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## Combinatorics

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ABSTRACT. Combinatorics is a fundamental mathematical discipline that focuses on the study of discrete objects and their properties. The present workshop featured research in such diverse areas as Extremal, Probabilistic and Algebraic Combinatorics, Graph Theory, Discrete Geometry, Combinatorial Optimization, Theory of Computation and Statistical Mechanics. It provided current accounts of exciting developments and challenges in these fields and a stimulating venue for a variety of fruitful interactions. This is a report on the meeting, containing abstracts of the presentations and a summary of the problem session.

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### Introduction by the Organisers

The workshop Combinatorics, organized by Jeff Kahn (Piscataway), Angelika Steger (Zürich) and Benny Sudakov (Zürich), was held the first week of January, 2017. Despite the early point in the year the meeting was well attended, with roughly 50 participants from the US, Canada, Brazil, UK, Israel, and various European countries. The program consisted of 11 plenary lectures and 18 shorter contributions, including the presentations by Oberwolfach Leibniz graduate students. There was also a lively problem session led by Nati Linial. The plenary lectures were chosen to provide both overviews of the state of the art in various areas and in-depth treatments of major new results. The short talks ranged over a broad range of topics, including, *for example* (far from an exhaustive list), graph theory, coding theory, probabilistic combinatorics, discrete geometry, extremal combinatorics and Ramsey theory, additive combinatorics, and theoretical computer science. As in the

past, particular stress was placed on providing a platform for younger researchers to present themselves and their results.

This report contains extended abstracts of the talks and statements of problems that were posed at the problem session. This was a particularly successful edition of the meeting Combinatorics, in large part because of the exceptional strength and range of both the participants and the results presented. While it is hard to do justice to a meeting at such a level in this short summary, we here highlight just two of the more spectacular developments.

The first of these is Ron Peled’s resolution (with Yinon Spinka) of a more than 30-year-old conjecture of R. Kotecký on the antiferromagnetic Potts model. (Their results apply in the far more general context of *graph homomorphisms*, but here we only discuss the special case that was the subject of R. Kotecký’s conjecture.)

For a fixed  $q \geq 3$ , large fixed  $d$ , and very large  $n$ , we consider  $\sigma$  chosen uniformly at random from the set of proper  $q$ -colorings of the “box”

$$\Lambda_n^d = \{x \in \mathbb{Z}^d : \|x\|_\infty \leq n\}.$$

In the language of statistical mechanics this is the *antiferromagnetic Potts model at temperature zero*. Kotecký conjectured (circa 1985) an affirmative answer to the basic (“phase transition” or, more properly, “phase coexistence”) question for this model; namely, *does the model admit multiple maximal entropy Gibbs measures?* Such an answer is supplied by the following combinatorial statement, proved by Peled and Spinka, in which  $\partial$  denotes boundary (thus  $\partial\Lambda_n^d = \{x \in \mathbb{Z}^d : \|x\|_\infty = n\}$ ).

**Theorem.** *If  $A \cup B$  is an equipartition of  $\{1, \dots, q\}$  (that is,  $\|A\| - \|B\| \leq 1$ ) and we condition on  $\sigma$  assigning colors from  $A$  (resp.  $B$ ) to all even (resp. odd) vertices of  $\partial\Lambda_n^d$ , then*

$$\Pr(\sigma(\underline{0}) \in A) > 1 - \epsilon_d,$$

where  $\epsilon_d \rightarrow 0$  as  $d \rightarrow \infty$ .

That is: in high enough (fixed) dimension, the influence of the boundary conditions on behavior at the origin persists as the boundary recedes. For  $q = 3$  this had been known for about 15 years and stronger results have more recently been established by Peled and others; but the jump to  $q \geq 4$  had proved wholly intractable until this breakthrough.

Our second highlight is the work discussed by Daniela Kühn (obtained jointly with Stefan Glock, Allan Lo and Deryk Osthus), giving a “second generation” proof of a vast generalization of the “Existence Conjecture” for block designs. (This famous nineteenth century problem was settled a few years ago by Peter Keevash and first announced at the January 2014 edition of “Combinatorics.”) To give some flavor, we just state (skipping some specifics) a special case of the original conjecture:

*For fixed  $2 \leq t \leq k$  and any sufficiently large  $n$  obeying some obviously necessary congruential restrictions, it is possible to find a collection of  $k$ -subsets of  $\{1, \dots, n\}$  containing each  $t$ -element set exactly once.*

For  $t = 2$  this was proved in celebrated work of R.M. Wilson in the early 1970's, but there was really nothing between Wilson and Keevash that could be called serious progress on this basic problem; indeed—perhaps most strikingly—for  $t \geq 6$  *not a single example of such a collection was known to exist.*

Keevash's work, based on a new notion of “randomized algebraic construction,” was a complete departure from the methods that have dominated design theory for the last forty years. (Recent times have seen a number of such examples; that is, of celebrated problems that had usually been attacked by other methods succumbing to ideas more prevalent in the community at the heart of the present meeting.)

The formidable work of Kühn *et al.* goes well beyond Keevash, whose approach already encompasses much more than the Existence Conjecture (as indeed it must to succeed). Here the algebraic aspects of Keevash are replaced by a highly sophisticated (“iterative”) version of the “absorbing method” of Rödl, Ruciński and Szemerédi, and the work may be considered a sort of culmination (at least to date) in the development of this powerful approach. A description of even a representative subset of the new results seems infeasible for the present summary. The new, more purely combinatorial approach provides considerable flexibility that looks sure to lead to many further developments.

As always, and on behalf of all participants, the organizers would like to thank the staff and the director of the Mathematisches Forschungsinstitut Oberwolfach for providing such a stimulating and inspiring atmosphere.

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## Workshop: Combinatorics

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## Abstracts

### Equiangular lines and spherical codes

IGOR BALLA

(joint work with F. Draxler, P. Keevash, and B. Sudakov)

#### 1. INTRODUCTION

A set of lines through the origin in  $\mathbb{R}^n$  is called *equiangular* if any pair of lines defines the same angle. Equiangular sets of lines appear naturally in various areas of mathematics. In elliptic geometry, they correspond to equilateral sets of points, or, in other words, to regular simplexes [7]. In frame theory, so-called optimal Grassmannian frames form equiangular sets of lines [8]. In the theory of polytopes, the convex hull of the points of intersection of an equiangular set of lines with the unit sphere is a spherical polytope of some kind of regularity [3].

It is therefore a natural question to determine the maximum cardinality  $N(n)$  of an equiangular set of lines in  $\mathbb{R}^n$ . This is considered to be one of the founding problems of algebraic graph theory, see e.g. [6, p. 249]. It was first studied by Haantjes [7] in 1948, who showed that  $N(3) = N(4) = 6$  and that an optimal configuration in 3 (and 4) dimensions is given by the 6 diagonals of a convex regular icosahedron. In 1966, van Lint and Seidel [10] formally posed the problem of determining  $N(n)$  for all positive integers  $n$ .

A general upper bound of  $\binom{n+1}{2}$  on  $N(n)$  was established by Gerzon, see [9]. In dimensions 2 and 3 this gives upper bounds of 3 and 6, respectively, matching the actual maxima. In  $\mathbb{R}^7$ , the above bound shows  $N(7) \leq 28$ . This can be achieved by considering the set of all 28 permutations of the vector  $(1,1,1,1,1,1,-3,-3)$  [10]. It is also known that there is an equiangular set of 276 lines in  $\mathbb{R}^{23}$ , see e.g. [9], which again matches Gerzon's bound. Strikingly, these four examples are the only known ones to match his bound. In fact, for a long time it was even an open problem to determine whether  $n^2$  is the correct order of magnitude. In 2000, de Caen [2] constructed a set of  $2(n+1)^2/9$  equiangular lines in  $\mathbb{R}^n$  for all  $n$  of the form  $3 \cdot 2^{2t-1} - 1$ .

Interestingly, all known examples of size  $\Theta(n^2)$  have a common angle on the order of  $\arccos(1/\sqrt{n})$ . On the other hand, all known construction of equiangular lines with a fixed common angle have much smaller size. It is therefore natural to consider the maximum number  $N_\alpha(n)$  of equiangular lines in  $\mathbb{R}^n$  with common angle  $\arccos \alpha$ , where  $\alpha$  does not depend on dimension. This question was first raised by Lemmens and Seidel [9] in 1973, who showed that for sufficiently large  $n$ ,  $N_{1/3}(n) = 2n - 2$  and also conjectured that  $N_{1/5}(n)$  equals  $\lfloor 3(n-1)/2 \rfloor$ . This conjecture was later confirmed by Neumaier [11]. Interest in the case where  $1/\alpha$  is an odd integer was due to a general result of Neumann [9, p. 498], who proved that if  $N_\alpha(n) \geq 2n$ , then  $1/\alpha$  is an odd integer.

Despite active research on this problem, for many years these were the best results known. Recently, Bukh [1] made important progress by showing that

$N_\alpha(n) \leq c_\alpha n$ , where  $c_\alpha = 2^{O(1/\alpha^2)}$  is a large constant only depending on  $\alpha$ . We completely resolve the question of maximizing  $N_\alpha(n)$  over constant  $\alpha$ , showing that for sufficiently large  $n$ ,  $N_\alpha(n)$  is maximized at  $\alpha = \frac{1}{3}$ .

**Theorem 1.** *Fix  $\alpha \in (0, 1)$ . For  $n$  sufficiently large relative to  $\alpha$ , the number of equiangular lines in  $\mathbb{R}^n$  with angle  $\arccos \alpha$  is bounded by  $2n - 2$  if  $\alpha = \frac{1}{3}$  and by  $1.93n$  otherwise.*

A more general setting than that of equiangular lines is the framework of *spherical  $L$ -codes*, introduced in a seminal paper by Delsarte, Goethals and Seidel [5] in 1977 and extensively studied since.

**Definition 2.** Let  $L$  be a subset of the interval  $[-1, 1)$ . A finite non-empty set  $C$  of unit vectors in Euclidean space  $\mathbb{R}^n$  is called a *spherical  $L$ -code*, or for short an  *$L$ -code*, if  $\langle x, y \rangle \in L$  for any pair of distinct vectors  $x, y$  in  $C$ .

Note that if  $L = \{\pm\alpha\}$  where  $\alpha \in [0, 1)$ , then an  $L$ -code corresponds to a set of equiangular lines with common angle  $\arccos \alpha$ . For  $L = [-1, \beta]$ , finding the maximum cardinality of an  $L$ -code is equivalent to the classical problem of packing spherical caps of angular radius  $\frac{1}{2} \arccos \beta$  on the sphere. Generalising Gerzon's result, Delsarte, Goethals and Seidel [4] obtained bounds on the cardinality of sets of lines having  $k$  distinct angles. That is, they proved that, for  $L = \{-\alpha_1, \dots, -\alpha_k, \alpha_1, \dots, \alpha_k\}$  and  $\alpha_1, \dots, \alpha_k \in [0, 1)$ , spherical  $L$ -codes have size at most  $O(n^{2k})$ . They subsequently extended this result to an upper bound of  $O(n^{|L|})$  on the size of any  $L$ -code [5].

Bukh [1] observed that, in some sense, the negative values of  $L$  pose less of a constraint on the size of  $L$ -codes than the positive ones, as long as they are separated away from 0. Specifically, he proved that for  $L = [-1, -\beta] \cup \{\alpha\}$ , where  $\beta \in (0, 1)$  is fixed, the size of any  $L$ -code is at most linear in the dimension. Motivated by the above-mentioned work of Delsarte, Goethals and Seidel [4] Bukh made an analogous conjecture for  $[-1, -\beta] \cup \{\alpha_1, \dots, \alpha_k\}$ -codes, which we verify as follows.

**Theorem 3.** *Let  $L = [-1, -\beta] \cup \{\alpha_1, \dots, \alpha_k\}$  for some fixed  $\beta \in (0, 1]$ . Then there exists a constant  $c_{\beta, k}$  such that any spherical  $L$ -code in  $\mathbb{R}^n$  has size at most  $c_{\beta, k} n^k$ . Moreover, if  $0 \leq \alpha_1 < \dots < \alpha_k < 1$  are also fixed then such a code has size at most*

$$2^k(k-1)! \left(1 + \frac{\alpha_1}{\beta}\right) n^k + o(n^k).$$

In particular, if  $\alpha_1, \dots, \alpha_k$  are fixed this substantially improves the aforementioned bound of Delsarte, Goethals and Seidel [4, 5] from  $O(n^{2k})$  to  $O(n^k)$ . We furthermore show that the second statement of Theorem 2 is tight up to a constant factor.

**Theorem 4.** *Let  $n, k, r$  be positive integers and  $\alpha_1 \in (0, 1)$  with  $k$  and  $\alpha_1$  being fixed and  $r \leq \sqrt{n}$ . Then there exist  $\alpha_2, \dots, \alpha_k$ ,  $\beta = \alpha_1/r - O(\sqrt{\log(n)/n})$  and a spherical  $L$ -code of size  $(1+r) \binom{n}{k}$  in  $\mathbb{R}^{n+r}$  with  $L = [-1, -\beta] \cup \{\alpha_1, \dots, \alpha_k\}$ .*

## 2. OPEN PROBLEMS

If  $\alpha = 1/(2r - 1)$  for some positive integer  $r$ , one can construct an equiangular set of  $r \lfloor (n - 1)/(r - 1) \rfloor$  lines with angle  $\arccos(1/(2r - 1))$ . For  $r = 2$  [10] and  $r = 3$  [11], these are the extremal constructions. This motivates the following conjecture, which was also raised by Bukh [1].

**Conjecture 5.** *Let  $r \geq 2$  be a positive integer. Then, for sufficiently large  $n$ ,*

$$N_{\frac{1}{2r-1}}(n) = \frac{r(n-1)}{r-1} + O(1).$$

If  $\alpha$  is not of the above form, there aren't any known constructions with more than  $(1 + o(1))n$  lines.

For a set of lines attaining  $k$  prescribed angles, we have the general bound of  $O(n^{2k})$  by Delsarte, Goethals and Seidel [4]. The constructions of  $\Omega(n^2)$  equiangular lines shows that this bound is the correct order of magnitude for  $k = 1$ . However, no constructions of size  $\Omega(n^{2k})$  are known for  $k \geq 2$ .

Theorem 3 shows that spherical  $[-1, 0) \cup \{\alpha\}$ -codes in  $\mathbb{R}^n$  with  $\alpha$  fixed can have size at least  $n^{3/2-\epsilon}$ , answering a question of Bukh [1]. On the other hand, we can show that such codes have size at most  $O(n^2)$ . However, if we allow  $\alpha$  to depend on  $n$ , then no upper bound is known and the best construction we have is just that of equiangular lines with size  $\Omega(n^2)$ . Since  $[-1, 0)$ -codes and  $\{\alpha\}$ -codes in  $\mathbb{R}^n$  both have size at most  $n + 1$ , it is plausible to conjecture that  $[-1, 0) \cup \{\alpha\}$ -codes have size at most  $O(n^2)$ .

