$ is the *general linear group* of invertible $n \times n$ matrices with entries from the field K , for $n \geq 1$ a natural number.

$\mathrm{U}(n)$ is the *unitary group* of complex $n \times n$ matrices defined as

$$\mathrm{U}(n) = \{A \in \mathrm{GL}(n, \mathbb{C}) \mid A \cdot \overline{A}^T = \mathbf{1}\}.$$

Throughout, B^T denotes the transpose of the matrix B , and \overline{B} is the complex conjugate matrix, where every entry from B is replaced by its complex conjugate. The

matrix $\overline{B}^T = \overline{(B^T)} = (\overline{B})^T$ is also called the *Hermitian transpose* of B and is often denoted B^\dagger .

$SU(n)$ is the *special unitary group* of complex $n \times n$ matrices,

$$SU(n) = \{A \in U(n) \mid \det A = 1\}.$$

As we will recall below, the group $SU(2)$ is isomorphic to $\text{Spin}(3)$, the spinor group of Euclidean 3-space with either its positive or its negative definite quadratic form.

$O(n)$ is the *orthogonal group* of real $n \times n$ matrices,

$$O(n) = \{A \in GL(n, \mathbb{R}) \mid A \cdot A^T = \mathbf{1}\}.$$

By the CARTAN–DIEUDONNÉ Theorem, each element of $O(n)$ is the product of at most n reflections.

$SO(n)$ is the *special orthogonal group*,

$$SO(n) = \{A \in O(n) \mid \det A = 1\}.$$

Each element of $SO(n)$ is a product of rotations, where a *rotation* is the product of two reflections from $O(n)$. In particular, every element in $SO(3)$ is a rotation, which is EULER’S Theorem.

μ_n is the multiplicatively written cyclic group of order n . Its additive incarnation is denoted $\mathbb{Z}/n\mathbb{Z}$, and, generically, C_n stands for any cyclic group of order n . Instead of μ_2 we also write $\{\pm 1\}$.

With details to follow below, we single out the following groups, that will be an important part of relating the standard groups with (s)pinor geometry and classical results on the structure of the Clifford algebra of Euclidean space \mathbb{R}^3 .

2.1. Definition. For $n \geq 1$ an integer, set

$$U(n)^{\pm 1} = \{A \in GL(n, \mathbb{C}) \mid A \cdot \overline{A}^T = \mathbf{1}, \det A = \pm 1\} \leq U(n) \leq GL(n, \mathbb{C}),$$

and call this subgroup of $GL(n, \mathbb{C})$ the *unimodular¹ unitary group*.

Clearly, $U(n)^{\pm 1}$ contains $SU(n)$ as a subgroup of index 2.

With $\text{Mat}_{n \times n}(\mathbb{C})$ the ring of $n \times n$ matrices over the complex numbers, one has obvious inclusions

$$SU(n) \leq U(n)^{\pm 1} \leq U(n) \leq GL(n, \mathbb{C}) \subset \text{Mat}_{n \times n}(\mathbb{C}),$$

where the first three inclusions are group homomorphisms, the last one an inclusion of multiplicative monoids whose image is $\text{Mat}_{n \times n}(\mathbb{C})^* = GL(n, \mathbb{C})$, the group of (multiplicatively) invertible matrices.

¹According to MATHSCINET, the terminology “unimodular unitary group” was used until about 1975 to denote what we now generally call the special unitary group $SU(n)$. There is some debate in general; see the Wikipedia discussion relating to the page “Unimodular matrix”; whether “unimodular” should pertain to $\det = 1$ or to $\det = \pm 1$. We will encounter the same ambiguity below when discussing Pin groups. Because the use of “unimodular unitary group” to denote $SU(n)$ was apparently abandoned after 1975, we feel justified to reuse the term as proposed here.

3. THE MAGIC SQUARE OF ROTATIONS AND REFLECTIONS

Reflections, Take One. Recall the following.

3.1. **Definition.** For a field K of characteristic not 2, and V a finite dimensional vector space over it, a K -linear endomorphism $s : V \rightarrow V$ is a *reflection* (of order 2) if there exist a K -linear form $\lambda : V \rightarrow K$ and a vector $a \in V$ such that $\lambda(a) = 1$ and $s(v) = v - 2\lambda(v)a$, for all $v \in V$.

In particular, the vector a and the linear form λ are not zero and the vector a spans the eigenspace of φ corresponding to the eigenvalue -1 , with $H = \text{Ker } \lambda \subset V$ the complementary hyperplane that is left fixed pointwise by s , thus, is the eigenspace of s corresponding to the eigenvalue 1. This hyperplane is called the *mirror* of s .

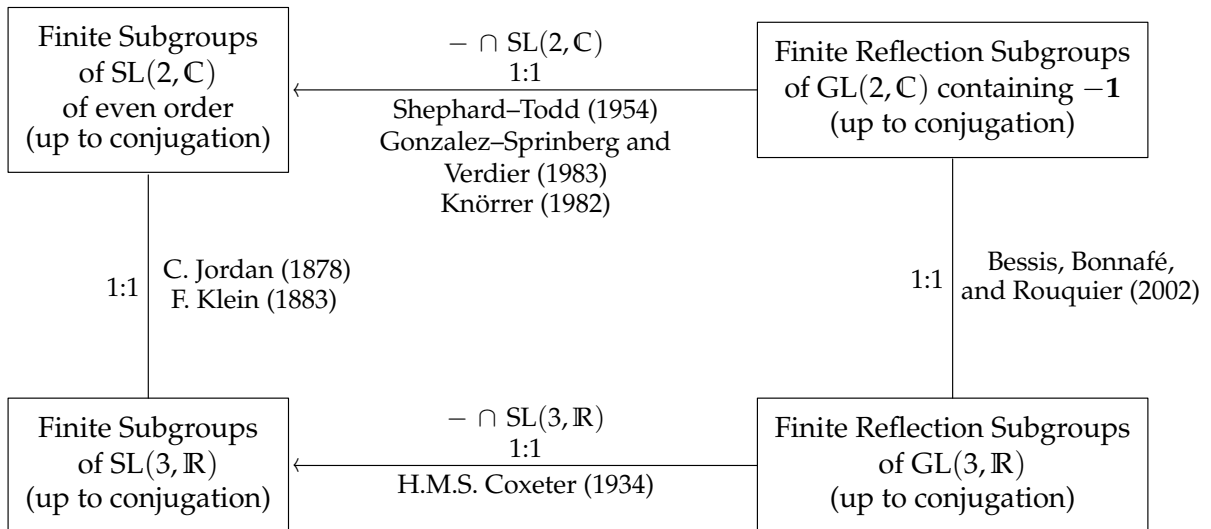
Complementing a through a basis of H to a basis of V , with $n = \dim_K V$ the endomorphism s becomes represented by the $n \times n$ matrix $A \in \text{Mat}_{n \times n}(K)$ of the form

$$A = \begin{pmatrix} -1 & & & \\ & 1 & & \\ & & \ddots & \\ & & & 1 \end{pmatrix},$$

the empty space to be filled with zeros. If s' is a second reflection with a' spanning the (-1) -eigenspace and H' its mirror, then there are automorphisms φ of V aplenty that send a to a' and H to H' . Each such automorphism yields $s' = \varphi s \varphi^{-1}$, whence any two reflections are conjugate in $\text{GL}(V)$.

3.2. **Example.** A matrix $A \in \text{Mat}_{2 \times 2}(K)$ represents a reflection if, and only if, its characteristic polynomial is $\det(t\mathbf{1} - A) = t^2 - 1$, equivalently, $\text{tr } A = 0$ and $\det A = -1$, where $\mathbf{1}$ is the appropriate identity matrix and $\text{tr } A \in K$ is the *trace* of A .

The Magic Square. We will establish the magic square from the introduction, here a version with more detail on the bijections:



3.3. **Remarks.** (a) Any finite (or, for that matter, any compact) subgroup in a Lie group is contained in a maximal compact subgroup. Thus, one may substitute $\text{SU}(2)$ for $\text{SL}(2, \mathbb{C})$ in the upper left box, $\text{U}(2)$ for $\text{GL}(2, \mathbb{C})$ in the upper right

box, or, going the other way, one may substitute $SO(3)$ for $SL(3, \mathbb{R})$ and $O(3)$ for $GL(3, \mathbb{R})$, respectively, in the lower row.

- (b) The restriction to subgroups of even order in $SL(2, \mathbb{C})$, respectively to reflection groups in $GL(2, \mathbb{C})$ containing -1 is not severe: Any finite subgroup of odd order in $SL(2, \mathbb{C})$ is cyclic, and each such subgroup sits in a unique conjugacy class of reflection groups that do not contain -1 . These are precisely the dihedral groups of type D_{2m+1} , realized as reflection groups in $GL(2, \mathbb{C})$; see also Prop. 3.18 below.

To fully appreciate the mathematics behind the magic square, recall the following classical facts.

3.4. Theorem (Coxeter [Cox34]). *The inclusion of groups $j : SO(3) \rightarrow O(3)$ sets up, via $j^{-1}(G) = G \cap SO(3)$, a bijection between the conjugacy classes of finite reflection groups $G \leq O(3)$ and the conjugacy classes of finite subgroups of $SO(3)$.*

This yields the most elegant and efficient way to classify the finite subgroups of $SO(3)$ by first classifying the finite reflection groups in $O(3)$, a simple and very transparent task — see [Cox91, CS03] for elegant detailed expositions.

3.5. Furthermore, two finite subgroups in $SO(3)$ are conjugate if, and only if, they are isomorphic as abstract groups. The possible isomorphism types are:

- (1) the *cyclic groups* C_n of order $n \geq 1$,
- (2) the *dihedral groups* D_n of order $2n$,
- (3) the *alternating group* A_4 of order 12, the rotational symmetries of the tetrahedron,
- (4) the *symmetric group* S_4 of order 24, the rotational symmetries of the hexahedron(=cube) or octahedron,
- (5) the *alternating group* A_5 of order 60, the rotational symmetries of the icosahedron or dodecahedron.

Regarding the corresponding finite reflection subgroups of $O(3)$, one just takes the full symmetry groups of the polyhedra with given rotational symmetries.

3.6. The relationship between finite subgroups of $SL(2, \mathbb{C})$, or its maximal compact subgroup $SU(2)$ and the finite subgroups of $SO(3)$ is a consequence of Hamilton's discovery of the natural group homomorphism $\rho : SU(2) \rightarrow SO(3)$ that is surjective and has $\{\pm 1\}$ as its kernel.

The next classical result then simply exploits the fact that $SU(2)$, or $SL(2, \mathbb{C})$ for that matter, contains -1 as its sole element of order 2. By Cauchy's theorem every finite group of even order has to contain an element of order 2, whence the existence of Hamilton's homomorphism implies the following result.

3.7. Theorem (C. Jordan [Jor78], F. Klein [Kle93]). *The group homomorphism $\rho : SU(2) \rightarrow SO(3)$ sets up, via $G \mapsto \rho^{-1}(G)$, a bijection between the finite subgroups $G \leq SO(3)$ and the finite subgroups of $SU(2)$ of even order, also called the binary polyhedral groups.*

Further, for every odd order, $SU(2)$ contains a cyclic group of that order, mapped via ρ isomorphically onto its image in $SO(3)$.

It still holds for $SU(2)$ that two finite subgroups are conjugate if, and only if, they are isomorphic as abstract groups.

3.8. Remark. Indeed, C. Jordan uses this result to classify the finite subgroups of $SO(3)$ by first classifying the subgroups of $SU(2)$ that contain -1 . To achieve the latter task, he

uses the representation theory of Lie groups, more precisely, the structure of stabilizers and normalizers of regular elements in such — long before these notions were around. For a nice account of Jordan’s approach, see [Bli17, §59].

F. Klein goes the other way: he first famously classifies the finite subgroups in $SO(3)$ as the groups of rotational symmetries of polyhedra in \mathbb{R}^3 ; see [Arm88, Ch. 19] for a textbook account; and then lifts those groups to find all finite subgroups of $SU(2)$.

Our aim here is to make the remaining correspondences explicit in the setting of the above diagram, and to do so, we will employ (s)pinor geometry and classical results on the structure of the Clifford algebra of Euclidean space \mathbb{R}^3 . First recall the following: for $n \geq 1$ an integer,

$$U(n)^{\pm 1} = \{A \in GL(n, \mathbb{C}) \mid A \cdot \bar{A}^T = \mathbf{1}, \det A = \pm 1\} \leq U(n) \leq GL(n, \mathbb{C}),$$

is the unimodular unitary group. The various Pin groups for \mathbb{R}^3 will be defined and discussed in Section 6, and for general Clifford algebras in Section 8.