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## On the number of points in general position in the plane

JÓZSEF BALOGH

(joint work with J. Solymosi)

We say that a set of  $n \geq d + 1$  points on the Euclidean space  $\mathbb{R}^d$  is in *general position* if no hyperplane contains  $d + 1$  points. One might expect that if in a set no hyperplane contains  $d + 2$  points then a large subset of the  $n$  points can be selected such that the points of this subset are in general position. Determining that in the worse case how many points in general position could be chosen - like many other similar problems - was initiated by Paul Erdős [6, 8]. Here, we consider only the planar ( $d = 2$ ) case, the method can be easily extended for higher dimension. The best known upper and lower bounds are far from each other and even a sublinear upper bound was hard to achieve. Our primary goal is to prove the existence of a better construction for the upper bound.

Füredi [9] proved the lower bound  $c \cdot \sqrt{n \log n}$  using a general result of Phelps and Rödl [15], who gave a lower bound on the independence number of partial Steiner Systems. Füredi [9] proved  $o(n)$  upper bound using the Density Hales-Jewett Theorem (DHJ) of Katznelson and Furstenberg [10, 11]. In this application Füredi takes a random (generic) projection of the combinatorial cube of the combinatorial space  $\{1, 2, 3\}^N$  to the plane. This point set has no colinear four-tuple, however for any  $\delta > 0$ , if  $N$  is large enough then any subset of points of size at least  $\delta \cdot 3^N$  contains a colinear triple, a projection of a combinatorial line. The best known bound for DHJ follows from a recent proof by D.H.J. Polymath [16]. A subset of  $\{1, 2, 3\}^N$  of density  $\delta$  contains a combinatorial line if  $N$  is at least a tower of 2's of height  $O(1/\delta^3)$ . We improve the upper bound of Füredi [9].

**Theorem 1.** *As  $n$  goes to infinity, there exists a point set containing no four points in a line such that its every subset of size  $n^{5/6+o(1)}$  contains three points on a line.*

**Proof. (sketch)** We start with  $[m]^3$ , the 3-dimensional integer grid with side-length  $m$ . Then we sparsen this point set, we keep each point with probability  $p = \frac{1}{n \log^{1/2} n}$ . From each of the colinear 4-tuples of the random point set we remove a point, yielding a point set without a colinear 4-tuple. An elementary argument gives that most of the points are not removed. With a random generic projection we map the points into the plan. With high probability we keep colinear point tuples colinear, and we will not create new colinear point tuples. The heart of the argument is to prove that these  $n \approx m^3 p$  points satisfy the condition of the theorem.

We will use the method of hypergraph containers for the proof. This useful method was recently introduced independently by Balogh, Morris and Samotij [4], and by Saxton and Thomason [18]. Roughly speaking, it says that if a hypergraph  $\mathcal{H}$  has a uniform edge distribution, then one can find a relatively small collection of sets, *containers*, covering all independent sets in  $\mathcal{H}$ . One can also require that the

container sets span few edges only. In our applications this later condition guarantees that all container sets are small. Our geometric construction determines a hypergraph where all large subsets contain an edge (e.g. a collinear triple). It is far from being clear, if  $5/6$  is the right constant in the exponent, however it could be that the point set that we constructed is close to be best possible, at least it should be close to be optimal, and only the limitation of our method is the reason that we cannot determine it.

We refer the readers to [2–4, 18] for more details and applications on the container method. The detailed proof of the theorem appears in [5]. Q.E.D.

The  $\varepsilon$ -nets are important concepts in computational geometry and approximation theory in computer science. On a base set  $A$  of  $n$  elements and a family of subsets  $\mathcal{F} \subset 2^A$  a set  $E \subset A$  is an  $\varepsilon$ -net if for every  $B \in \mathcal{F}$  if  $|B| \geq \varepsilon n$  then  $E \cap B \neq \emptyset$ . It is expected that if  $\mathcal{F}$  has low complexity then one can find a small  $\varepsilon$ -net. In their seminal paper Haussler and Welzl [12] proved that for any range space with VC-dimension  $d$ , there is an  $\varepsilon$ -net of size  $O((d/\varepsilon) \log(d/\varepsilon))$ . Here we are concerned with a special case. Answering a question by Matoušek, Seidel, and Welzl [13], Alon [1] proved that the minimum possible size of an  $\varepsilon$ -net for point objects and line ranges in the plane is super-linear in  $1/\varepsilon$ . Alon used the density Hales-Jewett theorem for the construction, so his bound was just enough to break linearity. Alon conjectured that the upper bound is close to the truth. We cannot quite answer determine the correct function, however we are able to give a better lower bound,  $(1/\varepsilon) \log^{1/3-o(1)}(1/\varepsilon)$ , for the range space of lines. Our proof is somewhat similar in soul to the proof of Theorem 1, but different in many ways. We consider a different grid, and apply the container method somewhat different way.

Note that the container method was applied in a similar way in the graph setting [14] and [26], and in additive combinatorics [2], and there are several more recent papers, here we just listed the ones which were motivating our work. The merit of our work is that we have realized that this machinery is useful in discrete geometry. Given this method, still it is possible that better results could be obtained, in case someone was able to find more useful hypergraphs, or prove a variant of a container theorem tailored to these particular hypergraphs.

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## Permanents and complex zeros

ALEXANDER BARVINOK

The permanent of an  $n \times n$  complex matrix  $A = (a_{ij})$  is defined as

$$\text{per } A = \sum_{\sigma \in S_n} \prod_{i=1}^n a_{i\sigma(i)},$$

where  $S_n$  is the symmetric group of all permutations of the set  $\{1, \dots, n\}$ .

**Theorem 1.** *If  $A = (a_{ij})$  is an  $n \times n$  complex matrix such that*

$$|1 - a_{ij}| \leq 0.5 \quad \text{for all } i, j$$

*then  $\text{per } A \neq 0$ .*

Theorem 1 implies

**Theorem 2.** *If  $A = (a_{ij})$  is an  $n \times n$  complex matrix such that*

$$|1 - a_{ij}| \leq 0.49 \quad \text{for all } i, j$$

*then, for any  $0 < \epsilon < 1$ , the value of  $\ln \text{per } A$  is approximated within an additive error  $\epsilon$  in  $n^{O(\ln n - \ln \epsilon)}$  time by a polynomial of degree  $O(\ln n - \ln \epsilon)$  in the entries  $a_{ij}$  of  $A$ .*

**Theorem 3.** *If  $A = (a_{ij})$  is an  $n \times n$  complex matrix such that*

$$\delta \leq \Re a_{ij} \leq 1 \quad \text{and} \quad |\Im a_{ij}| \leq \frac{1}{2}\delta^2 \quad \text{for all } i, j$$

*and some  $0 < \delta \leq 1$  then  $\text{per } A \neq 0$ .*

Theorem 3 implies

**Theorem 4.** *If  $A = (a_{ij})$  is an  $n \times n$  real matrix such that*

$$\delta \leq a_{ij} \leq 1 \quad \text{for all } i, j$$

*and some  $0 < \delta \leq 1$  then, for any  $0 < \epsilon < 1$ , the value of  $\ln \text{per } A$  is approximated within an additive error  $\epsilon$  in  $n^{O_\delta(\ln n - \ln \epsilon)}$  time by a polynomial of degree  $O_\delta(\ln n - \ln \epsilon)$  in the entries  $a_{ij}$  of  $A$ .*

The implications Theorem 1  $\implies$  Theorem 2 and Theorem 3  $\implies$  Theorem 4 illustrate a general principle: if a combinatorially defined polynomial  $p : \mathbb{C}^n \rightarrow \mathbb{C}$  (such as the permanent or the hafnian of a matrix, the multi-dimensional permanent of a tensor, the independence polynomial of a graph, etc.) has no zeros in a domain  $\Omega \subset \mathbb{C}^n$  then it can be efficiently approximated in a slightly smaller domain  $\Omega' \subset \Omega$ .

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## One-sided epsilon-approximants

BORIS BUKH

(joint work with G. Nivasch)

A common theme in mathematics is approximation of large, complicated objects by smaller, simpler objects. This paper proposes a new notion of approximation in combinatorial geometry, which we call one-sided  $\epsilon$ -approximants. It is a notion of approximation that is in strength between  $\epsilon$ -approximants and  $\epsilon$ -nets. We recall these two notions first.

Let  $P \subset \mathbb{R}^d$  be a finite set, and  $\mathcal{F} \subset 2^{\mathbb{R}^d}$  a family of sets in  $\mathbb{R}^d$ . In applications, the family  $\mathcal{F}$  is usually a geometrically natural family, such as the family of all halfspaces, the family of all simplices, or the family of all convex sets. A finite set  $A \subset \mathbb{R}^d$  is called an  $\epsilon$ -approximant for  $P$  with respect to  $\mathcal{F}$  if

$$\left| \frac{|C \cap P|}{|P|} - \frac{|C \cap A|}{|A|} \right| \leq \epsilon \quad \text{for all } C \in \mathcal{F}.$$

The notion of an  $\varepsilon$ -approximant was introduced by Vapnik and Chervonenkis [6] in the context of statistical learning theory. They associated to each family  $\mathcal{F}$  a number  $\text{VC-dim}(\mathcal{F}) \in \{1, 2, 3, \dots, \infty\}$ , which has become known as *VC dimension*, and proved that if  $\text{VC-dim}(\mathcal{F}) < \infty$ , then every set  $P$  admits an  $\varepsilon$ -approximant  $A$  of size  $|A| \leq C_{\text{VC-dim}(\mathcal{F})} \varepsilon^{-2}$ , a bound which does not depend on the size of  $P$ . The  $\varepsilon$ -approximants that they constructed had the additional property that  $A \subset P$ . Following tradition, we say that  $A$  is a *strong  $\varepsilon$ -approximant* if  $A \subset P$ . When we wish to emphasize that our  $\varepsilon$ -approximants are not necessarily subsets of  $P$ , we call them *weak  $\varepsilon$ -approximants*. The bound has been improved to  $|A| \leq C_{\text{VC-dim}(\mathcal{F})} \varepsilon^{-2+2/(\text{VC-dim}(\mathcal{F})+1)}$  (see [4, Theorem 1.2] and [5, Exercise 5.2.7]) which is optimal [2].

In a geometric context, Haussler and Welzl [3] introduced  $\varepsilon$ -nets. With  $P$  and  $\mathcal{F}$  as above, a set  $N$  is called an  $\varepsilon$ -net for  $P$  with respect to  $\mathcal{F}$  if

$$\frac{|C \cap P|}{|P|} > \varepsilon \implies C \cap N \neq \emptyset \quad \text{for all } C \in \mathcal{F}.$$

An  $\varepsilon$ -approximant is an  $\varepsilon$ -net, but not conversely. While an  $\varepsilon$ -net is a weaker notion of approximation, its advantage over an  $\varepsilon$ -approximant is that every set  $P$  admits an  $\varepsilon$ -net of size only  $C_{\text{VC-dim}(\mathcal{F})} \varepsilon^{-1} \log \varepsilon^{-1}$ , which is smaller than the bound for the  $\varepsilon$ -approximants. The  $\varepsilon$ -nets constructed by Haussler and Welzl are also strong, i.e., they satisfy  $N \subset P$ .

Most geometrically important families  $\mathcal{F}$  have a bounded VC dimension. A notable exception is the family  $\mathcal{F}_{\text{conv}}$  of all convex sets. Indeed, it is easy to see that a set of  $n$  points in convex position does not admit any strong  $\varepsilon$ -net of size smaller than  $(1 - \varepsilon)n$  with respect to  $\mathcal{F}_{\text{conv}}$ . Alon, Bárány Füredi, and Kleitman [1] showed that for every  $P \subset \mathbb{R}^d$  there exists a (weak)  $\varepsilon$ -net of size bounded solely by a function of  $\varepsilon$  and  $d$ . No extension of their result to  $\varepsilon$ -approximants is possible.

**Proposition 1.** *If  $P \subset \mathbb{R}^2$  is a set of  $n$  points in convex position, then every  $\varepsilon$ -approximant with respect to  $\mathcal{F}_{\text{conv}}$  has size at least  $n(\frac{1}{4} - \varepsilon/2)$ .*

*Proof.* Let  $p_1, p_2, \dots, p_n$  be the enumeration of the vertices of  $P$  in clockwise order along the convex hull of  $P$ . For  $i = 1, \dots, \lfloor (n-1)/2 \rfloor$  write  $T_i$  for the triangle  $p_{2i-1}, p_{2i}, p_{2i+1}$ . Suppose  $A \subset \mathbb{R}^2$  is an  $\varepsilon$ -approximant for  $P$ . Let  $I \stackrel{\text{def}}{=} \{i : T_i \cap A = \emptyset\}$ . Note that  $|I| \geq n/2 - 2|A| - 1$  since each point of  $A$  lies in at most two triangles. Define  $S \stackrel{\text{def}}{=} \{p_1, p_3, p_5, \dots\}$  to be the odd-numbered points, and let  $S' \stackrel{\text{def}}{=} S \cup \{p_{2i} : i \in I\}$ . Let  $C \stackrel{\text{def}}{=} \text{conv } S$  and  $C' \stackrel{\text{def}}{=} \text{conv } S'$ . Then  $C \cap A = C' \cap A$ , but  $|C' \cap P|/|P| - |C \cap P|/|P| = |I|/|P| > \varepsilon$  if  $|A| < |P|(\frac{1}{4} - \varepsilon/2)$ .  $\square$

In light of Proposition 1, we introduce a new concept. A multiset  $A \subset \mathbb{R}^d$  is a *one-sided  $\varepsilon$ -approximant for  $P$  with respect to the family  $\mathcal{F}$*  if

$$\frac{|C \cap P|}{|P|} - \frac{|C \cap A|}{|A|} \leq \varepsilon \quad \text{for all } C \in \mathcal{F}.$$

In other words, if  $C \in \mathcal{F}$ , then  $C$  might contain many more points of  $A$  than expected, but never much fewer. It is clear that an  $\varepsilon$ -approximant is a one-sided  $\varepsilon$ -approximant, and that a one-sided  $\varepsilon$ -approximant is an  $\varepsilon$ -net.

Our main result shows that allowing one-sided errors is enough to sidestep the pessimistic Proposition 1.

**Theorem 2.** *Let  $P \subset \mathbb{R}^d$  be a finite set, and let  $\varepsilon \in (0, 1]$  be a real number. Then  $P$  admits a one-sided  $\varepsilon$ -approximant with respect to  $\mathcal{F}_{\text{conv}}$  of size at most  $g(\varepsilon, d)$ , for some  $g$  that depends only on  $\varepsilon$  and on  $d$ .*

Unfortunately, due to the use of a geometric Ramsey theorem, our bound on  $g$  is very weak:

$$g(\varepsilon, d) \leq \text{tw}_d(\varepsilon^{-c})$$

for some constant  $c > 1$  that depends only on  $d$ , where the tower function is given by  $\text{tw}_1(x) \stackrel{\text{def}}{=} x$  and  $\text{tw}_{i+1}(x) \stackrel{\text{def}}{=} 2^{\text{tw}_i(x)}$ . We believe this bound to be very far from sharp.

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### Induced subgraphs and chromatic number

MARIA CHUDNOVSKY

(joint work with A. Scott, P. Seymour, and S. Spirkl)

Let  $G$  be a graph. The *clique number* of  $G$  is the size of the largest set of pairwise adjacent vertices, and the *chromatic number* is the smallest number  $k$  such that the vertices of  $G$  can be colored with  $k$  colors and no two adjacent vertices receive the same color. The clique number of  $G$  is denoted by  $\omega(G)$ , and the chromatic number by  $\chi(G)$ . Clearly  $\chi(G) \geq \omega(G)$ , and an interesting question is what can be said about bounding the chromatic number from above by a function of the clique number.