Our main contribution here is then the following identification, whose proof will be achieved in the following sections.

- 3.9. Theorem.** (1) *The group $U(2)^{\pm 1}$ identifies naturally with the (geometric or big) Pin group $\text{PIN}^+(3)$, associated to the standard Euclidean structure on \mathbb{R}^3 .*
(2) *Hamilton’s group homomorphism $\rho: SU(2) \rightarrow SO(3)$ extends via the twisted adjoint representation of $\text{PIN}^+(3)$, introduced by Atiyah, Bott, and Shapiro in [ABS64], to a surjective group homomorphism $\tilde{\rho}: U(2)^{\pm 1} \rightarrow O(3)$ with kernel again equal to $\{\pm \mathbf{1}\}$.*
(3) *A matrix $A \in U(2)^{\pm 1} \leq \text{Mat}_{2 \times 2}(\mathbb{C})$ represents a (complex) reflection if, and only if, $\tilde{\rho}(A)$ represents a (real) reflection in $O(3)$.*
(4) *The group $U(2)^{\pm 1}$ is generated by the complex reflections it contains.*

Restating this slightly, the backbone of the magic square above is the following statement that is, in essence, a reformulation of some results in [BBR02] — and with proper reading one may argue that it is already contained in [Cox91].

3.10. Theorem. *There is a commutative diagram of exact sequences of groups*

$$\begin{array}{ccccccc}
 & & 1 & & 1 & & \\
 & & \downarrow & & \downarrow & & \\
 & & \{\pm \mathbf{1}\} & = & \{\pm \mathbf{1}\} & & \\
 & & \downarrow & & \downarrow & & \\
 1 & \longrightarrow & SU(2) & \xrightarrow{i} & U(2)^{\pm 1} & \xrightarrow{\det} & \{\pm \mathbf{1}\} \longrightarrow 1 \\
 & & \rho \downarrow & & \tilde{\rho} \downarrow & & \parallel \\
 1 & \longrightarrow & SO(3) & \xrightarrow{j} & O(3) & \xrightarrow{\det} & \{\pm \mathbf{1}\} \longrightarrow 1 \\
 & & \downarrow & & \downarrow & & \\
 & & 1 & & 1 & &
 \end{array}$$

where i, j are the obvious inclusions, $\rho: SU(2) \rightarrow SO(3)$ is the universal covering of $SO(3)$, the map famously discovered by Hamilton in terms of quaternions, and $\tilde{\rho}$ identifies with the canonical covering of $O(3)$ by the Pin group.

Further, the two–dimensional complex representation afforded by the inclusion $U(2)^{\pm 1} \leq GL(2)$ and the three–dimensional representation of that group afforded by $\tilde{\rho}$ are related in that $x \in U(2)^{\pm 1}$ is a (complex) reflection of order 2 in $GL(2, \mathbb{C})$ if, and only if, $\tilde{\rho}(x)$ is a (real) reflection in $O(3)$.

For the proof of these claims see below until Section 7.

3.11. Remark. The horizontal short exact sequence in the middle of above diagram could alternatively also be written as

$$1 \longrightarrow \text{Spin}(3) \xrightarrow{i} \text{PIN}^+(3) \xrightarrow{\det} \{\pm 1\} \longrightarrow 1$$

as we explain below.

The group homomorphisms $\rho, \tilde{\rho}$ can be defined, somewhat ad hoc, as follows.

3.12. Consider in $\text{Mat}_{2 \times 2}(\mathbb{C})$ the subspace

$$\mathfrak{su}(2) = \left\{ B \in \text{Mat}_{2 \times 2}(\mathbb{C}) \mid \bar{B}^T = -B, \text{tr } B = 0 \right\}.$$

In more fancy terms, $\mathfrak{su}(2)$ consists of the *skew–Hermitian* (or *antihermitian*), *traceless* 2×2 complex matrices. Explicitly, if B is a matrix in $\mathfrak{su}(2)$, then there are real numbers $\beta, \gamma, \delta \in \mathbb{R}$ such that

$$B = \begin{pmatrix} \beta i & -\gamma - \delta i \\ \gamma - \delta i & -\beta i \end{pmatrix}.$$

Note that $\det B = \beta^2 + \gamma^2 + \delta^2 \in \mathbb{R}_{\geq 0}$ is the squared length of the vector $(\beta, \gamma, \delta) \in \mathbb{R}^3$. In particular, $\mathfrak{su}(2)$ is a 3–dimensional real vector space and taking determinants yields the standard Euclidean square norm on it.

3.13. Remark. The reason for the notation is that $\mathfrak{su}(2)$ is a (real) Lie algebra with respect to the usual commutator, $[B, B'] = BB' - B'B$, and as such is the Lie algebra of the simply connected Lie group $SU(2)$. However, we will not need this additional insight here.

3.14. If now $A \in U(2)^{\pm 1}$ and $B \in \mathfrak{su}(2)$, define

$$\tilde{\rho}(A)(B) = (\det A)ABA^{-1} \in \text{Mat}_{2 \times 2}(\mathbb{C}).$$

It is not too painful to verify directly the following facts:

- (a) $\tilde{\rho}(A)(B) \in \mathfrak{su}(2)$ along with B , thus, $\tilde{\rho}(A)$ is an \mathbb{R} –linear automorphism of $\mathfrak{su}(2)$, and
- (b) $\tilde{\rho}$ defines a group homomorphism from $U(2)^{\pm 1}$ to $O(3) \leq \text{Aut}_{\mathbb{R}}(\mathfrak{su}(2)) \cong GL(3, \mathbb{R})$.
Indeed, $\det(\tilde{\rho}(A)(B)) = \det(A)^2 \det B = \det B$, whence the Euclidean norm, given by \det on $\mathfrak{su}(2)$ is preserved.
- (c) The restriction of $\tilde{\rho}$ to $SU(2)$ yields $\rho : SU(2) \rightarrow SO(3)$. This group homomorphism is in fact the *adjoint representation* of the Lie group $SU(2)$ on its Lie algebra $\mathfrak{su}(2)$, in that $\rho(A)(B) = ABA^{-1}$ for $A \in SU(2), B \in \mathfrak{su}(2)$.

From this point of view, the map $\tilde{\rho}$ is the *twisted adjoint representation* of $U(2)^{\pm 1}$ on its Lie algebra that happens to coincide with $\mathfrak{su}(2)$, as $SU(2)$ is the connected component of the identity element $1 \in U(2)^{\pm 1}$ in that Lie group. The twist is by the determinant character of $U(2)^{\pm 1}$ as the explicit form of the action shows.

This defines the group homomorphisms $\rho, \tilde{\rho}$ in Theorem 3.10, but it does not yet explain their surjectivity nor their kernels.

Below we will put all this into its proper context of (s)pinor geometry.

These classical results, are “complexified” by Theorem 3.10. First, one can lift Coxeter’s classification:

3.15. Proposition. *The inclusion of groups $i : \mathrm{SU}(2) \rightarrow \mathrm{U}(2)^{\pm 1}$ sets up, via $i^{-1}(G) = G \cap \mathrm{SU}(2)$, a bijection between the conjugacy classes of finite complex reflection groups $G \leq \mathrm{U}(2)^{\pm 1} \leq \mathrm{GL}(2, \mathbb{C})$ and the conjugacy classes of finite subgroups of $\mathrm{SU}(2)$.*

3.16. Remark. Any finite subgroup of $\mathrm{GL}(2, \mathbb{C})$ that is generated by reflections of order 2 is conjugate to such a reflection group in $\mathrm{U}(2)^{\pm 1}$ and two such groups are conjugate in $\mathrm{U}(2)^{\pm 1}$ if, and only if, they are conjugate inside $\mathrm{GL}(2, \mathbb{C})$, see Lemma 3.17 below.

Thus, up to conjugation, this result classifies the finite subgroups in $\mathrm{GL}(2, \mathbb{C})$ generated by reflections of order 2, and so recoups that part of the classification by Shephard–Todd [ST54] in a conceptual way.

3.17. Lemma. *Let $G, G' \subseteq \mathrm{U}(2)^{\pm 1}$ be finite subgroups. Then G and G' are conjugate in $\mathrm{U}(2)^{\pm 1}$ if and only if they are conjugate in $\mathrm{GL}(2, \mathbb{C})$.*

Proof. If G, G' are conjugate in $\mathrm{U}(2)^{\pm 1}$, then they are clearly conjugate in $\mathrm{GL}(2, \mathbb{C})$. On the other hand, assume that G, G' are conjugate in $\mathrm{GL}(2, \mathbb{C})$.

Note that one can decompose any $g \in \mathrm{GL}(n, \mathbb{C})$ as $g = \mathrm{UDV}^{-1}$, where $U, V \subseteq \mathrm{U}(n)$ and D is a diagonal matrix in $\mathrm{GL}(n, \mathbb{R})$ with entries in $\mathbb{R}_{>0}$ (Singular Value Decomposition). However, given such a decomposition, we may first replace the matrix U in this decomposition by $\frac{1}{\sqrt[n]{\det(U)}}U$, where $\sqrt[n]{\det(U)}$ is any of the n -th roots of the determinant (and similarly for V), to replace g by a matrix with determinant equal to 1 and in whose singular value decomposition the matrices U, V are from the special unitary group.

Returning to the case at hand, $n = 2$, we assume that G, G' are conjugate in $\mathrm{GL}(2, \mathbb{C})$. That is, we may find a $g \in \mathrm{GL}(2, \mathbb{C})$ such that $G = gG'g^{-1}$. By the above, write $g = \mathrm{UDV}^{-1}$ with $U, V \in \mathrm{SU}(2, \mathbb{C})$. Now the groups $G_1 := U^{-1}GU$ and $G_2 := V^{-1}G'V$ are still in $\mathrm{U}(2)^{\pm 1}$ and conjugate: $G_1 = DG_2D^{-1}$, where $D = \begin{pmatrix} d_1 & 0 \\ 0 & d_2 \end{pmatrix}$ with $d_i > 0$. Now any matrix

X in G_i is either of the form $\begin{pmatrix} \alpha & \beta \\ -\bar{\beta} & \bar{\alpha} \end{pmatrix}$ or $\begin{pmatrix} \alpha & \beta \\ \bar{\beta} & -\bar{\alpha} \end{pmatrix}$ for $\alpha, \beta \in \mathbb{C}$ with $\alpha\bar{\alpha} + \beta\bar{\beta} = 1$. For any such $X \in G_2$ of the first type one calculates

$$DXD^{-1} = \begin{pmatrix} d_1 & 0 \\ 0 & d_2 \end{pmatrix} \begin{pmatrix} \alpha & \beta \\ -\bar{\beta} & \bar{\alpha} \end{pmatrix} \begin{pmatrix} \frac{1}{d_1} & 0 \\ 0 & \frac{1}{d_2} \end{pmatrix} = \begin{pmatrix} \alpha & \frac{d_1}{d_2}\beta \\ -\frac{d_2}{d_1}\bar{\beta} & \bar{\alpha} \end{pmatrix},$$

which is in $\mathrm{SU}(2)$ if and only if, $\beta = 0$, or $\frac{d_1}{d_2} = \frac{d_2}{d_1}$. In either case, D commutes with X , thus, D centralizes the group G_2 (similarly for X of the second type). This shows that G_1 and G_2 , and hence G and G' have to be conjugate in $\mathrm{U}(2)^{\pm 1}$. \square

Historical Note. It has been observed earlier by several authors that there is a strong relationship between finite subgroups of $\mathrm{SU}(2)$ and complex reflection groups; see, for example, [GSV83, Knö85]. In those references, a reflection group $\tilde{G} \subseteq \mathrm{GL}(2, \mathbb{C})$ that contains a given finite subgroup $G \leq \mathrm{SU}(2)$ as subgroup of index 2 was found by “table look-up”: Shephard and Todd [ST54] compiled, in 1954, tables of all (conjugacy classes of) finite groups generated by complex pseudo-reflections, and this happens to imply the bijection between (conjugacy classes of) finite reflection groups in $\mathrm{GL}(2, \mathbb{C})$ and the (conjugacy classes of) binary polyhedral groups, the finite subgroups of $\mathrm{SU}(2)$.

In particular, the bijective correspondence at the right of the magic square, between finite reflection groups in $\mathrm{GL}(2, \mathbb{C})$ containing -1 and finite reflection groups in $\mathrm{O}(3)$ remained mysterious for a long time. Only in 2002, Bessis, Bonnafé, and Rouquier [BBR02] explained this correspondence conceptually — not only for the case considered here, but with results for all finite subgroups of $\mathrm{GL}(n, \mathbb{C})$ generated by pseudo-reflections.

The next result complexifies Theorem 3.7.

3.18. Proposition. *The group homomorphism $\tilde{\rho} : \mathrm{U}(2)^{\pm 1} \rightarrow \mathrm{O}(3)$ sets up, via $G \mapsto \tilde{\rho}^{-1}(G)$, a bijection between the finite subgroups $G \leq \mathrm{O}(3)$ generated by reflections and the finite subgroups of $\mathrm{U}(2)^{\pm 1}$ that contain -1 and are generated by reflections.*

Further, the finite subgroups of $\mathrm{U}(2)^{\pm 1}$ that do not contain -1 but are generated by reflections are the dihedral groups D_{2m+1} , for $m \geq 0$, and those are mapped isomorphically via $\tilde{\rho}$ onto their images in $\mathrm{O}(3)$.

3.19. Finally we note the following application of Theorem 3.10 to root systems that is reminiscent of a Theorem by E. Witt. To formulate this, recall from [Hum90], say, that a finite subset $\mathcal{R} \subset \mathbb{R}^n$ of n -dimensional Euclidean space is a (normalized) *root system* if

- (a) (Normalization) Each vector v in \mathcal{R} is of length 1,
- (b) For $v \in \mathcal{R}$, one has $\mathbb{R}v \cap \mathcal{R} = \{v, -v\}$,
- (c) For $v \in \mathcal{R}$, the reflection $s_v : \mathbb{R}^n \rightarrow \mathbb{R}^n$, $s_v(w) = w - 2(v, w)v$, with (v, w) the usual positive definite scalar product on \mathbb{R}^n , maps \mathcal{R} to itself (observe that $(v, v) = 1$ as \mathcal{R} is normalized).

Normalization ensures that \mathcal{R} is indeed a subset of the unit sphere $\mathbb{S}^{n-1} \subset \mathbb{R}^n$.

Given a root system \mathcal{R} , the group generated by the reflections $\{s_v\}_{v \in \mathcal{R}}$ is naturally a subgroup of the symmetric group $\mathrm{Sym}(\mathcal{R})$ on \mathcal{R} , thus, this group constitutes a *finite* reflection subgroup of the orthogonal group $\mathrm{O}(n)$; see [Hum90, p. 7].

Conversely, if $G \leq \mathrm{O}(n)$ is a finite reflection group, then the set \mathcal{R} of unit normal vectors to the mirrors of reflections in G forms a normalized root system.

Now we are ready to recall Witt's Theorem. For more on quaternions, see Section 4.

3.20. Theorem (E. Witt [Wit41]). *If one identifies $\mathrm{SU}(2)$ with the group \mathbb{H}_1 of quaternions of norm 1 (see 4.7), and if $G \leq \mathrm{SU}(2) \cong \mathbb{H}_1$ is a subgroup of even order, then the elements of that group, viewed as vectors in the real vector space $\mathbb{H} \cong \mathbb{R}^4$ of all quaternions, form the root system of a finite reflection group in $\mathrm{O}(4)$.*

Witt then identified the elements of the binary icosahedral group in $\mathrm{SU}(2)$ as the root system \mathbf{H}_4 whose actual existence was not known until then.

Theorem 3.10 implies the following “three-dimensional version” of Witt's theorem.

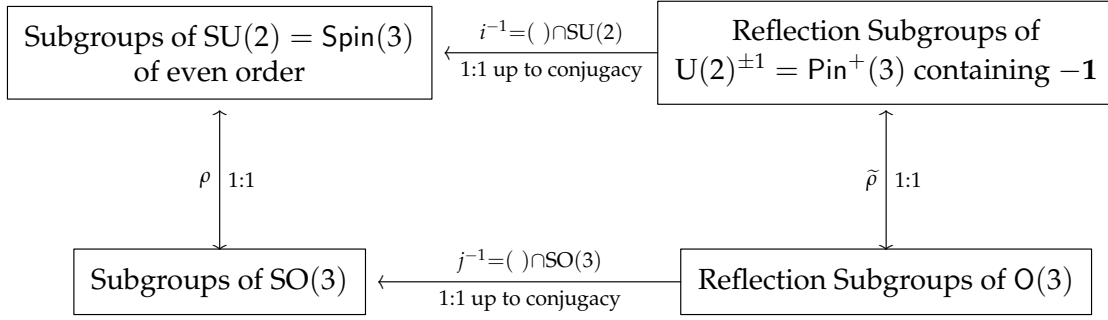
3.21. Theorem. *The set $\mathrm{Refl}(\mathrm{U}(2)^{\pm 1})$ of complex reflections in $\mathrm{U}(2)^{\pm 1}$ is*

$$\begin{aligned} \mathrm{Refl}(\mathrm{U}(2)^{\pm 1}) &= \{A \in \mathrm{U}(2) \mid \mathrm{tr} A = 0, \det A = -1\} \\ &= \left\{ \begin{pmatrix} \alpha & \beta + \gamma i \\ \beta - \gamma i & -\alpha \end{pmatrix} \in \mathrm{GL}(2, \mathbb{C}) \mid \alpha, \beta, \gamma \in \mathbb{R}, \alpha^2 + \beta^2 + \gamma^2 = 1 \right\}, \end{aligned}$$

thus, the set of reflections in $\mathrm{U}(2)^{\pm 1}$ is in natural bijection with the unit 2-sphere $\mathbb{S}^2 \subset \mathbb{R}^3$.

If $G \leq \mathrm{O}(3)$ is a reflection group with normalized root system \mathcal{R} , then its preimage $\tilde{\rho}^{-1}(G) \leq \mathrm{U}(2)^{\pm 1}$ is the complex reflection group generated by $\mathcal{R} \subset \mathbb{S}^2 = \mathrm{Refl}(\mathrm{U}(2)^{\pm 1})$ as subset of $\mathrm{U}(2)^{\pm 1}$.

3.22. To summarize, one can replace the magic square from the beginning with the following more precise diagram:



To prove all the claims we have made so far, the essential ingredient is to understand the group homomorphism $\tilde{\rho} : \text{U}(2)^{\pm 1} \rightarrow \text{O}(3)$ thoroughly. We first make everything explicit in terms of quaternions and complex 2×2 -matrices and then recapture that description within the general theory of Clifford algebras and their (s)pin groups.

4. QUATERNIONS

For beautiful treatments of the basic theory of quaternions, nothing beats the two references [EHH⁺91, Cox91] and we only excerpt a tiny bit from those.

4.1. If one views the quaternions $\mathbb{H} \cong 1 \cdot \mathbb{C} \oplus \mathbf{j} \cdot \mathbb{C}$ as 2-dimensional (right) complex vector space, then multiplication from the left with elements from \mathbb{H} on itself is right \mathbb{C} -linear and in the given basis $\{1, \mathbf{j}\}$ one obtains the (left) *regular representation* of \mathbb{H} on \mathbb{C}^2 , that is, the \mathbb{R} -algebra homomorphism

$$\begin{aligned}
 \lambda : \mathbb{H} = \mathbb{R}\mathbf{1} \oplus \mathbb{R}\mathbf{i} \oplus \mathbb{R}\mathbf{j} \oplus \mathbb{R}\mathbf{k} &\longrightarrow \text{Mat}_{2 \times 2}(\mathbb{C}) \\
 \alpha\mathbf{1} + \beta\mathbf{i} + \gamma\mathbf{j} + \delta\mathbf{k} &\mapsto \begin{pmatrix} \alpha + \beta\mathbf{i} & -\gamma - \delta\mathbf{i} \\ \gamma - \delta\mathbf{i} & \alpha - \beta\mathbf{i} \end{pmatrix}.
 \end{aligned}$$

Indeed, for $\mathbf{k} \in \mathbb{H}$, say, one obtains $\lambda(\mathbf{k})(1) = \mathbf{k} = \mathbf{j}(-i)$ and $\lambda(\mathbf{k})(\mathbf{j}) = \mathbf{k}\mathbf{j} = 1 \cdot (-i) \in 1 \cdot \mathbb{C} \subset \mathbb{H}$, so that the matrix of $\lambda(\mathbf{k})$ is

$$\lambda(\mathbf{k}) = \begin{array}{c|cc} & \mathbf{1} & \mathbf{j} \\ \hline \mathbf{1} & 0 & -i \\ \hline \mathbf{j} & -i & 0 \end{array}$$

and similarly for $\lambda(\mathbf{i}), \lambda(\mathbf{j})$ and their (real) linear combinations.

4.2. The map λ is injective and yields an \mathbb{R} -algebra isomorphism

$$\lambda : \mathbb{H} \xrightarrow{\cong} \mathcal{H} := \left\{ \begin{pmatrix} w & -z \\ \bar{z} & \bar{w} \end{pmatrix} \mid w, z \in \mathbb{C} \right\} \subset \text{Mat}_{2 \times 2}(\mathbb{C})$$

onto the real subalgebra \mathcal{H} of $\text{Mat}_{2 \times 2}(\mathbb{C})$.

4.3. To characterize \mathcal{H} as subset of $\text{Mat}_{2 \times 2}(\mathbb{C})$, with $x = \alpha\mathbf{1} + \beta\mathbf{i} + \gamma\mathbf{j} + \delta\mathbf{k} \in \mathbb{H}$ write

$$\lambda(x) = \alpha \cdot \mathbf{1} + B,$$

where $\mathbf{1}$ is the 2×2 identity matrix and B is a *skew-Hermitian* (or *antihermitian*), *traceless* matrix, in that

$$B = \lambda(\beta\mathbf{i} + \gamma\mathbf{j} + \delta\mathbf{k}) = \begin{pmatrix} \beta\mathbf{i} & -\gamma - \delta\mathbf{i} \\ \gamma - \delta\mathbf{i} & -\beta\mathbf{i} \end{pmatrix}$$

satisfies

$$\bar{B}^T = \begin{pmatrix} -\beta i & \gamma + \delta i \\ -\gamma + \delta i & \beta i \end{pmatrix} = -B \quad \text{and} \quad \text{tr } B = 0,$$

with $\text{tr } B$ denoting the *trace* of the matrix. Conversely, if $\alpha \in \mathbb{R}$ and B is a complex 2×2 matrix that is skew-Hermitian and traceless, then $\alpha \mathbf{1} + B$ is in the image of λ .

4.4. As already mentioned, the skew-Hermitian and traceless matrices form the real Lie algebra $\mathfrak{su}(2)$ in its standard representation on \mathbb{C}^2 , whence $\lambda(\mathbb{H}) = \mathcal{H}$ can be identified with $\mathbb{R} \cdot \mathbf{1} \oplus \mathfrak{su}(2) \subset \text{Mat}_{2 \times 2}(\mathbb{C})$.

4.5. Transporting things back to the quaternions, the *traceless* or *purely imaginary* or just *pure* quaternions $\mathbb{H}^0 = \mathbb{R}i \oplus \mathbb{R}j \oplus \mathbb{R}k \subset \mathbb{H}$ form a 3-dimensional real vectorspace and $\lambda(\mathbb{H}^0) = \mathfrak{su}(2)$.

4.6. The *conjugate* of the quaternion $x = \alpha \mathbf{1} + \beta \mathbf{i} + \gamma \mathbf{j} + \delta \mathbf{k}$ is

$$\bar{x} = \alpha \mathbf{1} - \beta \mathbf{i} - \gamma \mathbf{j} - \delta \mathbf{k}$$

with the (square) *norm* of x defined as

$$N(x) = x\bar{x} = \bar{x}x = \alpha^2 + \beta^2 + \gamma^2 + \delta^2 = \det \lambda(x) \in \mathbb{R}_{\geq 0}.$$

Because $\det \lambda(x) = 0$ only if $x = 0$, this is the quickest way to see that $\mathbb{H} \cong \mathcal{H}$ is a *skew field*, also called a *division ring*.