It turns out that in general no such bound exists, because of the following result of [3].

**Theorem 1.** *For every  $g, k > 0$  there exists a graph with chromatic number at least  $k$  and with no cycle of length less than  $g$ .*

Let  $\mathcal{C}$  be a class of graphs closed under taking induced subgraphs. We say that  $\mathcal{C}$  is  $\chi$ -bounded if there exists a function  $f_{\mathcal{C}} : N \rightarrow N$  such that for every graph  $G \in \mathcal{C}$   $\chi(G) \leq f_{\mathcal{C}}(\omega(G))$ . We call such  $f_{\mathcal{C}}$  a  $\chi$ -bounding function for  $\mathcal{C}$ . For a graph  $H$ ,  $G$  is said to be  $H$ -free if  $G$  has no induced subgraph isomorphic to  $H$ . What can be said in this context about  $H$ -free graphs? Theorem 1 implies:

**Theorem 2.** *If the class of  $H$ -free graph is  $\chi$ -bounded, then  $H$  is a forest.*

The converse of Theorem 2 is a well-known conjecture [4, 7]:

**Conjecture 3.** *For every forest  $H$  there exists a function  $f_H$  such that  $\chi(G) \leq f_H(G)$  for every  $H$ -free graph  $G$ .*

Very little is known to-date about Conjecture 3, but the case where  $H$  is a path was proved in [4]. To solve this special case a technique was developed, to which we now refer as “building a Gyárfás path”. The idea is to construct an induced path in the graph, that starts at an arbitrary vertex, chips off pieces of the graph, each of which has small chromatic number, and advances in the direction of the “unexplored” part of the graph (that still has large chromatic number).

Here is another angle on  $\chi$ -boundedness: what if induced cycles of certain lengths are forbidden? This is promising because of the next result, known as The Strong Perfect Graph Theorem [1]. For a graph  $G$ , the complement  $G^c$  of  $G$  is the graph with vertex set  $V(G)$ , and such that  $uv \in E(G^c)$  if and only if  $uv \notin E(G)$ . The *parity* of a cycle  $C$  is the parity of  $|V(C)|$ ; thus a cycle can be *odd* or *even*.

**Theorem 4.** *Let  $\mathcal{C}$  be the class of graphs  $G$  with no induced cycles of odd length at least five in  $G$ , and none in  $G^c$ . Then the function  $f(x) = x$  is a  $\chi$ -bounding function for  $\mathcal{C}$ .*

In [5] three conjecture were made, in the spirit of Theorem 4, but without mentioning complements:

**Conjecture 5.** *The class of graphs with no odd induced cycles of length at least five is  $\chi$ -bounded.*

**Conjecture 6.** *For every  $l$ , the class of graphs with no induced cycles of length at least  $l$  is  $\chi$ -bounded.*

**Conjecture 7.** *For every  $l$ , the class of graphs with no odd induced cycles of length at least  $l$  is  $\chi$ -bounded.*

Conjecture 5 was proved in [10], and Conjecture 6 in [2]. Recently we were able to prove Conjecture 7. The proof has two main parts. In the first part we assume that for every vertex  $v$  of  $G$ , the subgraph of  $G$  induced by the vertices at distance at most two from  $v$  has bounded chromatic number. In this case we construct a Gyárfás path  $P$  of length  $l$ , that can be completed to induced cycles of two different parities (constructing the completions in the new insight in our

proof, that was missing until now). Having dealt with this case, we can assume that for every graph with no long odd induced cycle, and with small  $\omega$  and large  $\chi$ , there is a vertex  $v$  whose second neighborhood (the vertices at distance exactly two from  $v$ ) induces a graph with large chromatic number. Now we follow ideas from [2], repeatedly extracting such vertices  $v$ . This process allows us to either build an induced subdivision of a large complete bipartite graph in  $G$  (which we use to construct a long induced path that can then be completed to induced cycles of different parities), or build a vast variety of induced subgraphs in  $G$ , including cycles of any length.

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## Universality-type results in random graphs

DAVID CONLON

(joint work with A. Ferber, R. Nenadov, and N. Škorić)

Given a family of graphs  $\mathcal{H}$ , a graph  $G$  is said to be  $\mathcal{H}$ -universal if it contains every graph in  $\mathcal{H}$  as a subgraph. For  $\mathcal{H} = \mathcal{H}(n, \Delta)$ , the class of graphs with at most  $n$  vertices and maximum degree at most  $\Delta$ , a result of Alon and Capalbo [1] shows that there are universal graphs with  $O(n^{2-2/\Delta})$ , a result which is sharp up to the implied constant. In this talk, we explore the extent to which the binomial random graph  $G(n, p)$  may be used as a universal graph for  $\mathcal{H}(n, \Delta)$ .

In particular, we discuss the proof of the following theorem, due to the speaker, Ferber, Nenadov and Škorić [3].

**Theorem 1.** *For any constant  $\epsilon > 0$  and integer  $\Delta \geq 3$ , the random graph  $G(n, p)$  is a.a.s. universal for the family  $\mathcal{H}((1 - \epsilon)n, \Delta)$ , provided that  $p \gg n^{-\frac{1}{\Delta-1}} \log^5 n$ .*

This improves a result of Alon, Capalbo, Kohayakawa, Rödl, Ruciński and Szemerédi [2], who proved the analogous statement for  $p \geq c_{\Delta, \epsilon} (\log n/n)^{1/\Delta}$ . This latter probability serves as a natural boundary for problems involving the embedding of large graphs with maximum degree  $\Delta$ , since it is the lowest probability at which one can expect that every collection of  $\Delta$  distinct vertices will have many

common neighbours, a property that facilitates a greedy embedding strategy. Going below this probability introduces significant difficulties.

We also discuss a resilient version of this theorem, due to the speaker and Nenadov [4]. We say that a graph  $G$  is  $\mathcal{H}$ -Ramsey-universal if every two-colouring of the edges of  $G$  contains a colour class which is  $\mathcal{H}$ -universal. For this concept, our main result, concerns  $\mathcal{F}(n, \Delta)$ , the class of triangle-free graphs with at most  $n$  vertices and maximum degree at most  $\Delta$ .

**Theorem 2.** *For any integer  $\Delta \geq 5$ , there exist constants  $B \in \mathbb{N}$  and  $C > 0$  such that if  $N = Bn$  and  $p = p(N) \geq (C \log N/N)^{\frac{1}{\Delta-1/2}}$  then  $G(N, p)$  is a.a.s.  $\mathcal{F}(n, \Delta)$ -Ramsey-universal.*

This improves a result of Kohayakawa, Rödl, Schacht and Szemerédi [5], who again proved an analogous result for  $p \geq c_{\Delta}(\log n/n)^{1/\Delta}$ . However, the cost of bypassing their bound is that we have to restrict to  $\mathcal{F}(n, \Delta)$ , the class of triangle-free graphs in  $\mathcal{H}(n, \Delta)$ , a restriction not required in [5]. It would be very interesting to remove this caveat from our work.

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### On a resilience Littlewood-Offord problem

ASAF FERBER

(joint work with A. Bandeira and M. Kwan)

Let  $a = (a_i)_{i=1}^n$  be a fixed sequence of nonzero real numbers, and for a sequence of i.i.d. (independent, identically distributed) Rademacher random variables  $\xi = (\xi_i)_{i=1}^n$  (meaning  $\Pr[\xi_i = 1] = \Pr[\xi_i = -1] = 1/2$ ), define the random sum

$$X = X_a(\xi) = \sum_{i=1}^n a_i \xi_i.$$

Sums of this form are ubiquitous in probability theory. For example,  $X$  can be interpreted as the outcome of an unbiased random walk with step sizes given by  $a$ . The central limit theorem asserts that if the  $a_i$  are all equal then  $X$  asymptotically has a normal distribution. More flexible variants of the central limit theorem allow the  $a_i$  to differ to an extent, and give quantitative control of the distribution of  $X$ . An important example is the Berry-Esseen theorem [1, 4], which gives an

estimate for the probability that  $X$  lies in a given interval, comparing it to the corresponding probability for an appropriately scaled normal distribution (we give a precise statement, adapted to our context, later in the paper). The Berry-Esseen theorem is effective when the  $a_i$  are of the same order of magnitude, in which case it can be used to easily deduce the estimate

$$\Pr[X = x] = O\left(\frac{1}{\sqrt{n}}\right)$$

for any  $x$ . Qualitatively, it guarantees that  $X$  is unlikely to be concentrated on any particular value ( $X$  is *anti-concentrated*).

Over half a century ago, in connection with their study of random polynomials, Littlewood and Offord [6] considered anti-concentration in the general setting where no assumption is made on  $a$ , other than that its entries being nonzero. The classical result of Littlewood and Offord [6] strengthened by Erdős [2] states that for any  $a$ , for all  $x \in \mathbb{R}$  we have

$$\Pr[X = x] \leq \binom{n}{\lfloor n/2 \rfloor} / 2^n = O\left(\frac{1}{\sqrt{n}}\right),$$

which is sharp for the sequence  $a = (1, 1, \dots, 1)$ . This result is particularly remarkable due to the fact that if one does not assume anything about  $a_i$ , then the distribution of  $X$  may be far from normal and Berry-Esseen type bounds may no longer be meaningful.

Since the Littlewood-Offord problem was first introduced, many variants of it have been addressed; one particularly interesting line of research involves the relationship between the structure of  $a$  and the resulting concentration probability  $\max_x \Pr[X = x]$ .

Erdős and Moser [3] and Sárközy and Szemerédi [10] considered the case where the  $a_i$  are all distinct, and showed that the stronger bound  $\Pr[X = x] = O(n^{-3/2})$  holds. Halász [5] gave even stronger bounds for sequences which are “arithmetically unstructured” in an appropriate sense. More recently, Tao and Vu [12, 13] and Nguyen and Vu [8] investigated the inverse problem of characterizing the arithmetic structure of  $a$  given the concentration probability  $\max_x \Pr[X = x]$ .

Many fruitful connections have been found between Littlewood-Offord-type problems and various areas of mathematics. In particular, Littlewood-Offord-type theorems are essential tools in some of the landmark results in random matrix theory (see for example [11, 12]). In particular, the Littlewood-Offord theorem gives an upper bound on the probability that a particular row of a random  $\pm 1$  matrix is orthogonal to a given vector, and can thus be used to bound the probability that a Bernoulli random matrix is singular.

In this talk we are interested in studying a “resilience” version of the Littlewood-Offord problem. Given a sequence  $a \in (\mathbb{R} \setminus \{0\})^n$  and a real number  $x \in \mathbb{R}$ , we know that most sequences  $\xi \in \{-1, 1\}^n$  do not satisfy the event  $\{X(\xi) = x\}$ . We are interested in understanding whether most sequences  $\xi$  are “far” from this event. In order to make this question precise we need a few definitions. Given two sequences  $\xi, \xi' \in \{-1, 1\}^n$  we define  $d(\xi, \xi')$  to be the *Hamming distance* between

$\xi$  and  $\xi'$  (that is,  $d(\xi, \xi')$  denotes the number of coordinates in which  $\xi$  and  $\xi'$  differ). If  $S \subset \{-1, 1\}^n$  is a subset of the hypercube we further define  $d(\xi, S)$  as the minimum Hamming distance from  $\xi$  to a point in  $S$ . Finally, for a fixed sequence  $a$  of non-zero reals and  $\xi \in \{-1, 1\}^n$ , let us define

$$R_x(\xi) := R_x^a(\xi) = d(\xi, X^{-1}(x)),$$

which is the minimum number of signs one needs to change in  $\xi$  in order to satisfy  $X = x$ . We refer to  $R_x(\xi)$  as the *resilience* of  $\xi$  with respect to the event  $\{X \neq x\}$ , and if  $R_x > k$  we say  $\xi$  is *k-resilient* (for completeness, if one cannot obtain  $x$  at all then we set  $R_x(\xi) = \infty$ ).

We prove an optimal result (both lower and upper bounds), showing that whp  $R_x(\xi) = (1 + o(1)) \log_3 \log n$ .

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## Arithmetic progressions, regularity, and removal

JACOB FOX

(joint work with L. M. Lovasz, H. T. Phan, and Y. Zhao)

Szemerédi’s regularity lemma [28] and its variants are some of the most powerful tools in combinatorics. Szemerédi used an early version in the proof of his celebrated theorem extending Roth’s theorem to arithmetic progressions of any given length. The regularity lemma roughly says that the vertex set of every graph can be partitioned into a small number of parts such for most of the pairs of parts, the induced bipartite subgraph between the pair is pseudorandom.

Green [16] developed an arithmetic regularity lemma and used it to prove two extensions of Roth’s theorem, known as the arithmetic removal lemma and the popular differences theorem. A *triangle* in  $\mathbb{F}_p^n$  is a triple  $a, b, c$  of elements with  $a + b + c = 0$ . The first theorem is known as the arithmetic triangle removal lemma, as it is an arithmetic analogue of the triangle removal lemma.

**Theorem 1** (Green [16]). *For each  $\epsilon > 0$  and prime  $p$  there is  $\delta = \delta(\epsilon, p) > 0$  such that if  $A, B, C \subset \mathbb{F}_p^n$  with at most  $\delta p^{2n}$  triangles in  $A \times B \times C$ , then we can remove at most  $\epsilon p^n$  elements from  $A, B, C$  and remove all triangles.*

A random subset  $A$  of  $\mathbb{F}_p^n$  of density  $\alpha$  almost surely satisfies that for every nonzero  $d \in \mathbb{F}_p^n$ , the density of three-term arithmetic progressions with common difference  $d$  that are in  $A$  is close to  $\alpha^3$ . For  $p = 3$ , Edel’s construction [8] shows that there are sets with density  $\alpha$  whose density of three-term arithmetic progressions is  $O(\alpha^{4.63})$ , which is much smaller than the random bound,  $\alpha^3$ . However, the following theorem of Green [16], answering a question of Bergelson, Host, and Kra [1], shows that there is a nonzero  $d$  for which the density of three-term arithmetic progressions with common difference  $d$  is at least almost the random bound,  $\alpha^3$ .

**Theorem 2** (Green [16]). *For each  $\epsilon > 0$  and prime  $p$  there is a least positive integer  $n_p(\epsilon)$  such that the following holds. For each  $n \geq n_p(\epsilon)$  and every subset  $A$  of  $\mathbb{F}_p^n$  with density  $\alpha$ , there is a nonzero  $d$  in  $\mathbb{F}_p^n$  such that the density of three-term arithmetic progressions with common difference  $d$  that are in  $A$  is at least  $\alpha^3 - \epsilon$ .*

A major drawback in applying the graph regularity lemma of Szemerédi or the arithmetic regularity lemma of Green is that the bound on the number of parts is enormous as a function of the approximation parameter  $\epsilon$  (the bound is a tower of twos of height  $\epsilon^{-O(1)}$ ). This gives seemingly weak bounds for the various applications. That such a huge bound is necessary in Szemerédi’s regularity lemma was proved by Gowers [17] using a probabilistic construction. Later, Conlon and Fox [4], Moshkovitz and Shapira [25], and Fox and Lovász [11] gave improvements on various aspects. Similarly, Green [16] showed that a tower-type bound is necessary in the arithmetic regularity lemma, and Hosseini et al. [20] improved the tower lower bound to height  $\epsilon^{-\Omega(1)}$ .