Note that

$$\lambda(\bar{x}) = \begin{pmatrix} \alpha - \beta i & \gamma + \delta i \\ -\gamma + \delta i & \alpha + \beta i \end{pmatrix} = (\overline{\lambda(x)})^T$$

is the *Hermitian transpose* of the matrix $\lambda(x)$. Writing $\lambda(x) = \alpha \mathbf{1} + B$ as above, then $\lambda(\bar{x}) = \alpha \mathbf{1} - B$, which brings out the analogy with complex conjugation.

4.7. The norm restricts to a homomorphism from the multiplicative group \mathbb{H}^* of nonzero quaternions onto the multiplicative group $(\mathbb{R}_{>0}, \cdot)$. It identifies via λ with the restriction of the determinant homomorphism $\det: \text{GL}(2, \mathbb{C}) \rightarrow \mathbb{C}^*$ to $\lambda(\mathbb{H}^*)$. The kernel of the norm is the multiplicative group (\mathbb{H}_1, \cdot) of quaternions of norm 1, called *versors* (Latin for “turners”) by Hamilton, and λ induces a group isomorphism

$$\lambda|_{\mathbb{H}_1}: \mathbb{H}_1 \xrightarrow{\cong} \text{SU}(2) \leq \text{GL}(2, \mathbb{C})$$

onto the *special unitary group* $\text{SU}(2) = \{A \in \text{GL}(2, \mathbb{C}) \mid A \cdot \bar{A}^T = \mathbf{1}, \det A = 1\} \subset \mathcal{H}$.

4.8. To understand the embedding $\lambda: \mathbb{H} \rightarrow \text{Mat}_{2 \times 2}(\mathbb{C})$ better, note that for $A \in \mathcal{H}$, the determinant of the matrix $Ai = iA$ will be $\det(Ai) = -\det A \in \mathbb{R}_{<0}$, and therefore only the zero matrix simultaneously belongs to \mathcal{H} and $\mathcal{H}i$, leading immediately to the following result.

4.9. **Lemma.** *As a real vector space, $\text{Mat}_{2 \times 2}(\mathbb{C}) \cong \mathcal{H} \oplus \mathcal{H}i$.*

Proof. Because of the differing signs of the determinants, $\mathcal{H} \cap \mathcal{H}i = \{\mathbf{0}\}$, with $\mathbf{0}$ the zero matrix, and the sum $\mathcal{H} + \mathcal{H}i \subseteq \text{Mat}_{2 \times 2}(\mathbb{C})$ is direct. As the matrices $\lambda(\mathbf{1}), \lambda(\mathbf{i}), \lambda(\mathbf{j}), \lambda(\mathbf{k}) \in \mathcal{H}$ are linearly independent over \mathbb{R} , so are the matrices $\lambda(\mathbf{1})i, \lambda(\mathbf{i})i, \lambda(\mathbf{j})i, \lambda(\mathbf{k})i \in \mathcal{H}i$. This shows that $\dim_{\mathbb{R}}(\mathcal{H} \oplus \mathcal{H}i) = 8 = \dim_{\mathbb{R}} \text{Mat}_{2 \times 2}(\mathbb{C})$, whence the inclusion $\mathcal{H} \oplus \mathcal{H}i \subseteq \text{Mat}_{2 \times 2}(\mathbb{C})$ is an equality. \square

A different, but equivalent way to state the foregoing observation, is as follows, using that as real vector spaces $\mathbb{H} \otimes_{\mathbb{R}} \mathbb{C} \cong \mathcal{H} \oplus \mathcal{H}i$ via $x \otimes (a + bi) \mapsto \lambda(xa) + \lambda(xb)i$:

4.10. Corollary. *The \mathbb{C} -algebra $\mathbb{H} \otimes_{\mathbb{R}} \mathbb{C}$ is isomorphic to $\text{Mat}_{2 \times 2}(\mathbb{C})$ and, modulo this isomorphism, λ is the canonical map $\lambda = \text{id}_{\mathbb{H}} \otimes \iota : \mathbb{H} \cong \mathbb{H} \otimes_{\mathbb{R}} \mathbb{R} \hookrightarrow \mathbb{H} \otimes_{\mathbb{R}} \mathbb{C}$, where $\iota : \mathbb{R} \hookrightarrow \mathbb{C}$ is the inclusion of algebras. The map $\lambda(\cdot)i : \mathbb{H} \xrightarrow{\cong} \mathbb{H} \otimes_{\mathbb{R}} \mathbb{R} \hookrightarrow \text{Mat}_{2 \times 2}(\mathbb{C})$ has as image $\mathcal{H}i$. \square*

A further consequence is the following.

4.11. Corollary. *The set $\lambda(\mathbb{H}_1) \cup \lambda(\mathbb{H}_1)i \subset \text{Mat}_{2 \times 2}(\mathbb{C})$ forms a group under multiplication, namely the unimodular unitary group*

$$\text{U}(2)^{\pm 1} = \{A \in \text{GL}(2, \mathbb{C}) \mid A \cdot \bar{A}^T = \mathbf{1}, \det A = \pm 1\} \leq \text{U}(2)$$

of unitary 2×2 matrices of determinant ± 1 . \square

5. THE CLIFFORD ALGEBRA OF EUCLIDEAN 3-SPACE

Here we define the players in the upper part of the magic square: the (s)pin groups for Euclidean 3-space. We use ad-hoc definitions to show Theorem 3.10. In Section 8 general Clifford algebras and their (s)pin groups will be defined.

5.1. Let's have a closer look at the matrices $\lambda(1)i, \lambda(\mathbf{i})i, \lambda(\mathbf{j})i, \lambda(\mathbf{k})i \in \mathcal{H}i \subset \text{Mat}_{2 \times 2}(\mathbb{C})$ from above. One has, obviously,

$$\lambda(1)i = \begin{pmatrix} i & 0 \\ 0 & i \end{pmatrix}, \quad \lambda(\mathbf{i})i = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}, \quad \lambda(\mathbf{j})i = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \lambda(\mathbf{k})i = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}.$$

Up to relabeling and a sign, the last three of these are Pauli's famous *spin matrices* from quantum mechanics. More precisely, the classical *Pauli matrices* are

$$e_1 = \lambda(\mathbf{k})i = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad e_2 = \lambda(\mathbf{j})i = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad e_3 = -\lambda(\mathbf{i})i = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

5.2. You check immediately that

$$e_{123} = e_1 e_2 e_3 = \lambda(1)i = \begin{pmatrix} i & 0 \\ 0 & i \end{pmatrix},$$

and that the Pauli matrices satisfy

$$\begin{aligned} e_v^2 &= \mathbf{1} && \text{for } v = 1, 2, 3, \\ e_\mu e_\nu + e_\nu e_\mu &= \mathbf{0} && \text{for } \mu, \nu = 1, 2, 3; \mu \neq \nu. \end{aligned}$$

An immediate application of these relations is as follows.

5.3. Corollary. *For $x_1, x_2, x_3 \in \mathbb{R}$, one has in the matrix algebra $\text{Mat}_{2 \times 2}(\mathbb{C})$ that*

$$(x_1 e_1 + x_2 e_2 + x_3 e_3)^2 = (x_1^2 + x_2^2 + x_3^2) \mathbf{1}.$$

Put differently, the real vector space spanned by the Pauli matrices inside $\text{Mat}_{2 \times 2}(\mathbb{C})$ and endowed with the quadratic form given by squaring inside the matrix algebra is Euclidean 3-space.

As for the remaining products of two Pauli matrices, writing $e_{\mu\nu} = e_\mu e_\nu$,

$$e_{12} = \lambda(\mathbf{i})i = \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}, \quad e_{13} = \lambda(\mathbf{j})i = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \quad e_{32} = \lambda(\mathbf{k})i = \begin{pmatrix} 0 & -i \\ -i & 0 \end{pmatrix}.$$

Putting all this together re-establishes the following classical results. Note here that we will define Clifford algebras in general in Section 8.

5.4. Theorem. (1) The algebra $\text{Mat}_{2 \times 2}(\mathbb{C})$ is the Clifford algebra $Cl = Cl_3 = Cl_{3,0}$ of Euclidean 3-space, $E = \mathbb{R}e_1 \oplus \mathbb{R}e_2 \oplus \mathbb{R}e_3$ equipped with the standard Euclidean form

$$Q(x_1e_1 + x_2e_2 + x_3e_3) = x_1^2 + x_2^2 + x_3^2.$$

In particular, as a real algebra $\text{Mat}_{2 \times 2}(\mathbb{C})$ is generated by the three Pauli matrices.

- (2) The matrices $\mathbf{1}, e_1, e_2, e_3, e_{12}, e_{13}, e_{23}, e_{123}$ form a real basis of this algebra.
- (3) The even Clifford subalgebra is $Cl^0 = \mathcal{H} = \lambda(\mathbb{H}) = \mathbb{R}\mathbf{1} \oplus \mathbb{R}e_{12} \oplus \mathbb{R}e_{13} \oplus \mathbb{R}e_{23}$.
- (4) The odd part of this Clifford algebra is $Cl^1 = \mathcal{H}i = \lambda(\mathbb{H})i = \mathbb{R}e_1 \oplus \mathbb{R}e_2 \oplus \mathbb{R}e_3 \oplus \mathbb{R}e_{123}$.
- (5) Using the real vector space grading given by the product-length, one has the direct sum decomposition into subspaces

$$\begin{aligned} Cl^0 &= \mathbb{R}\mathbf{1}, \\ Cl^1 &= \mathbb{R}e_1 \oplus \mathbb{R}e_2 \oplus \mathbb{R}e_3 = \mathfrak{su}(2) \cdot i, \\ Cl^2 &= \mathbb{R}e_{12} \oplus \mathbb{R}e_{13} \oplus \mathbb{R}e_{23} = \mathfrak{su}(2), \\ Cl^3 &= \mathbb{R}e_{123} = \mathbb{R}\mathbf{1}i. \end{aligned}$$

6. THE PIN GROUPS FOR EUCLIDEAN 3-SPACE

The (multiplicative) group of *homogeneous* invertible elements in the $\mathbb{Z}/2\mathbb{Z}$ -graded Clifford algebra $Cl = Cl_3$ is denoted Cl_{hom}^* . In the case at hand, $Cl_{hom}^* = \lambda(\mathbb{H}^*) \cup \lambda(\mathbb{H}^*)i$. The following three involutions can be defined more generally on the Clifford algebra of any quadratic space (V, q) , see 8.17 later. Here we give ad-hoc definitions, using the direct sum decomposition from Theorem 5.4 (5). For $x \in Cl$ write $x = [x]_0 + [x]_1 + [x]_2 + [x]_3$, where $[-]_i$ is in Cl^i .

- The *principal automorphism* α of the Clifford algebra Cl of x is given by $\alpha(x) = [x]_0 - [x]_1 + [x]_2 - [x]_3$.
- The *transpose* t of the Clifford algebra Cl is given by $t(x) = [x]_0 + [x]_1 - [x]_2 - [x]_3$.
- *Clifford conjugation* is the composition $\bar{x} = \alpha \circ t(x) = t \circ \alpha(x)$. It is an anti-automorphism of the algebra.

In our case, this amounts to the following \mathbb{R} -linear maps, specified on the basis and on the homogeneous components of the product-length grading.

	$\mathbf{1}$	e_1	e_2	e_3	e_{12}	e_{13}	e_{23}	e_{123}	Cl^0	Cl^1	Cl^2	Cl^3
α	1	$-e_1$	$-e_2$	$-e_3$	e_{12}	e_{13}	e_{23}	$-e_{123}$	$+$	$-$	$+$	$-$
t	1	e_1	e_2	e_3	$-e_{12}$	$-e_{13}$	$-e_{23}$	$-e_{123}$	$+$	$+$	$-$	$-$
$\bar{(\)} = \alpha t$	1	$-e_1$	$-e_2$	$-e_3$	$-e_{12}$	$-e_{13}$	$-e_{23}$	e_{123}	$+$	$-$	$-$	$+$

The following observation is now easily verified.

6.1. Proposition. The map $i_- : Cl_{hom}^* \rightarrow \text{Aut}_{\mathbb{R}\text{-alg}}(Cl)$ given by

$$i_u(x) = \alpha(u)xu^{-1}$$

is a group homomorphism into the group of \mathbb{R} -algebra automorphisms of the Clifford algebra.

One uses these maps to define *norms* on the Clifford algebra,

$$\begin{aligned} N(x) &= \bar{x}x = \alpha(t(x))x, \\ N'(x) &= t(x)x. \end{aligned}$$

These norms define various group homomorphisms on the group of homogeneous invertible elements in Cl .

6.2. Lemma. *The restrictions of $N, N', N^2 = (N')^2$ to Cl_{hom}^* yield group homomorphisms into $\mathbb{R}^* \cdot \mathbf{1} \cong \mathbb{R}^*$.*

These maps and their images can be identified on $\text{Mat}_{2 \times 2}(\mathbb{C})$ as follows.

	$\lambda(\mathbb{H}^*) = (Cl^*)^0$	image of $(Cl^*)^0$	$\lambda(\mathbb{H}^*)i = (Cl^*)^1$	image of $(Cl^*)^1$
N	\det	$\mathbb{R}_{>0}$	\det	$\mathbb{R}_{<0}$
N'	\det	$\mathbb{R}_{>0}$	$-\det$	$\mathbb{R}_{>0}$
$N^2 = (N')^2$	\det^2	$\mathbb{R}_{>0}$	\det^2	$\mathbb{R}_{>0}$

Proof. We saw already earlier that for any quaternion x and the corresponding matrix $\lambda(x) \in \lambda(\mathbb{H}) = Cl^0$ one has $N(x) = \det \lambda(x) \mathbf{1}$, with $\det \lambda(x) \geq 0$.

If $y \in Cl^1$, then $yi \in Cl^0$ and $yi = ye_{123} \in \text{Mat}_{2 \times 2}(\mathbb{C})$. Thus, knowing N on $\lambda(\mathbb{H})$, we have first $N(yi) = \det(yi) \mathbf{1} = -\det(y) \mathbf{1}$, while by multiplicativity of the norm $N(yi) = N(y)N(e_{123})$ and $N(e_{123}) = e_{123}^2 = -\mathbf{1}$, whence $N(y) = \det(y) \mathbf{1}$ as claimed. The rest of the table follows. \square

In the literature there are, confusingly enough, at least, three(!) competing definitions of the Pin groups and we record those now.

6.3. Definition. With notation as introduced, set

$$\begin{aligned} \text{Pin}^+(3) &= \ker(N: Cl_{hom}^* \longrightarrow \mathbb{R}^*), & \text{the Pin group in [ABS64] or [Knu91]}, \\ \text{Pin}'^+(3) &= \ker(N': Cl_{hom}^* \longrightarrow \mathbb{R}^*), & \text{the Pin group in [Sch85]}, \\ \text{PIN}^+(3) &= \ker(N^2 = (N')^2: Cl_{hom}^* \longrightarrow \mathbb{R}^*), & \text{the Pin group in [CBDM89, Por95, Lou01]}. \end{aligned}$$

Clearly, $\text{Pin}^+(3), \text{Pin}'^+(3)$ are subgroups of $\text{PIN}^+(3)$, whence we call the latter the *big Pin group*.

Intersecting each of these Pin groups with $(Cl^*)^0$ returns the same *spinor group* $\text{Spin}^+(3)$.

As for notation, the superscript “+” refers to the positive definiteness of the quadratic form, the argument (3) reminds us that we are working with 3–dimensional real space.

The relation between the groups $\text{SU}(2), \text{U}(2)^\pm$ and the (s)pin groups is now immediate.

6.4. Theorem. *One has*

- (1) $\text{SU}(2) = \text{Spin}^+(3) = \text{Pin}^+(3)$,
- (2) $\text{U}(2)^{\pm 1} = \text{PIN}^+(3) = \text{Pin}'^+(3)$.

Proof. We saw above that $Cl_{hom}^* = \lambda(\mathbb{H}^*) \cup \lambda(\mathbb{H}^*)i$. Now the norm N is positive on $\lambda(\mathbb{H}^*)$, negative on $\lambda(\mathbb{H}^*)i$, thus, $\ker(N) = \lambda(\mathbb{H}^*) \cap \det^{-1}(1) = \lambda(\mathbb{H}_1)$, by definition of \mathbb{H}_1 . We already identified \mathbb{H}_1 with $\text{SU}(2)$ before (see 4.7), thus, $\text{SU}(2) = \text{Pin}^+(3)$. Because $\text{Pin}^+(3)$ is already contained in $(Cl^*)^0$, it agrees with $\text{Spin}^+(3)$.

Now $\text{U}(2)^{\pm 1} = \text{SU}(2) \cup \text{SU}(2)i = \text{PIN}^+(3)$, as $\ker N^2 = N^{-1}(\{\pm 1\})$. Also, $\ker N^2 = \ker(N')^2 = \ker N'$, as N' is everywhere positive. Finally, intersecting any of the three Pin groups with $(Cl^*)^0$ yields the same group $\text{Spin}^+(3) = \text{SU}(2)$. \square

6.5. Remark. Why are there three different kinds of Pin groups? The authors do not really know, but there are some subtle differences in their uses as we will see below when

considering this in the context of general Clifford algebras associated to quadratic forms over fields.

It seems that geometers and physicists prefer the big version, as that group surjects onto the orthogonal group, see below, while algebraists or arithmetic geometers seem to prefer the “small” version, as it allows for introduction of the *spinor norm* on the orthogonal group, with the small pin group mapping onto its kernel. We do not study this spinor norm here further.

Having clarified the relationship between $SU(2)$ and $U(2)^{\pm 1}$ and the (s)pin groups, we now turn to the surjectivity of ρ and $\tilde{\rho}$.

7. REFLECTIONS

Let $v = x_1e_1 + x_2e_2 + x_3e_3$ be a nonzero real linear combination of the Pauli matrices, so that $v^2 = (x_1^2 + x_2^2 + x_3^2)\mathbf{1} \neq 0 \in Cl^0$. It follows that v is an invertible element in $(Cl^*)^1$, in particular, $\frac{1}{\sqrt{Q(v)}}v \in U(2)^{\pm 1}$, and as a matrix in $\text{Mat}_{2 \times 2}(\mathbb{C})$ it represents a complex reflection.

7.1. Lemma. *For v as just specified, $\tilde{\rho}(v) \in O(3)$ is a reflection.*

Proof. Note that $iv \in \mathfrak{su}(2)$ and $v^2 = 1$. So we compute that

$$\tilde{\rho}(v)(iv) = (\det v)v(iv)v^{-1} = -iv.$$

Note that the inner product can be represented as $vw + wv = 2(v \cdot w)\mathbf{1}$ so if we suppose that w is a vector perpendicular to v , this means that $vw + wv = 0$. So we get that

$$\tilde{\rho}(v)(iw) = (\det v)viwv^{-1} = (-1)(-iw)(v)(v^{-1}) = iw.$$

□

7.2. Corollary. *The maps $\tilde{\rho}$ and ρ are surjective.*

Proof. The above lemma shows that we can obtain any reflection in the image of $\tilde{\rho}$, since by the Cartan–Dieudonné theorem, any element of $O(3)$ is a product of at most 3 reflections. Now we consider the surjectivity of ρ . Let $x \in SO(3)$. Then there are two reflections a, b in $O(3)$ such that $x = ab$. There are two elements $v, w \in U(2)$ with determinant -1 such that $a = \tilde{\rho}(v), b = \tilde{\rho}(w)$. So we see that $x = \tilde{\rho}(vw)$. □

Thus we have commented on all the maps in the square of Theorem 3.10. In the following second part of the paper we will look at general Clifford algebras and their (s)pin groups.

8. GENERAL CLIFFORD ALGEBRAS

8.1. We recall some relevant definitions and facts from [Bou07, §9] and [ABS64] and refer to [Sch69, Sch85] for a nice exposition.

When it comes to Clifford algebras of any signature over the real numbers, [Lou01] and [CBDM89] are the most valuable references.

Quadratic Spaces. The following makes sense over any commutative ring R .

8.2. Definition. A *quadratic form* on an R -module V over R is a map $q : V \rightarrow R$ that satisfies

- (a) $q(rv) = r^2q(v)$ for all $r \in R, v \in V$, and
- (b) The *polarization* $b_q : V \times V \rightarrow R$ of q , given by

$$b_q(v, w) = q(v + w) - q(v) - q(w), \quad \text{for } v, w \in V,$$

is R -bilinear. As it is always symmetric, it is often also just called the *bilinear symmetric form* associated with q .

The pair (V, q) is then called a *quadratic module* over R .

A morphism $\varphi : (V, q) \rightarrow (V', q')$ is a R -linear map $\varphi : V \rightarrow V'$ such that $q'(\varphi(v)) = q(v)$ for all $v \in V$. Such morphisms² are also called *orthogonal maps*. With these morphisms, quadratic R -modules form a category Quad_R . This category admits arbitrary direct sums, $\bigoplus_{i \in I} (M_i, q_i) = (\bigoplus_{i \in I} M_i, q(\sum_i m_i) = \sum_i q_i(m_i))$.

8.3. Remark. If (V, q) is a quadratic module, then for $v \in V$ one has $b_q(v, v) = 2q(v)$. Thus, a symmetric bilinear form recovers its underlying quadratic map q as soon as $b_q(v, v) \in 2R$ for all $v \in V$ and 2 is a non-zero-divisor in R . Both conditions are, of course, satisfied as soon as 2 is invertible in R . In that case, we call $(v, w) = \frac{1}{2}b_q(v, w)$ the scalar product defined by q . Note that then $(v, v) = q(v)$, and the data given by the quadratic form or its associated scalar product are equivalent.

This is the key reason to restrict to the case that $2 \in R$ is invertible.

8.4. Of particular importance is the *orthogonal group* $O(q)$ of (V, q) , the automorphism group of (V, q) in the category of quadratic modules. By the very definition, this is a subgroup of $\text{GL}(V) = \text{Aut}_R(V)$. In fact, the whole theory of quadratic modules and of Clifford algebras is nothing but an attempt to understand the orthogonal groups thoroughly.

8.5. Example. If R is a field and V a finite dimensional vector space over it, then for any quadratic form q on V one can consider a basis e_1, \dots, e_n of V and the matrix $B = (b_q(e_i, e_j))$. If further $\text{char } R \neq 2$, then the quadratic form can be diagonalized by the Gram-Schmidt algorithm, in that there exists a basis e_1, \dots, e_n of V such that the matrix $B = (b_q(e_i, e_j))$ is diagonal.

The quadratic form q is *regular* (or *nonsingular*), if for some basis, and then for any, the matrix B is invertible. If the quadratic form is diagonalized, then this means simply that $b_q(e_i, e_i) = 2q(e_i) \neq 0$ for each i .

8.6. Example. The key examples are given by the regular quadratic forms on the real vector space $V = \bigoplus_{i=1}^n \mathbb{R}e_i$ over $R = \mathbb{R}$ for some positive integer n .

By Sylvester's Inertia Theorem, one can assume that, up to base change, the quadratic form is one of

$$q_{m, n-m}(x_1e_1 + \dots + x_n e_n) = x_1^2 + \dots + x_m^2 - (x_{m+1}^2 + \dots + x_n^2)$$

where $m = 0, \dots, n$. The form $q_n = q_{n,0}$ is the usual positive definite Euclidean norm, while $q_{0,n} = -q_{n,0}$ is the negative definite form. The other forms are indefinite in that there are

²In [Sch85] only *injective* such maps are allowed.

nonzero *isotropic* vectors, elements $v \in V$ such that $q(v) = 0$. The isotropic vectors form the *light cone* $\{v \in V \mid q(v) = 0\} \subset V$, a quadratic hypersurface.

One writes $\mathbb{R}^{m,n-m}$ for the quadratic space $(\mathbb{R}^n, q_{m,n-m})$.

8.7. If $r \in R$ is some element from the base ring R , then with (V, q) also (V, rq) is a quadratic R -module in that $(rq)(v) = r(q(v))$ is again R -quadratic. Further, if $\varphi \in O(q)$, then φ is, trivially, in $O(rq)$, thus $O(q) \subseteq O(rq)$. If $r \in R^*$, the group of invertible elements of R , then $O(q) = O(rq)$, so that q and rq define the *same* orthogonal group.

This comment should be kept in mind in what follows.

Reflections, Take two. We next exhibit special elements in $O(q)$, those given by *reflections*.