While a tower-type bound is known to be necessary for these regularity lemmas, for the various applications, it was generally believed that much better bounds

should hold, and this would be shown by developing alternative techniques to the regularity method. This turned out to be the case for many such applications. A few examples include Gowers' influential proof of Szemerédi's theorem [18] which introduced higher Fourier analysis, new bounds on Ramsey numbers of sparse graphs (see [5] and [23] and their references) using the greedy embedding method or dependent random choice, certain applications in extremal graph theory using the absorption method [26], [24], and the first author's proof of the graph removal lemma [10]. Prior to this work, no known application required the tower-type bound coming from applying a regularity lemma.

Green's proof of the arithmetic removal lemma, Theorem 1, used the arithmetic regularity lemma, giving a bound on  $1/\delta$  which is a tower of twos of height polynomial in  $1/\epsilon$ . Král', Serra, and Vena [22] showed that the arithmetic triangle removal lemma follows from the triangle removal lemma for graphs. Green's proof shows the result further holds in any abelian group, and the Král'-Serra-Vena proof shows that an analogue holds in any group.

Green [16] posed the problem of improving the quantitative bounds on the arithmetic triangle removal lemma, and, in particular, asked whether a polynomial bound holds. Green's problem has received considerable attention by many researchers and was featured in more than a dozen papers. This is in part due to its applications and connections to several major problems in number theory, combinatorics, and computer science. The previous best known bound for the arithmetic triangle removal lemma came from the improved bound on the triangle removal lemma for graphs [10] together with the Král'-Serra-Vena reduction. It gives a bound on  $1/\delta$  in the arithmetic triangle removal lemma which is a tower of twos of height logarithmic in  $1/\epsilon$ , see [10] for details. An alternative, Fourier-analytic proof of this bound in the case when  $p = 2$  was given by Hatami, Sachdeva, and Tulsiani [19]. Prior to this work, this tower-type bound was the best known bound for the arithmetic triangle removal lemma.

In the case  $p = 2$ , obtaining better upper bounds for  $\delta$  has also received much attention due in part to its close connection to property testing, and, in particular, testing triangle-freeness. Bhattacharyya and Xie [2] were the first to give a non-trivial upper bound on  $\delta$ . Fu and Kleinberg [15] provided a simple construction showing that  $\delta \leq \epsilon^{C_2 - o(1)}$ , where  $C_2 \approx 13.239$  is defined below. It is based on a construction from Coppersmith and Winograd's famous matrix multiplication algorithm [6]. It was widely conjectured that the exponent in the bound is not optimal (and that the bound is perhaps even superpolynomial).

We solve Green's problem by proving an essentially tight bound for the arithmetic triangle removal lemma in vector spaces over finite fields. We show that a polynomial bound holds, and further determine the best possible exponent for every prime  $p$ . It involves, for every  $p$ , constants  $c_p$  which will be between 0 and 1 and will be defined below, and  $C_p = 1 + 1/c_p$ . In particular, we have<sup>1</sup>  $c_2 = 5/3 - \log 3 \approx .0817$  and  $C_2 = 1 + 1/c_2 \approx 13.239$  as in Fu and Kleinberg [15],

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<sup>1</sup>Here, and throughout, all logarithms are base 2.

and  $c_3 = 1 - \frac{\log b}{\log 3}$ , where  $b = a^{-2/3} + a^{1/3} + a^{4/3}$ , and  $a = \frac{\sqrt{33}-1}{8}$ . This gives  $c_3 \approx .0775$ , and  $C_3 \approx 13.901$ .

**Theorem 3.** [Fox and Lovász [12]] *Let  $0 < \epsilon \leq 1/2$  and  $\delta = (\epsilon/3)^{C_p}$ . If  $A, B, C \subset \mathbb{F}_p^n$  with less than  $\delta N^2$  triangles in  $A \times B \times C$ , then we can remove  $\epsilon N$  elements from  $A \cup B \cup C$  so that no triangle remains. Furthermore, this bound is tight in that we must have  $\delta \leq \epsilon^{C_p - o(1)}$ .*

Theorem 3 appears in [12] and uses recent breakthroughs on the cap set problem by Croot, Lev, and Pach [7], Ellenberg and Gijswijt [9] and its generalization to the multicolored sum-free problem by Blasiak et al. [3], Alon, and Kleinberg, Sawin, and Speyer [21] together with probabilistic and combinatorial arguments.

With Pham [13], we recently determined tight tower-type bounds for Green's theorem on popular progression differences, Theorem 2, which is tight up to an absolute constant factor for  $p$  fixed. We prove lower and upper bounds on the necessary dimension which grow as an exponential tower of twos of height  $\Theta(\log(1/\epsilon))$ . Note that this is the first application of a regularity lemma for which the tower-type bound that come from using the regularity lemma is necessary.

**Theorem 4** (Fox-Pham [13]). *Recall  $n_p(\epsilon)$  is the least positive integer such that the following holds. For each  $n \geq n_p(\epsilon)$  and every subset  $A$  of  $\mathbb{F}_p^n$  with density  $\alpha$ , there is a nonzero  $d \in \mathbb{F}_p^n$  such that the density of three-term arithmetic progressions with common difference  $d$  that are in  $A$  is at least  $\alpha^3 - \epsilon$ . For  $\epsilon < 2^{-500}p^{-8}$ , we have  $n_p(\epsilon)$  grows as an exponential tower of  $p$ 's of height  $\Theta(\log(1/\epsilon))$ .*

We further determine for  $p \geq 19$  and  $\beta < \alpha^3$  the correct tower height, up to an absolute constant factor and an additive constant depending on  $p$ , of the minimum dimension  $n$  needed to guarantee that for any subset of  $\mathbb{F}_p^n$  of density  $\alpha$  there is a nonzero  $d$  such that the density of three-term arithmetic progressions with common difference  $d$  is at least  $\beta$ . In particular, to obtain half the random bound (when  $\beta = \alpha^3/2$ ), we get the dimension we need to guarantee such a  $d$  grows as a tower of twos of height proportional to  $(\log p) \log \log(1/\alpha)$ .

In joint work with Zhao [14], we extend Theorem 4 to general abelian groups. This required new ideas to overcome challenges related to the lack of subgroups in the general setting. For the upper bound, we extend our arguments to work for Fourier analysis on Bohr sets. For the lower bound, new construction ideas.

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## Kneser ranks of random graphs and minimum difference representations

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(joint work with I. Kantor)

### 1. KNESER REPRESENTATIONS

A representation of a graph  $G$  is an assignment of mathematical objects of a given kind (intervals, disks in the plane, finite sets, vectors, etc.) to the vertices of  $G$  in such a way that two vertices are adjacent if and only if the corresponding sets satisfy a certain condition (intervals intersect, vectors have different entries in each coordinate, etc.). Representations of various kinds have been studied extensively, see, e.g., [5], the monograph [11], or from information theory point of view [9].

The *Kneser graph*  $\text{Kn}(s, k)$  (for positive integers  $s \geq 2k$ ) is a graph whose vertices are all the  $k$ -subsets of the set  $[s] := \{1, 2, \dots, s\}$ , and whose edges connect two sets if they are disjoint. An assignment  $v \mapsto A_v$  to the vertices  $v \in V$  of a graph  $G = (V, E)$  is called a *Kneser representation of rank  $k$*  if each  $A_v$  has size  $k$ , the sets are distinct, and  $A_u$  and  $A_v$  are disjoint if and only if  $uv \in E$ .

Every graph  $G$  on  $n$  vertices with minimum degree  $\delta$  has a Kneser representation of rank  $n - \delta$ . For every  $i \in V(G)$ , let  $A'_i$  be the set of the edges adjacent to  $i$  in the complement of  $G$ . We have  $A'_i \cap A'_j = 1$  if  $ij \notin E(G)$ , otherwise  $A'_i \cap A'_j = 0$ . To turn this *co-star representation* into a Kneser representation add pairwise disjoint sets of labels to the sets  $A'_1, \dots, A'_n$  to increase their cardinality to exactly  $n - \delta(G)$ .

Let  $\mathcal{G}(n)$  denote the set of  $2^{\binom{n}{2}}$  (labelled) graphs on  $[n]$  and let  $\mathcal{G}(n, k, \text{Kneser})$  denote the family of graphs on  $[n]$  having a Kneser representation of rank  $k$ .  $G \in \mathcal{G}(n, k, \text{Kneser})$  is equivalent to the fact that  $G$  is an *induced* subgraph of some Kneser graph  $\text{Kn}(s, k)$ . We have

$$\mathcal{G}(n, 1, \text{Kneser}) \subseteq \mathcal{G}(n, 2, \text{Kneser}) \subseteq \dots \subseteq \mathcal{G}(n, n - 1, \text{Kneser}) = \mathcal{G}(n).$$

Let  $f_{\text{Kneser}}(G)$  denote the smallest  $k$  such that  $G$  has a Kneser representation of rank  $k$ . We have seen that  $f_{\text{Kneser}}(G) \leq n - \delta$ . We have

**Theorem 1.** *There exist constants  $c_2 > c_1 > 0$  such that for  $G \in \mathcal{G}(n)$  with high probability*

$$c_1 \frac{n}{\log n} < f_{\text{Kneser}}(G) < c_2 \frac{n}{\log n}.$$

**1.1. Thickness of clique covers.** The *clique cover number*  $\theta_1(G)$  of a graph  $G$  is the minimum number of cliques required to cover the edges of graph  $G$ . Recall that in a random graph  $G \in \mathcal{G}(n, p)$ , each of the  $\binom{n}{2}$  edges occurs independently with probability  $p$ . Similarly,  $\mathcal{G}(n, n, p)$  denotes the class of graphs  $\mathcal{G}(n, n)$  with the probability of a given graph  $G \in \mathcal{G}(n, n)$  is  $p^{e(G)}(1 - p)^{n^2 - e(G)}$ . Frieze and Reed [7] proved that for  $p$  constant,  $0 < p < 1$ , there exist constants  $c'_i = c'_i(p) > 0$ ,

$i = 1, 2$  such that for  $G \in \mathcal{G}(n, p)$  with high probability

$$c'_1 \frac{n^2}{(\log n)^2} < \theta_1(G) < c'_2 \frac{n^2}{(\log n)^2}.$$

The *thickness*  $\theta_0$  of a clique cover  $\mathcal{C} := \{C_1, \dots, C_m\}$  of  $G$  is the maximum degree of the hypergraph  $\mathcal{C}$ , i.e.,  $\theta_0(\mathcal{C}) := \max_{v \in V(G)} \deg_{\mathcal{C}}(v)$ . The minimum thickness among the clique covers of  $G$  is denoted by  $\theta_0(G)$ . A clique cover  $\mathcal{C}$  corresponds to a set representation  $v \mapsto A_v$  in a natural way  $A_v := \{C_i : v \in C_i\}$ , yielding

$$\theta_0(G) \leq f_{\text{Kneser}}(\overline{G}) \leq \theta_0(G) + 1.$$

**Theorem 2.** *For constant  $0 < p < 1$  there exist constants  $c_i = c_i(p) > 0$ ,  $i = 1, 2$  such that for  $G \in \mathcal{G}(n, p)$  with high probability*

$$c_1 \frac{n}{\log n} < \theta_0(G) < c_2 \frac{n}{\log n}.$$

## 2. MINIMUM DIFFERENCE REPRESENTATIONS

In *difference representations*, generally speaking, vertices are adjacent if the representing sets are sufficiently different.

**Definition 3.** Let  $G$  be a graph on the vertices  $[n] = \{1, \dots, n\}$ . A *k-min-difference representation*  $(A_1, \dots, A_n)$  of  $G$  is an assignment of a sets so that

$$ij \in E(G) \Leftrightarrow \min\{|A_i \setminus A_j|, |A_j \setminus A_i|\} \geq k.$$

Let  $\mathcal{G}(n, k, \min)$  be the set of graphs with  $V(G) = [n]$  that have a  $k$ -min-difference representation. The smallest  $k$  such that  $G \in \mathcal{G}(n, k, \min)$  is denoted by  $f_{\min}(G)$ .

The co-star representation shows that  $f_{\min}(G)$  exists and it is at most  $n - \delta(G)$ . Boros, Collado, Gurvits, and Kelmans [3] showed that many  $n$ -vertex graphs belong to  $\mathcal{G}(n, 2, \min)$  and they did not find any graph with  $f_{\min}(G) \geq 3$ . Boros, Gurvitch and Meshulam [4] asked whether the value of  $f_{\min}$  over all graphs is bounded by a constant. This question was answered in the negative by Balogh and Prince [2], who proved that for every  $k$  there is an  $n_0$  such that whenever  $n > n_0$ , then for a graph  $G$  on  $n$  vertices we have  $f_{\min}(G) \geq k$  with high probability. They used a Ramsey-type result of Balogh and Bollobás [1], so their bound on  $n_0$  is a tower function of  $k$ .

Our main result is a significant improvement of the Balogh-Prince result. Let  $\mathcal{G}(n, n)$  denote the family of  $2^{n^2}$  bipartite graphs  $G$  with  $n + n$  vertices.

**Theorem 4.** *There is a constant  $c > 0$  such that for almost all bipartite graphs  $G \in \mathcal{G}(n, n)$  one has  $f_{\min}(G) \geq cn/(\log n)$ .*

**Corollary 5.** *There is a constant  $c > 0$  such that almost all graphs  $G$  on  $n$  vertices satisfy*

$$f_{\min}(G) \geq \frac{c \log n}{\log \log n}.$$

## 3. PRAGUE DIMENSION

The *Prague dimension* (it is also called *product dimension*)  $f_{\text{Pra}}(G)$  of a graph  $G$  is the smallest integer  $k$  such that one can find vertex distinguishing good colorings  $\varphi_1, \dots, \varphi_k : V(G) \rightarrow \mathbb{N}$ . This means that  $\varphi_i(u) \neq \varphi_i(v)$  for every edge  $uv \in E(G)$  and  $1 \leq i \leq k$  but for every non-edge  $\{u, v\}$ , there exists an  $i$  with  $\varphi_i(u) = \varphi_i(v)$ , moreover the vectors  $(\varphi_1(u), \varphi_2(u), \dots, \varphi_k(u))$  and  $(\varphi_1(v), \varphi_2(v), \dots, \varphi_k(v))$  are distinct for  $u \neq v$ . Two vertices are adjacent if and only if their labels disagree in every  $\varphi_i$ . We have

$$f_{\min}(G) \leq f_{\text{Kneser}}(G) \leq f_{\text{Pra}}(G).$$

**Conjecture 6.** *For a constant probability  $0 < p < 1$  there exists a constant  $c_{2,\text{Pra}} = c_{2,\text{Pra}}(p) > 0$ , such that for  $G \in \mathcal{G}(n, p)$  and also for  $G \in \mathcal{G}(n, n, p)$  with high probability*

$$f_{\text{Pra}}(G) < c_{2,\text{Pra}} \frac{n}{\log n}.$$

**Problem 7.** Is it true that for any fixed  $0 < p < 1$  for  $G \in \mathcal{G}(n, p)$  with high probability one has  $\Omega(n/(\log n)) \leq f_{\min}(G)$ ?

The Kneser rank and Prague dimension can be  $\Omega(n)$ . E.g.,  $f_{\text{Kneser}}(\overline{K_{1,n-1}}) = n - 1$ . No such results are known for  $f_{\min}$ .