8.8. Elements v, w in V are *orthogonal* with respect to q if $b_q(v, w) = 0$. If for some $v \in V$ one has $q(v) \in R^*$, the (multiplicative) group of invertible elements in R , then the map

$$s_v: V \rightarrow V, \quad s_v(w) = w - b_q(v, w)q(v)^{-1}v$$

is defined, R -linear, and satisfies $s_v(w) = w$ if w is orthogonal to v , while $s_v(v) = -v$, because $(v, v) = 2q(v)$. Moreover, s_v is an orthogonal endomorphism of V , as

$$\begin{aligned} q(s_v(w)) &= q(w) + q(-b_q(v, w)q(v)^{-1}v) + (w, -b_q(v, w)q(v)^{-1}v) \\ &= q(w) + b_q(v, w)^2q(v)^{-2}q(v) - b_q(v, w)q(v)^{-1}b_q(w, v) \\ &= q(w), \end{aligned}$$

using the definition of the polarization in the first equality, the quadratic nature of q and bilinearity of the polarization in the second, and symmetry in the last. Further, $s_v^2 = \text{id}_V$, as

$$\begin{aligned} s_v^2(w) &= s_v(w - b_q(v, w)q(v)^{-1}v) \\ &= w - b_q(v, w)q(v)^{-1}v - b_q(v, w - b_q(v, w)q(v)^{-1}v)q(v)^{-1}v \\ &= w - b_q(v, w)q(v)^{-1}v - b_q(v, w)q(v)^{-1}v + b_q(v, w)q(v)^{-1}b_q(v, v)q(v)^{-1}v \\ &= w - 2b_q(v, w)q(v)^{-1}v + 2b_q(v, w)q(v)^{-1}v \\ &= w, \end{aligned}$$

where the first and second equality just use the definition of s_v , the third uses linearity of (v, \cdot) , the fourth invokes again that $(v, v) = 2q(v)$, and the last one is obvious.

8.9. **Example.** In the most important case, when R is a field, thus, V a vector space, then $q(v) \in R^*$ just means $q(v) \neq 0$. If also $(v, v) = 2q(v) \neq 0$, that is, R is not of characteristic 2, then the set v^\perp of elements orthogonal to v forms a hyperplane in V , kernel of the R -linear form $(v, \cdot): V \rightarrow R$, and s_v is the usual reflection in that hyperplane.

Because of this example, s_v is generally called the *reflection in the hyperplane perpendicular to v* , regardless of the nature of the quadratic form.

Note that $s_{rv} = s_v$ for any $r \in R^*$, as q is a quadratic form. More generally, if $v, v' \in V^*$, when is $s_v = s_{v'}$? This requires that for any $w \in V$ we have $s_v(w) = s_{v'}(w)$, equivalently,

$$(v, w)q(v)^{-1}v = (v', w)q(v')^{-1}v'.$$

Taking $w = v$, this specializes to

$$2v = (v', v)q(v')^{-1}v'.$$

Taking instead $w = v'$, we get

$$(v, v')q(v)^{-1}v = 2v'.$$

Thus, if $2 \in R^*$, then $s_v = s_{v'}$ if, and only if, $v \equiv v' \pmod{R^*}$.

In light of this simple fact, we make the following definition.

8.10. Definition. Let (V, q) be a quadratic R -module. With

$$V^*(q) = \{v \in V \mid q(v) \in R^*\} \subseteq V$$

we have a canonical map $s_- : V^*(q) \rightarrow \mathcal{O}(q)$ that sends $v \in V^*(q)$ to $s_v \in \mathcal{O}(q)$. The map s_- factors through the orbit space $R^* \backslash V^*$ and the resulting map, still denoted $s_- : R^* \backslash V^* \rightarrow \mathcal{O}(q)$ is injective if 2 is invertible in R . We call the set $\text{Refl}(q) = \text{Im}(s_-) \subseteq \mathcal{O}(q)$ the set of reflections in $\mathcal{O}(q)$.

If $r \in R^*$, then $V^*(q) = V^*(rq)$ and $\mathcal{O}(q) = \mathcal{O}(rq)$ and the map s_- depends only on the class of $q \pmod{R^*}$. In particular, $\text{Refl}(q) = \text{Refl}(rq)$.

Clifford Algebras.

8.11. Definition. Let $q : V \rightarrow R$ be a quadratic form. The *Clifford algebra* $Cl(q)$ of q is the quotient of the tensor algebra $T_R V$ modulo the two-sided ideal $I(q)$ generated by the elements of the form $v \otimes v - q(v) \cdot 1$ for $v \in V$.

The canonical composition $V = T_R^1 V \hookrightarrow T_R V \twoheadrightarrow Cl(q)$ is denoted i_q , but we often write $v \in Cl(q)$ instead of $i_q(v)$. This is justified when V is a projective R -module, as then i_q is injective — and also $R \cong R \cdot 1 \subseteq Cl(q)$; see [Knu91, IV, Cor. 1.5.2] and [Bou07, §9 Thm 1].

8.12. Remark. For $v, w \in V$, one has $(v + w)^{\otimes 2} = v \otimes v + v \otimes w + w \otimes v + w \otimes w$ in $T_R V$. Passing to $Cl(q)$ this equality becomes

$$q(v + w) = q(v) + i_q(v)i_q(w) + i_q(w)i_q(v) + q(w),$$

and dropping i_q from the notation, this rearranges to

$$(v, w)1 = vw + wv \in R \cdot 1 \subseteq Cl(q).$$

8.13. Because the generators of $I(q)$ involve only terms of even tensor degree, the natural grading by tensor degree on $T_R V$ descends to a $\mathbb{Z}/2\mathbb{Z}$ -grading on the Clifford algebra, $Cl(q) = \bigoplus_{i \in \mathbb{Z}/2\mathbb{Z}} Cl(q)^i$. The summand $Cl(q)^0$ is the *even* Clifford algebra defined by q , while the summand $Cl(q)^1$ of *odd* elements is a bi-module over it. By definition, the elements in $i_q(V)$ are of odd degree.

The subset of homogeneous elements in $Cl(q)$ with respect to this $\mathbb{Z}/2\mathbb{Z}$ -grading is denoted $Cl(q)_{\text{hom}}$ and $|\cdot| : Cl(q)_{\text{hom}} \rightarrow \mathbb{Z}/2\mathbb{Z}$ is the map recording the *degree* (or *parity*) of a homogeneous element.

8.14. Inside $Cl(q)_{\text{hom}}$ we have the *graded centre* of $Cl(q)$, defined as

$$Z = \left\{ u \in Cl(q)_{\text{hom}} \mid \forall x \in Cl(q)_{\text{hom}} : ux = (-1)^{|u||x|}xu \right\}.$$

Note that this graded centre is generally not a ring as the sum of two homogeneous elements of different degrees is no longer homogeneous. However, with $Z^i = Z \cap Cl(q)^i$ one has

- $Z = Z^0 \cup Z^1$,
- $Z^0 \oplus Z^1$ is a graded-commutative R -subalgebra of $Cl(q)$,

- Z^0 is a commutative R -algebra and constitutes the intersection of the ordinary, ungraded centre of $Cl(q)$ with the even Clifford algebra $Cl(q)^0$.

For later use, we also record the following:

8.15. Lemma. *Let (V, q) be a quadratic module over a field R of characteristic not 2. Then the graded centre of $Cl(q)$ is $Z \cong Z^0 \cong R$.*

Proof. See e.g. [Lam05, 5,Thm.2.1, p. 109]. □

8.16. Let A be an associative R algebra. A *Kummer subspace* W of A is an R -submodule of A such that $w^2 \in R$ for all $w \in W$. Let AlgKgr_R be the category of pairs (A, W) of $\mathbb{Z}/2\mathbb{Z}$ graded associative R -algebras A with a Kummer subspace $W \subseteq A^\perp$. Morphisms are given by $\phi : (A, W) \rightarrow (B, U)$ where $\phi : A \rightarrow B$ is a graded R -algebra homomorphism and $\phi(W) \subseteq U$. The assignment $(A, W) \mapsto (W, (\)^2)$ from AlgKgr_R to quadratic R -modules defines a forgetful functor $F : \text{AlgKgr}_R \rightarrow \text{Quad}_R$ and this functor admits a left adjoint,

$$Cl : \text{Quad}_R \rightarrow \text{AlgKgr}_R$$

that sends the quadratic R -module (M, q) to the pair $(Cl(q), M)$ of the Clifford algebra and the Kummer subspace $M \subseteq Cl(q)$. Note that the adjunction $\text{id} \xrightarrow{\cong} F \circ Cl$ is a natural isomorphism so Cl is a fully faithful functor to AlgKgr_R .

8.17. Let $\text{Aut}_{R\text{-alg}}^0(Cl(q))$ denote the group of degree-preserving R -algebra automorphisms of $Cl(q)$.

Each Clifford algebra comes equipped with special degree-preserving R -linear endomorphisms:

$O(q)$ The R -linear automorphisms $\varphi : V \rightarrow V$ that respect the quadratic form in that $q(\varphi(v)) = q(v)$ for $v \in V$ form the orthogonal group $O(q)$. These are thus the automorphisms of (V, q) within the category of quadratic R -modules.

Each such automorphism of V extends uniquely to a degree preserving R -algebra automorphism of $Cl(q)$, thus, defines a group homomorphism $O(q) \rightarrow \text{Aut}_{R\text{-alg}}^0(Cl(q))$.

For a quadratic R -module V with i_q injective, this group homomorphism is injective too, as its image consists precisely of those $\Phi \in \text{Aut}_{R\text{-alg}}^0(Cl(q))$ that preserve $i_q(V) \cong V$, since Φ is determined uniquely by its action on V .

(α) In particular, multiplication by -1 on V is in $O(q)$ and the corresponding unique algebra automorphism $\alpha : Cl(q) \rightarrow Cl(q)$ is called the *principal automorphism* of $Cl(q)$ in [Bou07, §9], or the *grade involution* in [Lou01]. This automorphism is the identity on $Cl(q)^0$, and acts through multiplication by -1 on $Cl(q)^\perp$. Thus, if $2 \neq 0$ in R , then the $\mathbb{Z}/2\mathbb{Z}$ -decomposition of $Cl(q)$ is given by the ± 1 eigenspaces of α . Clearly, $\alpha^2 = \text{id}_{Cl(q)}$.

Physicists call α the (*space time*) *parity operator*, see [CBDM89, p.18].

(t) The unique algebra antiautomorphism $t : Cl(q) \rightarrow Cl(q)$ that is the identity on $V \subseteq Cl_q$. It is denoted β and called the *principal antiautomorphism* in [Bou07, §9], and called *reversion* in [Lou01], while in [ABS64] it is called the *transpose* and is denoted t as here.

This antiautomorphism satisfies $t^2 = \text{id}_{Cl(q)}$, thus, is an (anti-)involution.

($t\alpha$) The composition $t \circ \alpha = \alpha \circ t : Cl(q) \rightarrow Cl(q)$ is called *conjugation* and denoted $\bar{x} = t(\alpha(x))$ for $x \in Cl(q)$. It is an involutive antiautomorphism — the unique antiautomorphism that restricts to multiplication by -1 on $i_q(V)$.

8.18. In analogy with the quaternions, the Clifford (square) *norm* of $x \in Cl(q)$ is³ $N(x) = \bar{x}x \in Cl(q)^0$. In contrast to the quaternions though, usually $N(x) \neq x\bar{x}$ when $x \notin i_q(V)$. For $v \in V$, however, $\bar{v} = -v$ and one still has $v\bar{v} = \bar{v}v = -v^2 = -q(v)1 \in R \cdot 1 \subseteq Cl(q)^0$. Further, $\overline{N(x)} = N(x)$ for any $x \in Cl(q)$, as $t\alpha(t\alpha(x) \cdot x) = t\alpha(x) \cdot t\alpha(t\alpha(x)) = t\alpha(x) \cdot x$.

8.19. **Remark.** Once again, this definition is not uniquely used in the literature. For example, Bourbaki uses instead $N'(x) = t(x)x \in Cl(q)^0$ and so does Scharlau in [Sch85, p. 335]. The argument for this usage is that then N' defines a quadratic form on $Cl(q)$ that extends q rather than $-q$ on $i_q(V)$. Note that $N = N'$ on the even Clifford algebra $Cl(q)^0$, while $N' = -N$ on the odd part.

On the other hand, [Lou01] or [Sch85, p. 334] use all of $Cl(q)^*$ as the domain of i_u , which then is defined as $i_u(x) = \alpha(u)xu^{-1}$ in [Sch85].

Our usage follows [Knu91].