**Problem 8.** What is the maximum of  $f_{\min}(G)$  over the set of  $n$ -vertex graphs  $G$ ? Is it true that it is  $o(n)$  for every  $G \in \mathcal{G}(n) \cup \mathcal{G}(n, n)$ ?

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## Performance of Sequential Local Algorithms for the Random NAE- $K$ -SAT Problem

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(joint work with M. Sudan)

In this work we consider a class of algorithms which we dub “sequential local algorithms” that capture local implementations of message-passing based decimation algorithms, including the BP-guided and the SP-guided decimation algorithms. We analyze the behavior of local sequential algorithms on random instances of “Not-All-Equal- $K$ -SAT (NAE- $K$ -SAT)”.

**Our setting and results.** The NAE- $K$ -SAT problem is a Boolean constraint satisfaction problem closely related to more commonly studied  $K$ -SAT problem. An instance of the NAE- $K$ -SAT problem consists of a collection of  $N$   $K$ -clauses on  $n$  Boolean variables  $x_1, \dots, x_n$ . Each  $K$ -clause is given by  $K$ -literals, where each literal is either one of the variables or its negation. The clause is satisfied by a Boolean assignment to the variables if at least one of the literals is satisfied (set to 1) and at least one is unsatisfied (set to 0). (This symmetry between satisfied and unsatisfied literals lends a convenient symmetry to the NAE- $K$ -SAT problem that is not shared by the  $K$ -SAT counterpart). The collection of  $N$  clauses is satisfied by a Boolean assignment if each clause is satisfied. Given  $\ell \leq N$ , we say that a Boolean assignment  $\ell$ -satisfies the collection if at most  $\ell$  clauses are violated.

In this work we consider the ability to find satisfying and  $\ell$ -satisfying assignments to random instances of the NAE- $K$ -SAT problem. Here the  $N$  clauses are chosen uniformly and independently from the collection of  $2^K \cdot \binom{n}{K}$  possible  $K$ -clauses. Throughout the paper we consider the regime where the number of variables  $n$  grows, but the clause size  $K$  remains constant. In particular we consider the setting where  $N = d \cdot n$  for some constant  $d = d(K)$  that depends on  $K$ , but not  $n$ , and consider what is the largest  $d$  for which there exists a efficient algorithm for identifying an  $\ell$ -satisfying satisfying assignment with probability bounded away from zero as  $n \rightarrow \infty$  by some function going to zero at some rate with  $n$ . We will be interested primarily in regime where  $\ell$  is a linear function of  $N$  and therefore  $n$  as well. The parameter  $d$  is often referred to as the formula density. Of course, no algorithm can find a satisfying assignment if none exists; and the limit of when such an assignment exists is well-studied. In particular Coja-Oghlan and Panagiotou [7] have established that random instances of the NAE- $K$ -SAT problem are satisfiable with high probability (w.h.p.) when the density  $d$  is below  $d_s = 2^{K-1} \ln 2 - \ln 2/2 - 1/4 - o_K(1)$ , and is not satisfiable w.h.p. when  $d > d_s$ . Earlier bound was obtained by Achlioptas and Moore [4] who obtained a bound of the form  $d_s = 2^{K-1} \ln 2 - O_K(1)$ . Here  $o_K(\cdot)$  and  $O_K(\cdot)$  denote standard order of magnitude notation as  $K$  increases. Our interest is in determining how qualitatively close to this threshold an efficient algorithm can get, i.e., how does the largest density at which the algorithm manages to find a satisfying or even  $\ell$ -satisfying assignments compare with  $d_s$ .

The class of algorithms that we explore in this work are what we call “local sequential algorithms”. A sequential local algorithm can be described roughly as follows. The algorithm works by assigning Boolean values to variables sequentially, where a chosen variable is assigned its value by a potentially probabilistic choice, which depends on the local neighborhood of the variable at the time the choice is made. The local neighborhood is defined to be the graph-theoretic  $B(r)$  ball of small value  $r$  radius with respect to the underlying factor graph on the set of variables and clauses, to be defined later. Once a variable is assigned a value, the formula is simplified (removing some clauses, and restricting others). This in turn may influence the local neighborhoods of other variables, and when the future variables are set to particular Boolean values, this is done with respect to thus possibly modified neighborhoods. The algorithm continues with its iterations till all variables are set.

Local sequential algorithms capture restricted versions of BP- and SP-guided decimation algorithms, specifically when the number of message passage iterations used between every decimation step is bounded by  $O(r)$ . In the specific context of BP-guided decimation algorithm based on  $r$  iterations, the local rule assigns value 1 to a variable  $x$  with probability equal to the fraction of assignments in which  $x$  is assigned value 1 among all assignments that satisfy all clauses in the local neighborhood  $B(r)$ . The SP-guided decimation algorithm uses a more complex rule for its assignments. It is based on lifting the Boolean constraint satisfaction problem to a constraint satisfaction problem involving three decisions, as opposed to two decisions, but otherwise follows the same spirit.

Our main contribution is to show that, w.h.p. as the size of the instance diverges to infinity, every “balanced” sequential local algorithm fails to produce a  $\ell$ -satisfying assignment when the ratio  $d$  of the number of clauses to the number of variables exceeds  $(1 + o_K(1)) \frac{2^{K-1}}{K} \ln^2 K$ , clause size  $K$  is sufficiently large (but independent from the number of variables), and  $r = O\left((\ln \ln n)^{O(1)}\right)$ . Specifically, we will show this when the ratio of  $\ell$  to the total number of clauses  $dn$  is below a certain constant less than unity, (see the aforementioned theorem for details). “Balance” is a technical condition, which says that the local algorithm respects the inherent symmetry between 0 and 1. It is a condition satisfied by all known algorithms including BP- and SP-guided decimation, as we establish.

Our bound on the ratio  $d$  is reasonably close to bounds at which simple algorithms actually work. In particular, it is well-known that a very simple Unit Clause algorithm is capable of finding satisfying assignments for this problem when  $d$  is below  $\rho \frac{2^{K-1}}{K}$  for some universal constant  $\rho$ , [3] for  $K$  sufficiently large. The Unit Clause algorithm is the best known algorithm for this problem. (A better algorithm is known for the random K-SAT problem that works up to clause to variables ratio  $(1 - o_K(1)) \frac{2^K}{K} \ln K$  [5]. It is likely that a similar idea can be applied to the NAE-K-SAT setting, but such a result is not available to the best of our knowledge.) One of the hopes was that BP- and SP-guided decimation algorithms may be able to bridge this factor of  $K$  between the unit clause algorithm

and the satisfiability threshold  $d_s$  above. Our result however implies that, short of possibly a  $\ln^2 K$  multiplicative factor, the "infamous" factor  $O(K)$  gap between the satisfiability threshold and the region achievable by known algorithms cannot be broken by means of sequential local algorithms, in particular by BP- and SP-guided decimation algorithms with  $O(r)$  number of rounds of message passing iterations.

Previously, Coja-Oghlan [6] showed that the BP-guided decimation algorithm fails to find satisfying assignments for random  $K$ -SAT problem when  $d \geq \rho \frac{2^K}{K}$  for some universal constant  $\rho$ , for an arbitrary number of iterations  $r$ , which in particular might depend on the number of variables. (Here  $2^K$  factor is an "appropriate" substitution for  $2^{K-1}$  when switching from NAE- $K$ -SAT to the  $K$ -SAT problem. We maintain this distinction, even though technically it is eliminated by constant  $\rho$ ). It is reasonable to expect that his result holds also for NAE- $K$ -SAT problem using the same analysis. Thus our result partially reproduces the main result of [6] in the special case when the number of iterations is bounded by  $O\left((\ln \ln n)^{O(1)}\right)$  (short of additional  $\ln^2 K$  factor). At the same time, however, our result is applied in a "blanket way" to a broad class of algorithms, including most notably SP-guided decimation algorithm with the number of iterations bounded by the same value, and our analysis is insensitive to the details of the algorithm.

After the first version of our paper was posted, we have become aware of the result by Hetterich [9] who shows that the SP-guided decimation algorithm w.h.p. fails to find a satisfying assignment of a random  $K$ -SAT formula above density  $(d_s/K) \ln K$  for  $d_s = 2^K \ln 2$ , for all sufficiently large  $K$ . His result, unlike ours, does not assume any bound on the number of iterations of the SP-guided decimation algorithm and applies to a slightly smaller formula density  $(d_s/K) \ln K$  as opposed to density  $(d_s/K) \ln^2 K$  appearing in our main result.

**Techniques.** Our main proof technique relies on the intricate geometry of the solution space of the random NAE- $K$ -SAT problem. Specifically it relies on the so-called *m-overlap* structure of nearly satisfying assignments of random NAE- $K$ -SAT, which relates to the space of possible pair-wise Hamming distances between  $m$  such satisfying assignments. Previously this overlap structure was studied for the case  $m = 2$  for random  $K$ -SAT problem, and several other related problems, including the problem of proper coloring of sparse random graphs [2],[1]. A certain *shattering* property was established which roughly speaking says that above a certain density, the Hamming distance between every pair of satisfying assignments (overlap) normalized by the number of variables, is either smaller than a certain constant  $\delta_1$  or larger than some constant  $1 \geq \delta_2 > \delta_1$ . As a result the solution space can be partitioned into different subsets (clusters) based on their proximity to each other. For the case of NAE- $K$ -SAT problem this 2-overlap property can be established for densities  $d$  approximately  $d > d_s/2$ . (A weaker version of this result corresponding to "almost" all pairs does hold at densities above  $O\left(\frac{d_s}{K} \ln K\right)$  [11]). Unfortunately, this is not strong enough to cover the regime of  $d > (d_s/K) \ln^2 K$  claimed in our main theorem, so instead we have to establish a certain property

regarding  $m$ -overlaps of satisfying assignments, for appropriately chosen  $m > 2$ . This is the essence of a structural theorem which we prove in this paper. Roughly speaking this theorem says that, with probability at least  $1 - \exp(-\Omega(n))$ , when  $d \geq (1 + \epsilon) \frac{d_s}{K} \ln^2 K$ , and  $K$  is sufficiently large, one cannot find  $m \approx \epsilon K / \ln K$  satisfying assignments such that the Hamming distance (overlap) between every pair of the assignments normalized by the number of variables is  $\approx \ln K / K$ . The result applies to  $\ell$ -satisfying assignments as well for sufficiently small  $\ell < dn$ . Then for every  $\beta \in (0, 1)$ , we establish the following result. If a sequential local algorithm was capable of finding an  $\ell$ -satisfying assignment, with probability at least  $n^{-(\ln \ln n)^{O(1)}}$ , then by running the algorithm  $m$  times and constructing a certain interpolation scheme, one can construct  $m$   $\ell$ -satisfying assignments such that the pairwise normalized distance between any pair of these assignments is  $\approx \beta$ , hence a contradiction. Our super polynomial upper bound  $n^{-(\ln \ln n)^{O(1)}}$  on the likelihood of success also rules out the possibility of running the algorithm for polynomially many independent trials in the hope of finding at least one  $\ell$ -satisfying assignment.

The link between the overlap property and the ensuing demise of local algorithms was recently established by authors [8] in a different context of finding a largest independent set in a random regular graph. There the argument was used to show that so-called i.i.d. factor based local algorithms are incapable of finding nearly largest independent sets in random regular graphs, refuting an earlier conjecture by Hatami, Lovász and Szegedy [10]. The result was further strengthened by Rahman and Virag [13], who obtained essentially the tightest possible result, using  $m$ -overlap structures of "large" independent set. Our use of  $m$ -overlaps is inspired by this work, though the set of restrictions on the  $m$ -overlaps is much simpler than the one appearing in [13].

An important technical and conceptual difference between the present work and that of [8] and [13] is that algorithms considered in the aforementioned papers are not sequential. Instead the decision taken by each variable in those models are taken simultaneously for all variables. In the case of sequential local algorithms, since the variables are set sequentially, the decision for one variable can be non-localized for the remaining variables, thus creating potential long-range dependencies. We deal with this potential long-range impact of decisions as follows. We associate variables with random i.i.d. weights chosen from an arbitrary continuous distribution, for example a uniform distribution. The weights are used solely to determine the order of fixing the values of the variables during the progression of the sequential local algorithm. Specifically the largest weight first rule is used. The decision to fix the value of a particular variable then can only impact variables with lower weights. Specifically if the value of variable  $x$  is fixed now, the value of variable  $y$  can be impacted *only* if there exists a sequence  $x_0 = x, x_1, \dots, x_\ell = y$  such that the distance between  $x_i$  and  $x_{i+1}$  is at most  $r$  (the radius of the decision making rule) and the weight of  $x_i$  is larger than that of  $x_{i+1}$  for all  $i$ . For a given set of variables  $x_0, \dots, x_\ell$  the likelihood of this total order of variables is  $1/\ell!$  which decays faster than any exponential function in  $\ell$ . This coupled with

the fact that the growth rate of nodes at distance at most  $r\ell$  from  $x$  is at most exponential in  $r\ell$ , will allow us to control the range of influence of the variable  $x$  when its value is set. A similar idea of controlling the range of influence is used in the analysis of local algorithms in several places, including [12]. Bounding the ranges of influences is a crucial idea in implementing the interpolation scheme and constructing  $m$  assignments with "non-existent" normalized overlaps  $\beta$ .

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### Forbidden vector-valued intersections

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(joint work with E. Long)

The Frankl-Rödl forbidden intersection theorem is a fundamental result of Extremal Set Theory, which has had a wide range of applications to different areas of mathematics, including discrete geometry [3], communication complexity [9] and quantum computing [1].

Let  $[n] = \{1, \dots, n\}$  and let  $\binom{[n]}{k} = \{A \subset [n] : |A| = k\}$ . For  $\mathcal{A} \subset \binom{[n]}{k}$  and  $t \in [n]$  let  $\mathcal{A} \times_t \mathcal{A}$  be the set of all  $(A, B) \in \mathcal{A} \times \mathcal{A}$  with  $|A \cap B| = t$ . Note that  $\binom{[n]}{k} \times_t \binom{[n]}{k}$  is non-empty if and only if  $\max(2k - n, 0) \leq t \leq k$ . Frankl and Rödl proved the following ‘supersaturation theorem’, showing that if  $t$  is bounded away from these extremes and  $\mathcal{A}$  is ‘exponentially dense’ in  $\binom{[n]}{k}$  then  $\mathcal{A} \times_t \mathcal{A}$  is ‘exponentially dense’ in  $\binom{[n]}{k} \times_t \binom{[n]}{k}$ .

**Theorem 1** (Frankl–Rödl [2]). *Let  $0 < n^{-1} \ll \delta \ll \epsilon < 1$  and  $\max(2k - n, 0) + \epsilon n \leq t \leq k - \epsilon n$ . Suppose  $\mathcal{A} \subset \binom{[n]}{k}$  with  $|\mathcal{A}| \geq (1 - \delta)^n \binom{[n]}{k}$ . Then  $|\mathcal{A} \times_t \mathcal{A}| \geq (1 - \epsilon)^n \left| \binom{[n]}{k} \times_t \binom{[n]}{k} \right|$ .*

In a recent survey on the Borsuk problem, Kalai [7] remarked that the Frankl–Rödl theorem can be used to give a counterexample to the Borsuk conjecture (the Frankl–Wilson intersection theorem [4] was used in Kahn and Kalai’s celebrated counterexample [6]), and suggested that improved bounds might follow from a suitably generalised Frankl–Rödl theorem. He proposed the following supersaturation conjecture as a possible step in this direction, in which one measures a set by its size  $|A| = \sum_{i \in A} 1$  and its sum  $\sum A = \sum_{i \in A} i$ . Let  $[n]_{k,s}$  be the set of  $A \subset [n]$  with  $|A| = k$  and  $\sum A = s$ . For  $\mathcal{A} \subset [n]_{k,s}$  write  $\mathcal{A} \times_{(t,w)} \mathcal{A} = \{(A, B) \in \mathcal{A} \times \mathcal{A} : A \neq B \text{ with } |A \cap B| = t \text{ and } \sum(A \cap B) = w\}$ .

**Conjecture 2** (Kalai). *Let  $0 < n^{-1} \ll \delta \ll \epsilon, \alpha_1, \alpha_2, \beta_1, \beta_2 < 1$ ,  $k = \lfloor \alpha_1 n \rfloor$ ,  $s = \lfloor \alpha_2 \binom{[n]}{2} \rfloor$ ,  $t = \lfloor \beta_1 n \rfloor$  and  $w = \lfloor \beta_2 \binom{[n]}{2} \rfloor$ . Suppose  $\mathcal{A} \subset [n]_{k,s}$  with  $|\mathcal{A}| \geq (1 - \delta)^n |[n]_{k,s}|$ . Then  $|\mathcal{A} \times_{(t,w)} \mathcal{A}| \geq \lfloor (1 - \epsilon)^n |[n]_{k,s} \times_{(t,w)} [n]_{k,s} \rfloor$ .*

Somewhat surprisingly, this conjecture is false in general! In fact, although the conjecture holds in a number of natural special cases, it fails quite dramatically in general; for most pairs  $(\alpha_1, \alpha_2)$  there is exactly one choice of  $(\beta_1, \beta_2)$  for which Conjecture 2 holds.

Our first result exactly describes those values of  $(\alpha_1, \alpha_2, \beta_1, \beta_2)$  for which Conjecture 2 holds. Note that the set  $[n]_{k,s}$  is exponentially large in  $n$  if and only if  $(\alpha_1, \alpha_2) \in \Lambda := \{(x, y) : 0 < x < 1, x^2 < y < 2x - x^2\}$ . As Conjecture 2 is only interesting in this setting, we will restrict attention to this region. We say that  $\mathbf{g} = (\alpha_1, \alpha_2, \beta_1, \beta_2) \in [0, 1]^4$  is  $(\delta, \epsilon, n)$ -good if with  $k, s, t, w \in \mathbb{N}$  as in Conjecture 2, all  $\mathcal{A} \subset [n]_{k,s}$  with  $|\mathcal{A}| \geq (1 - \delta)^n |[n]_{k,s}|$  satisfy  $|\mathcal{A} \times_{(t,w)} \mathcal{A}| \geq \lfloor (1 - \epsilon)^n |[n]_{k,s} \times_{(t,w)} [n]_{k,s} \rfloor$ .

**Theorem 3.** *There are functions  $\beta_1, \beta_2 : \Lambda \rightarrow \mathbb{R}$  such that the following holds. Consider the sets:*

$$\begin{aligned} \Gamma_1 &= \{(\alpha_1, \alpha_2, \beta_1, \beta_2) : (\alpha_1, \alpha_2) \in \Lambda, \alpha_1 \neq \alpha_2 \text{ and } \beta_i = \beta_i(\alpha_1, \alpha_2)\}; \\ \Gamma_2 &= \{(\alpha, \alpha, \beta, \beta) : 0 < \alpha < 1 \text{ and } \max(2\alpha - 1, 0) < \beta < \alpha\}; \\ \Gamma_3 &= \{(1/2, 1/2, \beta_1, \beta_2) : (2\beta_1, 2\beta_2) \in \Lambda\}. \end{aligned}$$

*Then given  $\mathbf{g}' \in \Gamma = \bigcup_{i \in [3]} \Gamma_i$  and  $\epsilon \gg \delta \gg n^{-1}$ , any  $\mathbf{g} \in [0, 1]^4$  with  $\|\mathbf{g} - \mathbf{g}'\|_1 \leq \delta$  is  $(\delta, \epsilon, n)$ -good. On the other hand, given  $\mathbf{g} \in [0, 1]^4 \setminus \Gamma$  there is  $\epsilon > 0$  such that  $\mathbf{g}$  is not  $(\delta, \epsilon, n)$ -good for any  $\epsilon \gg \delta \gg n^{-1}$ .*

We have the following interpretation of elements of  $\mathbf{g} = (\alpha_1, \alpha_2, \beta_1, \beta_2) \in \Gamma$ :

- **Popular intersections:** For  $(\alpha_1, \alpha_2) \in \Lambda$  with  $\alpha_1 \neq \alpha_2$  there is exactly one  $(\alpha_1, \alpha_2, \beta_1, \beta_2) \in \Gamma_1$ . For  $n$  large, the value  $(\beta_1 n, \beta_2 \binom{n}{2})$  is essentially the most popular intersection between sets in  $[n]_{k,s}$ , where  $(k, s) = (\alpha_1 n, \alpha_2 \binom{n}{2})$ .
- **Doubly random:** If  $A \subset [n]$  is a uniformly random set of size  $k = \alpha n$ , the expected size of  $\sum A$  is  $\alpha \binom{n}{2} + o(n^2)$ . Similarly, if two sets  $A$  and  $B$  in  $[n]_{k,s}$  with  $|A \cap B| = \beta n$  are randomly selected then the expected value of  $\sum(A \cap B)$  is  $\beta \binom{n}{2} + o(n^2)$ . Theorem 3 for  $\Gamma_2$  shows Conjecture 2 holds for ‘random  $\beta n$  intersections’ between ‘random  $\alpha n$  sets’, provided  $\alpha$  and  $\beta$  satisfy the Frankl-Rödl conditions.
- **Uniformly random sets:** Lastly, most sets  $A \in [n]_{k,s}$  with  $(k, s) = (\frac{1}{2}n, \frac{1}{2}\binom{n}{2})$  have  $|A \cap [2L]| = L \pm o(n) = |A \cap [n - 2L, n]|$  for all  $L \leq n/2$ . Intersections of type  $(t, w) = (\beta_1 n, \beta_2 \binom{n}{2})$  can only occur between such sets if  $\frac{1}{2} \binom{2t}{2} + o(n^2) \leq w \leq \frac{1}{2} (\binom{n+1}{2} - \binom{n-2t+1}{2}) + o(n^2)$ . Equivalently  $(2\beta_1 + o(1), 2\beta_2 + o(1)) \in \Lambda$ . Theorem 3 for  $\Gamma_3$  shows that Conjecture 2 is true for  $(\alpha_1, \alpha_2) = (1/2, 1/2)$  provided this necessary condition is fulfilled.

Although the bounds from Conjecture 2 in general do not hold, it is still natural to ask if we can find any  $(t, w)$ -intersections in  $\mathcal{A}$ , and if so, how many. We obtain essentially best possible answers to these questions (although for simplicity we will not state these results in this abstract). Our results apply to vector-valued intersections defined by more general vectors in higher dimensions, and also to cross-intersection theorems for two or more families over vectors over any finite alphabet. However, for simplicity in this abstract we stick with the setting of Kalai’s conjecture. For cleaner notation and compatibility with the general setting we introduce the notation  $\mathcal{X} = [n]_{k,s}$  and  $I(\mathcal{A}) = \mathcal{A} \times_{(t,w)} \mathcal{A}$ .

Our next theorem shows that, there are only two obstructions to a supersaturation result as in Kalai’s conjecture; either there exists a small set  $\mathcal{B}_{full}$  which artificially inflates the number of  $(t, w)$ -intersections in  $\mathcal{X}$ , or there is a large set  $\mathcal{B}_{empty}$  which contains no  $(t, w)$ -intersections. Furthermore, in the latter case, our result still gives supersaturation relative to  $\mathcal{B}_{full}$ .

**Theorem 4.** *Let  $n^{-1} \ll \delta \ll \epsilon, \alpha_1, \alpha_2, \beta_1, \beta_2$ . Then one of the following holds:*

- (1) *All  $\mathcal{A} \subset \mathcal{X}$  with  $|\mathcal{A}| \geq (1 - \delta)^n |\mathcal{X}|$  satisfy  $|I(\mathcal{A})| \geq (1 - \epsilon)^n |I(\mathcal{X})|$ ;*
- (2) *There exists  $\mathcal{B}_{full} \subset \mathcal{X}$  with  $|\mathcal{B}_{full}| \leq (1 - \delta)^n |\mathcal{X}|$  and*

$$|I(\mathcal{X}) \setminus I(\mathcal{B}_{full})| \leq (1 - \delta)^n |I(\mathcal{X})|.$$

*Furthermore, for  $\mathcal{B} \subset \mathcal{B}_{full}$  with  $|\mathcal{B}| \geq (1 - \delta)^n |\mathcal{B}_{full}|$  we have  $|I(\mathcal{B})| \geq (1 - \epsilon)^n |I(\mathcal{X})|$ ;*

- (3) *There is  $\mathcal{B}_{empty} \subset \mathcal{X}$  with  $|\mathcal{B}_{empty}| \geq \lfloor (1 - \epsilon)^n |\mathcal{X}| \rfloor$  and  $I(\mathcal{B}_{empty}) = \emptyset$ .*

A key paradigm of our approach is that  $\mathcal{V}$ -intersection theorems often have equivalent formulations in terms of certain maximum entropy measures, and that the necessary condition for these theorems appears naturally as a condition on the measures.

To illustrate this point, we recast the Frankl-Rödl theorem in such terms. Again we identify subsets of  $[n]$  with their characteristic vectors in  $\{0, 1\}^n$ , on which we introduce the product measure  $\mu_p(\mathbf{x}) = \prod_{i \in [n]} p_{x_i}$ , where  $p_1 = k/n$  and  $p_0 = 1 - p_1$ . Pairs of subsets are identified with  $\{0, 1\}^n \times \{0, 1\}^n$ , which we can identify with  $(\{0, 1\} \times \{0, 1\})^n$ , on which we introduce the product measure  $\mu_q(\mathbf{x}, \mathbf{x}') = \prod_{i \in [n]} q_{x_i, x'_i}$ , where  $q_{1,1} = t/n$ ,  $q_{0,1} = q_{1,0} = (k - t)/n$  and  $q_{0,0} = (n - 2k + t)/n$ . It is not hard to see that the hypothesis of Theorem 1 is essentially equivalent to  $\mu_p(\mathcal{A}) > (1 - \delta)^n$  and the conclusion to  $\mu_q(\mathcal{A} \times_t \mathcal{A}) > (1 - \epsilon)^n$ . Furthermore, the assumption on  $t$  can be rephrased as  $q_{j,j'} \geq \epsilon$  for all  $j, j' \in \{0, 1\}$ , and this indicates the condition that we need in general.

The next result gives our probabilistic forbidden intersection theorem. We say that the product measure  $\mu_q$  is  $\kappa$ -bounded if all  $q_{j,j'}^i \in [\kappa, 1 - \kappa]$ .

**Theorem 5.** *Let  $0 < n^{-1}, \delta \ll \kappa, \gamma, \epsilon, \alpha_1, \alpha_2, \beta_1, \beta_2$ . Suppose that  $\mu_q$  is a  $\kappa$ -bounded product measure on  $(\{0, 1\} \times \{0, 1\})^n$  with  $\sum_i (1, i) q_{1,1}^i = (t, w)$  and both marginals  $\mu_p$ . Let  $\mathcal{A} \subseteq \{0, 1\}^n$  with  $\mu_p(\mathcal{A}) > (1 - \delta)^n$ . Then  $\mu_q(I(\mathcal{A})) > (1 - \epsilon)^n$ .*

We briefly indicate how Theorem 5 can be used to prove Theorem 4. First we prove a large deviation principle, which can be roughly stated as saying that the uniform measure on  $\mathcal{X}$  has the same exponential tail events in  $\mathcal{X}$  as the measure  $\mu_p$  on  $\{0, 1\}^n$  that has maximum entropy subject to  $\sum_i p_i(1, i) = (k, s)$ . Thus we can apply Theorem 5 to any  $\mathcal{A}$  as in Theorem 4 and any  $\kappa$ -bounded  $\mu_q$  with marginals  $\mu_p$ . Similarly, there is a maximum entropy measure  $\mu_q$  on  $(\{0, 1\} \times \{0, 1\})^n$  with the same exponential tail events in  $I(\mathcal{X})$  as the uniform measure.

The first question to consider is whether  $\mu_q$  is  $\kappa$ -bounded. If not then we cannot guarantee any  $(t, w)$ -intersections. The key parameter in understanding this case is the well-known [10] Vapnik-Chervonenkis dimension of  $I(\mathcal{X}) \subseteq (\{0, 1\} \times \{0, 1\})^n$ . To see why it is natural to consider the VC-dimension, consider the problem of finding an intersection of size  $n/3$  among subsets of  $[n]$  of size  $2n/3$ . The conditions of the Frankl-Rödl theorem are not satisfied, and indeed the conclusion is not true: take  $\mathcal{A} = \{A \in \binom{[n]}{2n/3} : 1 \notin A\}$ . Considering  $\binom{[n]}{2n/3} \times_{n/3} \binom{[n]}{2n/3}$  as a subset of  $(\{0, 1\} \times \{0, 1\})^n$ , we see that no coordinate can take the value  $(0, 0)$ , so there is not even a shattered set of size 1! Modifying this example in the obvious way we see that it is natural to assume a bound that is linear in  $n$ . We also note that this example shows that the ‘Frankl-Rödl analogue’ of Conjecture 2 is not true, and hints towards a counterexample for Kalai’s conjecture.

If  $\mu_q$  is  $\kappa$ -bounded then the next question to consider is whether its marginals  $\mu_{\tilde{p}}$  are close to the maximum entropy measure  $\mu_p$  that describes  $\mathcal{X}$ . If so, then for  $\mathcal{A} \subseteq \mathcal{X}$  we have the implications  $|\mathcal{A}|/|\mathcal{X}| > (1 - \delta)^n \Rightarrow \mu_p(\mathcal{A}) > (1 - \delta')^n \Rightarrow \mu_q(I(\mathcal{A})) > (1 - \delta'')^n \Rightarrow I(\mathcal{A})/I(\mathcal{X}) > (1 - \epsilon)^n$ , i.e. we have supersaturation as in Kalai’s Conjecture. On the other hand, if  $\mu_{\tilde{p}}$  is far from  $\mu_p$  then it concentrates on some small subset  $\mathcal{B}_{full}$  of  $\mathcal{X}$ , which accounts for most of the  $(t, w)$ -intersections in  $\mathcal{X}$ .

This sketch also gives some indication of how the values in Theorem 3 arise. We can explicitly describes the maximum entropy measures  $\mu_q$  and  $\mu_p$  considered

above as Boltzmann distributions. It turns out to be quite rare for a Boltzmann distribution to be a marginal of another, although occasionally it does occur. One simple instance is given by taking the measure  $\mu_{\mathbf{q}}$  formed by two independent copies of  $\mu_{\mathbf{p}}$ , which leads to the ‘popular intersection’ case of Theorem 3.