8.20. **Proposition.** Denote $Cl(q)_{hom}^*$ the multiplicative group of homogeneous invertible elements in $Cl(q)$. The map

$$i: Cl(q)_{hom}^* \longrightarrow \text{Aut}_{R\text{-alg}}^0(Cl(q))$$

that sends $u \in Cl(q)_{hom}^*$ to the graded inner automorphism i_u with $i_u(x) = (-1)^{|u||x|}uxu^{-1}$ for $x \in Cl(q)_{hom}$ is a group homomorphism with kernel $Z \cap Cl(q)_{hom}^*$. Moreover, if (V, q) is regular and R is a field of characteristic not 2, then we have an exact sequence

$$1 \longrightarrow R^* \longrightarrow Cl(q)_{hom}^* \xrightarrow{i} \text{Aut}_{R\text{-alg}}^0(Cl(q)) \longrightarrow 1.$$

Proof. The kernel of i is given by the graded centre which is described in Lemma 8.15. The fact that i is surjective follows from the fact that the Clifford algebra is a graded central simple R -algebra when (V, q) is regular, and the graded Skolem-Noether Theorem in Section 5 of [Vel02]. \square

8.21. **Remark.** Prior to [ABS64], such as in [Bou07], instead of assigning the graded inner automorphism to u , one assigned to $u \in Cl(q)^*$ the inner automorphism $j_u(x) = uxu^{-1}$.

This has the unpleasant side effect that j does not always surject onto $O(q)$ as noted in [Bou07] and [CBDM89].

8.22. **Definition.** Let (V, q) be a quadratic R -module with V projective over R so that $O(q) \leq \text{Aut}_{R\text{-alg}}^0(Cl(q))$ is a subgroup. The *Clifford group* of q is then $\Gamma(q) = i^{-1}(O(q)) \leq Cl(q)_{hom}^*$ and the *special Clifford group* is $S\Gamma(q) = \Gamma(q) \cap Cl(q)^0$.

In other words, $\Gamma(q)$ consists of those homogeneous invertible elements u from $Cl(q)$ whose associated graded inner automorphism maps $V \subset Cl(q)$ to itself.

8.23. **Remark.** Being an algebra automorphism of $Cl(q)$, the map i_u is uniquely determined by its action on $i_q(V)$. There, it is given by $i_u(v) = (-1)^{|u|}uvu^{-1}$, as $|v| = \underline{1}$. Thus, $u \in Cl(q)_{hom}^*$ is in $\Gamma(q)$ if, and only if, uvu^{-1} is again in $i_q(V)$ for any $v \in V$, as multiplication by the sign $(-1)^{|u|}$ preserves $i_q(V)$. This is the characterization of $\Gamma(q)$ that one often finds in the literature.

³Here we follow [Knu91, p. 228], which is opposite to the definition in [ABS64], where $N(x) = x\bar{x}$ is used.

8.24. **Example** (cf. [Knu91, IV (6.1.2)]). The following classical observation is crucial for our study of reflection groups.

An element $v \in V$ is homogeneous of degree $|v| = \underline{1}$. It is in $Cl(q)_{hom}^*$ if, and only if, $q(v) \in R^*$, as $v^2 = q(v)1$. Therefore, the set

$$V^* = \{v \in V \mid q(v) \in R^*\}$$

is a subset of $Cl(q)_{hom}^*$. In fact, it is a subset of $\Gamma(q)$ in that $i_v|_{i_q(V)}$ is the orthogonal automorphism that is the reflection in the mirror perpendicular to v . Indeed, for any $w \in V$,

$$\begin{aligned} i_v(w) &= -v w v^{-1} && \text{by definition of } i_v, \\ &= -v w q(v)^{-1} v && \text{as } v^{-1} = q(v)^{-1} v, \\ &= (wv - 2(v, w))q(v)^{-1} v && \text{by Remark 8.12,} \\ &= w - 2(v, w)q(v)^{-1} v && \text{as } v^2 = q(v), \\ &= s_v(w) && \text{as in 8.8.} \end{aligned}$$

8.25. **Proposition.** *Let (V, q) be a quadratic R -module with V projective over R . If $u \in \Gamma(q)$, then $N(u) \in (Z^0)^*$.*

Proof. For any $u \in Cl(q)$, its norm $N(u)$ is in $Cl(q)^0$ as noted above. For any $v \in V$ and $u \in \Gamma(q)$ one has $i_u(v) = -\overline{i_u(v)}$, as $i_u(v) \in V$, and then, by definition of i_u , that $-\overline{i_u(v)} = -(-1)^{|u|} u v u^{-1} = (-1)^{|u^{-1}|} \overline{u^{-1} v u} = i_{\overline{u^{-1}}}(v)$, as $|v| = \underline{1}$, $|u| = |\overline{u^{-1}}|$. Thus, $i_u(v) = i_{\overline{u^{-1}}}(v)$. Therefore, $i_{\overline{u}} i_u = i_{N(u)} = \text{id}_V$, but this means that $N(u)$ commutes with all $v \in V$, as $N(u)$ is an even element. Because $N(u)$ is an invertible element along with u and because V generates $Cl(q)$, the claim follows. \square

8.26. **Corollary.** *Let (V, q) be a quadratic R -module with V projective over R . The norm map defines a group homomorphism*

$$N: \Gamma(q) \longrightarrow (Z^0)^*$$

from the Clifford group to the multiplicative group of units in the ring Z^0 . Recall that $(Z^0)^$ is equal to R^* if V is regular and R is a field of characteristic $\neq 2$.*

Proof. Using that conjugation is an antiautomorphism, $N(uu') = \overline{uu'uu'} = \overline{u'uuuu'}$. Now $N(u) = \overline{uu} \in Z^0$ by the preceding Proposition, thus, $\overline{u'uuuu'} = N(u)\overline{u'u'} = N(u)N(u')$. \square

8.27. **Remark.** Note that if $x \in Cl^0$, then $N(x) = 1$ if and only if $N'(x) = 1$, because α is the identity on the even part of the Clifford algebra, also cf. Remark 8.19.

Now we can finally define the *Pin* and *Spin* groups of a quadratic module. There are at least three definitions of the Pin group in use in the literature, cf. Remark 6.5. For more detailed comments also see [Buc16].

8.28. **Definition.** Let (V, q) be a quadratic R -module with V projective over R . The kernel of the group homomorphism $N: \Gamma(q) \longrightarrow (Z^0)^*$ is the *Pin group* $\text{Pin}(q)$, with $\text{Spin}(q) = \text{Pin}(q) \cap S\Gamma(q)$ the *Spin group* of q .

The kernel of the group homomorphism $N': \Gamma(q) \longrightarrow (Z^0)^*$ is equal to the kernel of $N: \Gamma(q) \longrightarrow (Z^0)^*$ and so $\text{Pin}'(q) \cap S\Gamma(q)$ yields the same Spin group.

The kernel of the group homomorphism $N^2 = (N')^2: \Gamma(q) \longrightarrow (Z^0)^*$ is the *big Pin group* $\text{PIN}(q)$, with the *big Spin group* $\text{SPIN}(q) = \text{PIN}(q) \cap S\Gamma(q)$.

8.29. Remark. By Remark 8.27 we only get two (potentially) different Spin groups. However, if (V, q) regular and $R^*/(R^*)^2 = \{\pm 1\}$, then $\text{SPIN}(q) = \text{Spin}(q)$.

By their very definition, $\text{Pin}(q)$, and so also $\text{Spin}(q)$ act through orthogonal R -linear maps on V . Moreover, one can easily identify the kernels of these actions.

8.30. Proposition. *One has short exact sequences of groups*

$$1 \longrightarrow Z \cap \text{Cl}(q)_{\text{hom}}^* \longrightarrow \Gamma(q) \longrightarrow \text{O}(q)$$

$$1 \longrightarrow K \longrightarrow \text{Pin}(q) \longrightarrow \text{O}(q)$$

$$1 \longrightarrow L \longrightarrow \text{PIN}(q) \longrightarrow \text{O}(q)$$

$$1 \longrightarrow \text{SK} \longrightarrow \text{Spin}(q) \longrightarrow \text{O}(q)$$

where $K = \{z \in Z \cap \text{Cl}(q)_{\text{hom}}^* \mid N(z) = 1\}$, $L = \{z \in Z \cap \text{Cl}(q)_{\text{hom}}^* \mid N^2(z) = 1\}$ and $\text{SK} = \{z \in (Z^0)^* \mid N(z) = 1\}$.

If $R^*/(R^*)^2 \subseteq \{\pm 1\}$, and 2 is invertible in R , then the map $\text{PIN}(q) \rightarrow \text{O}(q)$ is surjective.

Proof. The description of the kernels follow immediately from the definitions. To show the last statement, consider the reflection $s_v \in \text{O}(q)$ for the vector $v \in V$ with $q(v) \neq 0$. We can replace v with $\frac{v}{\sqrt{q(v)}}$ so now $q(v) = \pm 1$. Now we have $N^2(v) = 1$ and so $v \in \text{PIN}(q)$.

By the Cartan-Dieudonné Theorem, we obtain the result. \square

8.31. Definition. Let (V, q) be a quadratic module over R with rank $V = n$. We define the special orthogonal group

$$\text{SO}(q) := \text{SL}(R, n) \cap \text{O}(q) = \{M \in \text{O}(q) \mid \det M = 1\}.$$

8.32. Theorem. *Let (V, q) be a quadratic module over a field R of characteristic $\neq 2$. Let $P(q)$ be any of the three Pin groups. Then there are commutative diagrams*

$$\begin{array}{ccc} P(q) & \longrightarrow & \text{O}(q) \\ \uparrow & & \uparrow \\ \text{Spin}(q) & \longrightarrow & \text{SO}(q) \end{array} \qquad \begin{array}{ccc} \text{PIN}(q) & \twoheadrightarrow & \text{O}(q) \\ \uparrow & & \uparrow \\ \text{SPIN}(q) & \longrightarrow & \text{SO}(q) \end{array}$$

Proof. By definition we know that $\text{Spin}(q) \leq P(q)$ for all choices of Pin group. We also know that $P(q) \subseteq \Gamma(q)$ maps to $\text{O}(q)$. Again by definition $\text{SO}(q) \leq \text{O}(q)$. We need to show that if $x \in \text{Spin}(q)$ then $i_x \in \text{O}(q)$ has $\det i_x = 1$. By definition x is in Cl^0 . Since $i_x \in \text{O}(q)$, the Cartan-Dieudonné Theorem says that it is a product of k reflections with $k \leq n$. So there are elements $v_1, \dots, v_k \in V^*$ such that

$$i_x = \prod_{i=1}^k s_{v_i}.$$

Since $\det s_{v_i} = -1$ for all i , we see that $\det i_x = (-1)^k$. So we get

$$i_x = \prod s_{v_i} = \prod i_{v_i} = i_{\prod v_i}.$$

Note that the inverse of $i_{\prod v_i}$ is given by carrying out the reflections in the opposite order, that is, $(i_{\prod v_i})^{-1} = i_{\prod_{i=k}^1 v_i}$ and we see that

$$x \prod_{i=k}^1 v_i \in \ker i.$$

Note that $\ker i$ is contained in the graded centre of $Cl(q)$ which has degree zero by Lemma 8.15. Therefore $\prod_{i=1}^k v_i$ has degree zero and we are done. \square

To see clearly the confusion existing in the literature, consider the simplest non-trivial cases for real Clifford algebras.

Real Clifford Algebras. We write $Cl_{m,n-m} = Cl(q_{m,n-m})$. To save space, for a ring A we set ${}^2A = A \times A$ with componentwise operations, $A(d) = \text{Mat}_{d \times d}(A)$, the matrix ring over A . We will write $P^+(n)$ and $P^-(n)$ for the any of the Pin groups P of $Cl_{n,0}$ and $Cl_{0,n}$, respectively, and use analogous notation for the Spin groups. Note that over \mathbb{R} , the Spin groups SPIN and Spin coincide.

One has the following structure theorem for the algebras $Cl_{m,n-m}$.

8.33. **Theorem** (see [Lou01, p. 217] for this table). *The Clifford algebras $Cl_{m,n-m}$ for $0 \leq n < 8$ are isomorphic to*

$2m-n$	-7	-6	-5	-4	-3	-2	-1	0	1	2	3	4	5	6	7
$n=0$								\mathbb{R}							
1							\mathbb{C}	${}^2\mathbb{R}$							
2						\mathbb{H}	$\mathbb{R}(2)$	$\mathbb{R}(2)$							
3				${}^2\mathbb{H}$		$\mathbb{C}(2)$	${}^2\mathbb{R}(2)$	$\mathbb{C}(2)$							
4			$\mathbb{H}(2)$	$\mathbb{H}(2)$		$\mathbb{R}(4)$	$\mathbb{R}(4)$	$\mathbb{H}(2)$							
5		$\mathbb{C}(4)$	${}^2\mathbb{H}(2)$	$\mathbb{C}(4)$		${}^2\mathbb{R}(4)$	$\mathbb{C}(4)$	${}^2\mathbb{H}(2)$							
6	$\mathbb{R}(8)$	$\mathbb{H}(4)$	$\mathbb{H}(4)$	$\mathbb{R}(8)$		$\mathbb{R}(8)$	$\mathbb{R}(8)$	$\mathbb{H}(4)$	$\mathbb{H}(4)$						
7	${}^2\mathbb{R}(8)$	$\mathbb{C}(8)$	${}^2\mathbb{H}(4)$	$\mathbb{C}(8)$	${}^2\mathbb{R}(8)$	$\mathbb{C}(8)$	${}^2\mathbb{R}(8)$	$\mathbb{C}(8)$	${}^2\mathbb{H}(4)$	$\mathbb{C}(8)$					

and $Cl_{m,n-m+8} \cong Cl_{m,n-m}(16)$. \square

Let us extract the various pieces defined so far in some special cases.

$Cl_{0,1}$. Here we consider $V = \mathbb{R}e$ with $q(re) = -r^2$, thus, $Cl_{0,1} \cong \mathbb{C}$ by sending $e \mapsto i$, as in the table above. The even Clifford algebra is $\mathbb{R} \cdot 1 \subset \mathbb{C}$, the real part, the odd part is $\mathbb{R}i \subset \mathbb{C}$, the purely imaginary numbers.

The principal automorphism α corresponds to complex conjugation, the principal antiautomorphism t is the identity on \mathbb{C} .

Accordingly, we have

Cl^0	Cl^1	Cl^*	Cl_{hom}^*	Γ	$S\Gamma$	O	$N(a+bi)$	$N'(a+bi)$
$\mathbb{R} \cdot 1$	$\mathbb{R} \cdot i$	\mathbb{C}^*	$\mathbb{R}^* \cdot 1 \cup \mathbb{R}^* \cdot i$	Cl_{hom}^*	$\mathbb{R}^* \cdot 1$	$\{\pm 1\}$	$a^2 + b^2$	$(a+bi)^2$

Note that $\Gamma = (Cl_{0,1})_{hom}^*$ as $Cl_{0,1}$ is commutative, thus, $(-1)^{|u||x|}uxu^{-1} = (-1)^{|u||x|}x$ and preservation of $i_q V$ is automatic. For use below, we record as well that $(Z^0)^* = \mathbb{R}^* \cdot 1$ so that

$$\begin{aligned} \text{Pin}^-(1) &= \ker(N : \Gamma \rightarrow \mathbb{R}^* \cdot 1) && \cong \{\pm 1, \pm i\} \cong \mu_4, \\ \text{Pin}'^-(1) &= \ker(N' : \Gamma \rightarrow Cl^*) && \cong \{\pm 1\} \cong \mu_2, \\ \text{PIN}^-(1) &= \ker(N^2 : \Gamma \rightarrow \mathbb{R}^* \cdot 1) && = \ker(N : \Gamma \rightarrow \mathbb{R}^* \cdot 1) \cong \mu_4. \end{aligned}$$

Here μ_d represents the multiplicative cyclic group of order d . In all three cases, intersection with $S\Gamma$ returns $\{\pm 1\} \cong \mu_2$.

One sees that the big Pin group in this case is the same as Pin and that Pin' is strictly smaller. For all three cases we get that $\text{Spin}^-(1) \cong \text{Pin}'^-(1) \cong \mu_2$.

$Cl_{1,0}$. Next consider $V = \mathbb{R}e$ with $q(re) = r^2$, thus,

$$Cl_{0,1} \cong \mathbb{R}[e]/(e^2 - 1) \cong \mathbb{R}[e]/(e - 1) \times \mathbb{R}[e]/(e + 1) \cong \mathbb{R} \times \mathbb{R},$$

the composition sending $a + be \mapsto (a + b, a - b)$. Note that the inverse isomorphism sends $(c, d) \in \mathbb{R} \times \mathbb{R}$ to $\frac{1}{2}((c + d) + (c - d)e)$.

Set $\Delta(r) = (r, r)$, $\nabla(r) = (r, -r)$, for $r \in \mathbb{R}$. The even Clifford algebra is then $\Delta(\mathbb{R}) \subset \mathbb{R} \times \mathbb{R}$, the odd part is $\nabla(\mathbb{R}) \subset \mathbb{R} \times \mathbb{R}$.

The principal automorphism α corresponds to $(c, d) \mapsto (d, c)$, while the principal antiautomorphism t is again the identity on $\mathbb{R} \times \mathbb{R}$.

Accordingly, we have

Cl^0	Cl^1	Cl^*	Cl_{hom}^*	Γ	$S\Gamma$	O	$N(c, d)$	$N'(c, d)$
$\Delta(\mathbb{R})$	$\nabla(\mathbb{R})$	$\mathbb{R}^* \times \mathbb{R}^*$	$\Delta(\mathbb{R}^*) \cup \nabla(\mathbb{R}^*)$	Cl_{hom}^*	$\Delta(\mathbb{R}^*)$	$\{\pm 1\}$	$cd(1, 1)$	(c^2, d^2)

Note again that $\Gamma = (Cl_{1,0})_{hom}^*$ as $Cl_{1,0}$ is commutative, thus, $(-1)^{|u||x|}uxu^{-1} = (-1)^{|u||x|}x$ and preservation of $i_q V$ is automatic.

For use below, we record as well that $(Z^0)^* = \Delta(\mathbb{R}^*)$ so that

$$\begin{aligned} \text{Pin}^+(1) &= \ker(N : \Gamma \rightarrow \Delta(\mathbb{R}^*)) && \cong \{\pm(1, 1)\} \cong \mu_2, \\ \text{Pin}'^+(1) &= \ker(N' : \Gamma \rightarrow Cl^*) && \cong \{(\pm 1, \pm 1)\} \cong \mu_2 \times \mu_2, \\ \text{PIN}^+(1) &= \ker(N^2 : \Gamma \rightarrow \Delta(\mathbb{R}^*)) && = \ker(N' : \Gamma \rightarrow Cl^*). \end{aligned}$$

In all three cases, intersection with $S\Gamma$ returns $\{\pm(1, 1)\} \cong \mu_2$. Here we have $\text{Pin}'^+(1) \cong \text{PIN}^+(1) \cong \mu_2 \times \mu_2$ and $\text{Pin}^+(1) \cong \text{Spin}^+ \cong \mu_2$.

$Cl_{0,2}$. Here $V = \mathbb{R}e_1 \oplus \mathbb{R}e_2$ with $q(x_1e_1 + x_2e_2) = -x_1^2 - x_2^2$. As algebra we have $Cl_{0,2} \cong \mathbb{H}$. The isomorphism is given as $1 \mapsto 1$, $e_1 \mapsto i$, $e_2 \mapsto j$, and $e_1e_2 = e_{12} \mapsto k$. We also have the embedding $\lambda(a + bi) = a + bk$.

Cl^0	Cl^1	Cl^*	Cl_{hom}^*	Γ	$S\Gamma$	O
$\lambda(\mathbb{C}) = \mathbb{R} \oplus \mathbb{R}k$	$\mathbb{R}i \oplus \mathbb{R}j$	\mathbb{H}^*	$\lambda(\mathbb{C}^*) \cup \lambda(\mathbb{C}^*)i$	Cl_{hom}^*	$\lambda(\mathbb{C}^*)$	$S^1 \cup S^1$

The norms are given as $N : \Gamma \rightarrow (Z^0)^*$, $N' : \Gamma \rightarrow Cl_{hom}^*$. Note that $(Z^0)^* = \mathbb{R}^* \cdot 1$.

norm	$x_0 + x_3k$	$x_1i + x_2j$	$\ker(\text{norm} : \Gamma \rightarrow (Z^0)^*)$	$\ker(\text{norm} : \Gamma \rightarrow (Z^0)^*) \cap S\Gamma$
N	$x_0^2 + x_3^2$	$x_1^2 - x_2^2$	$\lambda(S^1) \cup \lambda(S^1)i$	$\lambda(S^1)$
N'	$x_0^2 + x_3^2$	$-x_1^2 - x_2^2$	$\lambda(S^1)$	$\lambda(S^1)$
$N^2 = (N')^2$	$(x_0^2 + x_3^2)^2$	$(x_1^2 + x_2^2)^2$	$\lambda(S^1) \cup \lambda(S^1)i$	$\lambda(S^1)$

This means that $\text{Pin}^-(2) \cong \text{PIN}^-(2) \cong \lambda(S^1) \cup \lambda(S^1)i$ and $\text{Pin}'^- \cong \text{Spin}^-(2) \cong S^1$.

$Cl_{2,0}$. Here $V = \mathbb{R}e_1 \oplus \mathbb{R}e_2$ with $q(x_1e_1 + x_2e_2) = x_1^2 + x_2^2$. As algebra we have $Cl_{2,0} \cong \text{Mat}_{2 \times 2}(\mathbb{R})$. The isomorphism is given as

$$1 \mapsto \mathbf{1}, e_1 \mapsto \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, e_2 \mapsto \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}, \text{ and } e_{12} \mapsto \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}.$$

Consider the embedding $\lambda : \mathbb{C} \rightarrow \text{Mat}_{2 \times 2}(\mathbb{R}), (x + yi) \mapsto \begin{pmatrix} x & y \\ -y & x \end{pmatrix}$.

Cl^0	Cl^1	Cl^*	Cl_{hom}^*	Γ	$S\Gamma$	O
$\lambda(\mathbb{C})$	$\lambda(\mathbb{C})e_1$	$\text{GL}(2, \mathbb{R})$	$\lambda(\mathbb{C}^*) \cup \lambda(\mathbb{C}^*)e_1$	Cl_{hom}^*	$\lambda(\mathbb{C}^*)$	$S^1 \cup S^1$

The norms are given as $N : \Gamma \rightarrow \lambda(\mathbb{C}^*), N' : \Gamma \rightarrow Cl_{hom}^*$. Note that $(Z^0)^* = \mathbb{R}^* \cdot 1$.

norm	$\lambda(x_0 + x_3i)$	$\lambda(x_1 + x_2i)e_1$	$\ker(\text{norm} : \Gamma \rightarrow (Z^0)^*)$	$\ker(\text{norm} : \Gamma \rightarrow (Z^0)^*) \cap S\Gamma$
N	$x_0^2 + x_3^2$	$-x_1^2 - x_2^2$	$\lambda(S^1)$	$\lambda(S^1)$
N'	$x_0^2 + x_3^2$	$x_1^2 + x_2^2$	$\lambda(S^1) \cup \lambda(S^1)e_1$	$\lambda(S^1)$
$N^2 = (N')^2$	$(x_0^2 + x_3^2)^2$	$(x_1^2 + x_2^2)^2$	$\lambda(S^1) \cup \lambda(S^1)e_1$	$\lambda(S^1)$

Here we get $\text{Pin}^+(2) \cong \text{Spin}^+(2) \cong S^1$ and $\text{Pin}'^+(2) \cong \text{PIN}(2) = \lambda(S^1) \cup \lambda(S^1)e_1$.

$Cl_{0,3}$. Next consider $V = \bigoplus_{i=1}^3 \mathbb{R}e_i$ with $q(\sum_{i=1}^3 x_i e_i) = -(x_1^2 + x_2^2 + x_3^2)$. Using the table of Theorem 8.33 one sees that $Cl_{0,3} = \mathbb{H} \times \mathbb{H}$. The calculations of the Pin and Spin groups are analogous as to the case $Cl_{3,0}$ that was treated in Section 6. The readers are invited to carry out the details themselves. The results are $\text{PIN}^-(3) \cong \text{Pin}^-(3) \cong \text{U}(2)^{\pm 1}$ and $\text{Pin}'^-(3) \cong \text{Spin}^-(3) \cong \text{SU}(2)$.

8.34. Questions about (s)pin groups. It would be interesting to determine the cokernels in the exact sequences in Prop. 8.30 and in Thm. 8.32. Moreover, it is not clear in general in which cases the various (s)pin groups are the same or differ from each other. In particular, it would be interesting to see in which way Spin and the big SPIN differ when the ring R does not satisfy $R^*/(R^*)^2 = \{\pm 1\}$.

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