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### The distribution of the number of triangles in a random graph

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(joint work with J. Gilmer)

We will work with the Erdos-Rényi random graph  $G(n, p)$ . Recall that  $G(n, p)$  is the random undirected graph  $G$  on  $n$  vertices sampled by including each of the  $\binom{n}{2}$  possible edges into  $G$  independently with probability  $p$ . Let  $S_n$  be the random variable equal to the number of triangles in  $G(n, p)$ . Let  $\mu_n = \mathbf{E}[S_n] = p^3 \binom{n}{3}$  and  $\sigma_n = \sqrt{\mathbf{Var}[S_n]} = \Theta(n^2)$  (see [2] for an exact expression of  $\sigma_n$ ). Our main result [2] states that if  $p$  is a fixed constant in  $(0, 1)$ , then the distribution of  $S_n$  is *pointwise* approximated by a discrete Gaussian distribution:

$$(1) \quad \Pr[S_n = k] = \frac{1}{\sqrt{2\pi}\sigma_n} e^{-((k-\mu_n)/\sigma_n)^2/2} \pm o(1/n^2).$$

Thus, for every  $k \in \mu_n \pm O(\sigma_n)$ , we determine the probability that  $G(n, p)$  has exactly  $k$  triangles, up to a  $(1 + o(1))$  multiplicative factor.

The proofs are based on bounding the characteristic function  $\psi(t)$  of  $S_n$ , and involves a number of different conditioning arguments for different ranges of  $t$ .

More recently, Berkowitz [1] greatly enhanced the power of these methods with some elegant conceptual and technical ideas, and gave a significantly improved quantitative version of this result. His main result states (with the same setup) that for each fixed  $\epsilon > 0$ ,

$$(2) \quad \Pr[S_n = k] = \frac{1}{\sqrt{2\pi}\sigma_n} e^{-((k-\mu_n)/\sigma_n)^2/2} \pm o\left(\frac{1}{n^{2.5-\epsilon}}\right).$$

This improved quantitative bound then enables Berkowitz to deduce an  $\ell_1$ -distance bound between the distribution of  $S_n$  and the discrete Gaussian distribution.

$$(3) \quad \sum_{k=-\infty}^{\infty} \left| \Pr[S_n = k] - \frac{1}{\sqrt{2\pi}\sigma_n} e^{-((k-\mu_n)/\sigma_n)^2/2} \right| \leq o\left(\frac{1}{n^{0.5-\epsilon}}\right).$$

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### Local 3-profiles of graphs

DANIEL KRÁL'

(joint work with R. Glebov, A. Grzesik, P. Hu, T. Hubai, J. Volec)

Many problems in graph theory relate to understanding possible combinations of densities of subgraphs in graphs. One of the recent breakthroughs in extremal graph theory was the description of possible densities of complete graphs in graphs with a given edge density, which is given in the exciting work of Razborov [4], Nikiforov [3] and Reiher [5]. While problems related to possible densities of small graphs may look innocent at the first sight, they can become incredibly challenging. For example, determining the minimum possible sum of densities of  $\overline{K_4}$  and  $K_4$  is a well-known problem open for more than five decades.

Huang, Linial, Naves, Peled and Sudakov [1], building on their results from [2], determined possible densities of  $\overline{K_3}$  and  $K_3$ . We contribute to this line of research by completing the description of possible densities of all pairs of 3-vertex graphs. More precisely, let  $d_i(G)$  be the density of the 3-vertex  $i$ -edge graph in a graph  $G$ , i.e., the probability that three random vertices induce a subgraph with  $i$  edges, and let  $S \subseteq \mathbb{R}^4$  be the set of all quadruples  $(d_0, d_1, d_2, d_3)$  that are arbitrary close to 3-vertex graph densities in arbitrary large graphs. Huang et al. [1] determined the projection of the set  $S$  to the  $(d_0, d_3)$  plane, and we determine the projection of the set  $S$  to all the remaining planes.

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## The existence of designs – beyond quasirandomness

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(joint work with S. Glock, A. Lo, and D. Osthus)

### 1. BLOCK DESIGNS AND STEINER SYSTEMS

An  $(n, q, r, \lambda)$ -*design* is a set  $X$  of  $q$ -subsets (often called ‘blocks’) of some  $n$ -set  $V$ , such that every  $r$ -subset of  $V$  belongs to exactly  $\lambda$  elements of  $X$ . (Note that this makes only sense if  $q > r$ , which we assume throughout.) In the case when  $r = 2$ , this coincides with the notion of balanced incomplete block designs. An  $(n, q, r, 1)$ -design is also called an  $(n, q, r)$ -*Steiner system*. There are some obviously necessary ‘divisibility conditions’ for the existence of a design: consider some subset  $S$  of  $V$  of size  $i < r$  and assume that  $X$  is an  $(n, q, r, \lambda)$ -design. Then the number of elements of  $X$  which contain  $S$  is  $\lambda \binom{n-i}{r-i} / \binom{q-i}{r-i}$ . We say that the necessary divisibility conditions are satisfied if  $\binom{q-i}{r-i}$  divides  $\lambda \binom{n-i}{r-i}$  for all  $0 \leq i < r$ .

The ‘Existence conjecture’ states that for given  $q, r, \lambda$ , the necessary divisibility conditions are also sufficient for the existence of an  $(n, q, r, \lambda)$ -design, except for a finite number of exceptional  $n$ . Its roots can be traced back to work of e.g. Plücker, Kirkman and Steiner in the 19th century. Over a century later, a breakthrough result of Wilson [17–19] resolved the graph case  $r = 2$ .

For  $r \geq 3$ , much less was known until relatively recently. In 1963, Erdős and Hanani proposed an approximate version of the Existence conjecture for the case of Steiner systems. More precisely, they asked whether one can find blocks which cover every  $r$ -set at most once and cover all but  $o(n^r)$  of the  $r$ -sets, as  $n$  tends to infinity. This was proved in 1985 by Rödl [15] via his celebrated ‘nibble’ method.

Teirlinck [16] was the first to prove the existence of designs for arbitrary  $r \geq 6$  (this however requires  $q = r + 1$  and  $\lambda$  large compared to  $q$ ). Kuperberg, Lovett and Peled [13] proved a ‘localized central limit theorem’ for rigid combinatorial structures, which implies the existence of designs for arbitrary  $q$  and  $r$ , but again for large  $\lambda$ . There are many constructions resulting in sporadic and infinite families of designs (see e.g. the handbook [4]). However, the set of parameters they cover is

very restricted. In particular, even the existence of infinitely many Steiner systems with  $r \geq 4$  was open until recently.

In a recent breakthrough, Keevash [10] proved the Existence conjecture in general, based on the method of ‘Randomised algebraic constructions’.

In [7], we provide a new proof of the Existence conjecture based on the method of iterative absorption. In fact, our main theorem is considerably more general than this (as well as the results in [10]). Roughly speaking, our main result concerns  $K_q^{(r)}$ -decompositions of hypergraphs whose clique distribution fulfils certain regularity requirements. A ‘regularity boosting’ process frequently enables us to meet these requirements even if the clique distribution of the original hypergraph does not satisfy them. This enables us to strengthen the results in [10] as well as to derive a number of new results which go beyond the setting of quasirandom hypergraphs. In particular, in combination with this regularity boosting technique, our main result gives us a minimum degree version (see Theorem 1), a resilience version and effective bounds for a typicality version.

The method of iterative absorption was initially introduced in [10, 11] to find Hamilton decompositions of graphs. In the meantime it has been successfully applied to verify the Gyárfás-Lehel tree packing conjecture for bounded degree trees [9], as well as to find decompositions of dense graphs into a given graph  $F$  [2, 3, 6].

## 2. DESIGNS IN HYPERGRAPHS

We will study designs in a hypergraph setting. Here a *hypergraph*  $H$  is a pair  $(V, E)$ , where  $V$  is the vertex set and the edge set  $E$  is a set of subsets of  $V$ . We identify  $H$  with  $E$ . In particular, we let  $|H| := |E|$ . We say that  $H$  is an  $r$ -*graph* if every edge has size  $r$ . We let  $K_n^{(r)}$  denote the complete  $r$ -graph on  $n$  vertices.

Let  $H$  be some  $r$ -graph. A  $K_q^{(r)}$ -*decomposition* of  $H$  is a collection  $\mathcal{K}$  of copies of  $K_q^{(r)}$  in  $H$  such that every edge of  $H$  is contained in exactly one of these copies. More generally, a  $(q, r, \lambda)$ -*design* of  $H$  is a collection  $\mathcal{K}$  of distinct copies of  $K_q^{(r)}$  in  $H$  such that every edge of  $H$  is contained in exactly  $\lambda$  of these copies. Note that a  $(q, r, \lambda)$ -design of  $K_n^{(r)}$  is equivalent to an  $(n, q, r, \lambda)$ -design.

For a set  $S \subseteq V$  with  $0 \leq |S| \leq r$ , the  $(r - |S|)$ -graph  $H(S)$  has vertex set  $V \setminus S$  and contains all  $(r - |S|)$ -subsets of  $V \setminus S$  that together with  $S$  form an edge in  $H$ . ( $H(S)$  is often called the *link graph of  $S$* .) We say that  $H$  is  $(q, r, \lambda)$ -*divisible* if for every  $S \subseteq V$  with  $0 \leq |S| \leq r - 1$ , we have that  $\binom{q - |S|}{r - |S|}$  divides  $\lambda |H(S)|$ . Similarly to Section 1, this is a necessary condition for the existence of a  $(q, r, \lambda)$ -design of  $H$ . We say that  $H$  is  $K_q^{(r)}$ -*divisible* if  $H$  is  $(q, r, 1)$ -divisible.

We let  $\delta(H)$  denote the minimum  $(r - 1)$ -degree of an  $r$ -graph  $H$ , that is, the minimum value of  $|H(S)|$  over all  $S \subseteq V(H)$  of size  $r - 1$ . The following result guarantees designs not just for  $K_n^{(r)}$ , but also for  $r$ -graphs which are allowed to be far from complete in the sense that they only have large minimum degree.

**Theorem 1** (Minimum degree version, [7]). *For all  $q > r \geq 2$  and  $\lambda \in \mathbb{N}$ , there exists an  $n_0 \in \mathbb{N}$  such that the following holds for all  $n \geq n_0$ . Let*

$$c_{q,r}^\diamond := \frac{r!}{3 \cdot 14^r q^{2r}}.$$

*Suppose that  $G$  is an  $r$ -graph on  $n$  vertices with  $\delta(G) \geq (1 - c_{q,r}^\diamond)n$ . Then  $G$  has a  $(q, r, \lambda)$ -design if it is  $(q, r, \lambda)$ -divisible.*

The main result of [10] implies a weaker version where  $c_{q,r}^\diamond$  is replaced by some non-explicit  $\varepsilon \ll 1/q$ . Theorem 1 motivates the following very challenging problem regarding the decomposition threshold  $c_{q,r}$  of  $K_q^{(r)}$ .

**Problem 2** ([7]). *Determine the supremum  $c_{q,r}$  of all  $c \in [0, 1]$  with the following property: There exists  $n_0 \in \mathbb{N}$  such that for all  $n \geq n_0$ , every  $K_q^{(r)}$ -divisible  $r$ -graph on  $n$  vertices with  $\delta(G) \geq (1 - c)n$  has a  $K_q^{(r)}$ -decomposition.*

Theorem 1 implies that  $c_{q,r} \geq c_{q,r}^\diamond$ . It is not clear what the correct value should be. We note that for all  $r, q, n_0 \in \mathbb{N}$ , there exists an  $r$ -graph  $G_n$  on  $n \geq n_0$  vertices with  $\delta(G_n) \geq (1 - b_r \frac{\log q}{q^{r-1}})n$  such that  $G_n$  does not contain a single copy of  $K_q^{(r)}$ , where  $b_r > 0$  only depends on  $r$ .

We now consider the graph case  $r = 2$ . A famous conjecture by Nash-Williams [14] on the decomposition threshold of a triangle would imply that  $c_{3,2} = 3/4$ . Until recently, the best bound for the problem was by Gustavsson [8], who claimed that  $c_{q,2} \geq 10^{-37}q^{-94}$ . Iterated absorption methods have led to significant progress in this area. For instance, the results in [6] imply that  $c_{q,2} = c_{q,2}^*$ , where  $c_{q,r}^*$  denotes the fractional version of the decomposition threshold (the triangle case  $q = 3$  was already obtained in [2]). This in turn has resulted in significantly improved explicit bounds on  $c_{q,2}$ , via results on fractional decompositions obtained in [1, 5]. In particular, the results from [1, 6] imply that  $\frac{1}{10^4 q^{3/2}} \leq c_{q,2} \leq \frac{1}{q+1}$ , where the upper bound is conjectured to be the correct value. The results in [2, 6] make (implicit) use of Szemerédi's regularity lemma, whereas our proof avoids this, resulting in much more moderate requirements on  $n$ .

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## Some stories of high-dimensional permutations

NATI LINIAL

What is the higher-dimensional analog of a permutation? If we think of a permutation as given by a permutation matrix, then the following definition suggests itself: A  $d$ -dimensional permutation of order  $n$  is an  $n \times n \times \dots \times n = [n]^{d+1}$  array of zeros and ones in which every *line* contains a unique 1 entry. A line here is a set of entries of the form  $\{(x_1, \dots, x_{i-1}, y, x_{i+1}, \dots, x_{d+1}) \mid n \geq y \geq 1\}$  for some index  $d+1 \geq i \geq 1$  and some choice of  $x_j \in [n]$  for all  $j \neq i$ . It is easy to observe that a one-dimensional permutation is simply a permutation matrix and that a two-dimensional permutation is synonymous with an order- $n$  Latin square.

In this lecture I surveyed three aspects of this subject:

- How many  $d$ -dimensional permutations are there? Interpolating from Stirling’s formula and the estimate for the number of Latin squares, it is natural to conjecture that the number is:

$$\left( (1 + o(1)) \frac{n}{e^d} \right)^{n^d}.$$

In joint work with Luria [2] we proved this as an upper bound. A matching lower bound for dimensions 3 and above is still missing, though there is hope that recent advances in the study of combinatorial designs can help accomplish this.

- In joint work with Michael Simkin [3] we proved the following facts:

- Every  $d$ -dimensional permutation of order  $n$  contains a strongly monotone subsequence of length  $\Omega_d(\sqrt{n})$ . The dependence on  $n$  is sharp.
- In almost every  $d$ -dimensional permutation the length of the longest monotone subsequence is  $\Theta_d(n^{\frac{d-1}{d}})$ .

The analogous statements for weakly monotone subsequences remain unknown. Also the exact dependence of these bounds on the dimension has not been determined yet.

- In recent work with Luria [4] we seek high-dimensional permutations of low discrepancy. A box  $B \subseteq [n]^{d+1}$  is the cartesian product  $B = \prod_1^{d+1} A_i$  and its volume is defined as  $\prod |A_i|$ . We conjecture that permutations  $X$  exist such that for every box  $B$

$$||X \cap B| - \text{vol}(B)/n| \leq O(\sqrt{\text{vol}(B)}).$$

Moreover it is conjectured that similar and even stronger bounds hold for almost every  $d$ -dimensional permutation. We say that box  $B$  is empty if  $X \cap B = \emptyset$ . A special case of the above conjecture which is established in [4] is that permutations exist (or even for almost all permutations) where every empty box has volume  $O(n^2)$ . It is interesting to note that a theorem of Kedlaya [1] shows that the Latin square corresponding to every finite group has a substantially larger empty box of volume  $\Omega(n^{\frac{33}{14}})$ . This clearly suggests that completely new ideas will be needed in order to find good explicit constructions in this domain.

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### Integral homology of random simplicial complexes

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(joint work with Y. Peled)

The random 2-dimensional simplicial complex process  $\{Y_2(n, M) : 0 \leq M \leq \binom{n}{3}\}$  is a Markov chain which starts with a complete graph with vertex set  $[n] = \{1, 2, \dots, n\}$  and in each step a new 2-dimensional face, chosen uniformly at random, is added. In a similar way one can define the Markov process  $\{Y_2(n, p) : 0 \leq p \leq 1\}$ , where the stage of this process,  $Y(n, p)$ , is the simplicial complex with full 1-skeleton in which each 2-dimensional edge is present independently with probability  $p$ . This model of topological structures was introduced by Linial and Meshulam [4] who showed that the threshold for vanishing of the first homology

of  $Y_2(n, p)$  over  $\mathbb{F}_2$  is  $(2 + o(1)) \ln n/n$  and it is the same as the threshold for the property that each pair 1-dimensional face is contained in some 2-dimensional face of  $Y_2(n, p)$ . This result was generalized by Meshulam and Wallach [6] to higher dimensions and arbitrary fixed abelian groups. Recently Kahle and Pittel [2] proved the hitting time result of Linial-Meshulam's result, showing that whp in the random simplicial complex process the first homology over  $\mathbb{F}_2$  vanishes precisely in the moment when for the first time all pairs are covered by 2-dimensional faces.

For the case of  $\mathbb{Z}$ , which is not the field, so its homology group can have a non-trivial torsion factor, the threshold function was determined only up to a constant factor by Hoffman, Kahle and Paquette [1] who showed that whp  $H_1(Y_2(n, p))$  vanishes provided  $p \geq 160 \ln n/n$ . We determine the threshold for vanishing of the first homology over  $\mathbb{Z}$  proving the following hitting time statement.

**Theorem 1.** *In the random 2-dimensional complex process whp the hitting time for the property that  $H_1(Y_2(n, M); \mathbb{Z}) = 0$  is the same as for the property that each pair of vertices belong to some 2-dim face of  $Y_2(n, M)$ .*

Our argument has two main ingredients, algebraic and combinatorial. For a 2-dim simplicial complex  $Y$  with vertex set  $[n]$  and the full 1-dim skeleton we define the shadow of  $Y$  over a field  $\mathbb{F}$  as

$$\text{SH}(Y; \mathbb{F}) = \left\{ f \in \binom{[n]}{3} : H_1(Y; \mathbb{F}) = H_1(Y; \mathbb{F} \cup f) \right\}.$$

Thus, the shadow is the set of all potential 2-dim faces which, added to  $Y$ , would not affect its first homology group. It is easy to see that this group vanishes, i.e.  $H_1(Y; \mathbb{F}) = 0$ , if and only if the shadow is full, i.e.  $|\text{SH}(Y; \mathbb{F})| = \binom{[n]}{3}$ . Moreover, the following result of Kalai [3] states that the first homology over  $\mathbb{Z}$  vanishes when it vanishes over all fields which are not too large.

**Lemma 2.** *Let  $Y$  be a 2-dim simplicial complex on  $n$  vertices. If  $H_1(Y; \mathbb{F}) = 0$  for every field  $\mathbb{F}$  such that*

$$|\mathbb{F}| \leq \sqrt{3}^{\binom{n-1}{2}} \leq \exp(-n^2),$$

*then also  $H_1(Y; \mathbb{Z}) = 0$ .*

It is not true that for a given field  $\mathbb{F}$  the probability that  $H_1(Y_2(n, p); \mathbb{F}) = 0$ , which is equivalent to  $|\text{SH}(Y_2(n, p); \mathbb{F})| = \binom{[n]}{3}$ , tends to 0 faster than  $\exp(-n^2)$  for  $p \sim 2 \log n/n$  which is close to the threshold we are interested in. However, the following, slightly weaker, result holds.

**Lemma 3.** *If  $p \geq \log n/n$  then*

$$\Pr \left( |\text{SH}(Y_2(n, p); \mathbb{F})| \geq \binom{[n]}{3} - \frac{n^3}{\ln \ln n} \right) = \exp(-2n^2).$$

Moreover, we can supplement the above algebraic result by a combinatorial one. We say that a 2-dim simplicial complex  $Y$  on  $n$  vertices has property  $\mathcal{B}$  if for each field  $\mathbb{F}$  such that

$$|\text{SH}(Y_2(n, p); \mathbb{F})| \geq \binom{[n]}{3} - \frac{n^3}{\ln \ln n},$$

we have  $|\text{SH}(Y_2(n, p); \mathbb{F})| = \binom{[n]}{3}$ . Then, clearly, Theorem 1 is an immediate consequence of Lemmata 2, 3, and the following result.

**Lemma 4.** *Whp the hitting time for the property  $\mathcal{B}$  is the same as the hitting time for the property that each pair of vertices is covered by at least one 2-dim face.*

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## High Dimensional Expansion

ROY MESHULAM

(joint work with A. Lubotzky)

Expander graphs have been a focus of intensive research in the last four decades, with numerous applications throughout mathematics and theoretical computer science (see [2, 4]). In view of the ubiquity of expander graphs, there a recent growing interest in high dimensional notions of expansion. The  $k$ -dimensional version of the graphical Cheeger constant, called "coboundary expansion", came up independently in the work of Linial, Meshulam and Wallach [3, 6] on homological connectivity of random complexes and in Gromov's remarkable work [1] on the topological overlap property.

We proceed with the formal definitions. Let  $X$  be a finite  $n$ -dimensional pure simplicial complex. For  $k \geq 0$ , let  $X^{(k)}$  denote the  $k$ -dimensional skeleton of  $X$  and let  $X(k)$  be the family of  $k$ -dimensional faces of  $X$ ,  $f_k(X) = |X(k)|$ . Define a positive weight function  $w = w_X$  on the simplices of  $X$  as follows. For  $\sigma \in X(k)$ , let  $c(\sigma) = |\{\eta \in X(n) : \sigma \subset \eta\}|$  and let

$$w(\sigma) = \frac{c(\sigma)}{\binom{n+1}{k+1} f_n(X)}.$$

Note that  $\sum_{\sigma \in X(k)} w(\sigma) = 1$ . Let  $C^k(X)$  denote the space of  $\mathbb{F}_2$ -valued  $k$ -cochains of  $X$  with the coboundary map  $d_k : C^k \rightarrow C^{k+1}$ . The space of  $k$ -coboundaries of  $X$  is  $B_k(X) = d_{k-1}C^{k-1}(X)$ . For  $\phi \in C^k(X)$ , let  $[\phi]$  denote the image of  $\phi$  in  $C^k(X)/B^k(X)$ . Let

$$\|\phi\| = \sum_{\{\sigma \in X(k) : \phi(\sigma) \neq 0\}} w(\sigma)$$

and

$$\|[\phi]\| = \min\{\|\phi + d_{k-1}\psi\| : \psi \in C^{k-1}(X)\}.$$

**Definition 1.** The  $k$ -th coboundary expansion constant of  $X$  is

$$h_k(X) = \min\left\{\frac{\|d_k\phi\|}{\|[\phi]\|} : \phi \in C^k(X) - B^k(X)\right\}.$$

Clearly,  $h_k(X) > 0$  if and only if  $\tilde{H}_k(X; \mathbb{F}_2) = 0$ . Indeed,  $h_k(X)$  can be thought of as a measure of the resiliency of the property of having trivial  $k$ -dimensional  $\mathbb{F}_2$ -cohomology, under deletion of  $(k+1)$ -simplices from  $X$ .

As in the classical 1-dimensional case, one basic question concerns the existence of bounded degree higher dimensional expanders. Let  $D_k(X)$  be the maximum number of  $(k+1)$ -dimensional faces of  $X$  containing a common  $k$ -dimensional face. A complex  $X$  is a  $(k, d, \epsilon)$ -expander if

$$D_{k-1}(X) \leq d \text{ and } h_{k-1}(X) \geq \epsilon.$$

In joint work with Lubotzky [5] we establish the existence of an infinite family of  $(2, d, \epsilon)$ -expanders for some fixed  $d$  and  $\epsilon > 0$ . Our proof is probabilistic and depends on the following new model, based on Latin squares, of random 2-dimensional simplicial complexes with bounded edge degrees. Let  $\mathbb{S}_n$  be the symmetric group on  $[n] = \{1, \dots, n\}$ . A  $k$ -tuple  $(\pi_1, \dots, \pi_k) \in \mathbb{S}_n^k$  is *legal* if  $\pi_i \pi_j^{-1}$  is fixed point free for all  $1 \leq i < j \leq k$ . A *Latin Square* of order  $n$  is a legal  $n$ -tuple of permutations  $L = (\pi_1, \dots, \pi_n) \in \mathbb{S}_n^n$ . Let  $\mathcal{L}_n$  denote the uniform probability space of all Latin squares of order  $n$ . Let  $V_1 = \{a_i\}_{i=1}^n, V_2 = \{b_i\}_{i=1}^n, V_3 = \{c_i\}_{i=1}^n$  be three disjoint sets. The complete 3-partite complex  $T_n = V_1 * V_2 * V_3$  consists of all  $\sigma \subset V = V_1 \cup V_2 \cup V_3$  such that  $|\sigma \cap V_i| \leq 1$  for  $1 \leq i \leq 3$ . An  $L = (\pi_1, \dots, \pi_n) \in \mathcal{L}_n$  determines a subcomplex  $T_n^{(1)} \subset Y(L) \subset T_n$  whose 2-simplices are  $[a_i, b_j, c_{\pi_i(j)}]$  where  $1 \leq i, j \leq n$ . In particular  $Y(L)$  has  $3n^2$  edges and every edge lies in a unique 2-simplex, i.e.  $D_1(Y(L)) = 1$ . Fix  $d$  and regard  $\mathcal{L}_n^d$  as a uniform probability space. For  $\underline{L}^d = (L_1, \dots, L_d) \in \mathcal{L}_n^d$ , let  $Y(\underline{L}^d) = \cup_{i=1}^d Y(L_i)$ . Note that  $D_1(Y(\underline{L}^d)) \leq d$ . Let  $\mathcal{Y}(n, d)$  denote the probability space of all complexes  $Y(\underline{L}^d)$  with measure induced from  $\mathcal{L}_n^d$ .

**Theorem 2** ([5]). *There exist  $\epsilon > 0, d < \infty$  such that*

$$\lim_{n \rightarrow \infty} \Pr[Y \in \mathcal{Y}(n, d) : h_1(Y) > \epsilon] = 1.$$

In [5] it is shown that one may take  $\epsilon = 10^{-11}$  and  $d = 10^{11}$ . It would be of some interest to improve these constants. In particular, we conjecture that Theorem 2 holds with  $d = 4$  and an appropriate  $\epsilon > 0$ .

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## The sharp threshold for making squares

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(joint work with P. Balister and B. Bollobás)

Many of the fastest known algorithms for factoring large integers rely on finding subsequences of randomly generated sequences of integers whose product is a perfect square. Examples include Dixon’s algorithm [2], the quadratic sieve [5], and the number field sieve (see, e.g., [4]); an excellent elementary introduction to the area is given by Pomerance [7]. In each of these algorithms one generates a sequence of congruences of the form

$$a_i \equiv b_i^2 \pmod{n}, \quad i = 1, 2, \dots$$

and then one aims to find subsets of the  $a_i$  whose product is a perfect square, say  $\prod_{i \in I} a_i = X^2$ , so then one has  $X^2 \equiv Y^2 \pmod{n}$  with  $Y = \prod_{i \in I} b_i$ . If one is lucky then  $X \not\equiv \pm Y \pmod{n}$ , in which case one can generate non-trivial factors of  $n$  as  $\gcd(X \pm Y, n)$ .

Motivated by this, Pomerance [6] posed in 1994 the problem of determining the threshold for the event that a sequence of random numbers  $a_1, a_2, \dots$ , each chosen independently and uniformly at random from the set  $\{1, \dots, x\}$ , contains a subset whose product is a square. To be precise, given  $x \in \mathbb{N}$ , let us define a random variable  $T(x)$  by setting

$$T(x) := \min \left\{ N \in \mathbb{N} : \prod_{i \in I} a_i \text{ is a perfect square for some } I \subseteq \{1, \dots, N\}, I \neq \emptyset \right\}.$$

Pomerance [8] proved that

$$(1) \quad T(x) = \exp \left( (1 + o(1)) \sqrt{2 \log x \log \log x} \right)$$

with high probability, and conjectured that  $T(x)$  in fact exhibits a sharp threshold. Pomerance’s conjecture remained wide open for over ten years, until a fundamental breakthrough was obtained by Croot, Granville, Pemanle and Tetali [1], who used a combination of techniques from number theory, probability theory and combinatorics to dramatically improve both the upper bound of Schroepfel and the lower bound of Pomerance [8], determining the location of the threshold to within a factor of  $4/\pi$ . To be precise, they proved that

$$(2) \quad \frac{\pi}{4} (e^{-\gamma} - \varepsilon) J(x) \leq T(x) \leq (e^{-\gamma} + \varepsilon) J(x)$$

with high probability, where<sup>1</sup>

$$J(x) = \min_{2 \leq y \leq x} \frac{\pi(y)x}{\Psi(x, y)},$$

and  $\gamma \approx 0.5772$  is the Euler–Mascheroni constant.

Croot, Granville, Pemanle and Tetali [1] conjectured that the upper bound in (2) is sharp. Our main theorem confirms their conjecture.

**Theorem 1.** *For all  $\varepsilon > 0$  we have with high probability*

$$(e^{-\gamma} - \varepsilon)J(x) \leq T(x) \leq (e^{-\gamma} + \varepsilon)J(x).$$

We remark that, in addition to proving the lower bound, we also obtain a new proof of the upper bound, quite different from that given in [1], as a simple consequence of our method. (We thank Jonathan Lee for pointing out to us a particularly simple and natural way of deducing this from our proof.)

Croot, Granville, Pemanle and Tetali [1] proved the lower bound in (2) via the first moment method, by counting the expected number of non-empty subsets  $I \subseteq \{1, \dots, N\}$  such that  $\prod_{i \in I} a_i$  is a square. Unfortunately, there exists a constant  $c > 0$  such that this expected number blows up when  $N \geq (e^{-\gamma} - c)J(x)$ , which implies that a sharp lower bound cannot be obtained by this method.

Instead, we use the method of self-correcting martingales to follow a random process which removes numbers from the set  $\{a_1, \dots, a_N\}$  as soon as we can guarantee that they are not contained in a subset whose product is a square. This is in one sense very simple: a number  $a_i$  can be discarded if there exists a prime for which  $a_i$  is the only remaining number that it divides an odd number of times. However, this apparent simplicity is deceiving, and the technical challenges involved in tracking the process are substantial. For example, we need to reveal the random numbers  $\{a_1, \dots, a_N\}$  gradually (roughly speaking, prime by prime, in decreasing order), and the amount of information we are allowed to reveal at each step is rather delicate. Moreover, the removal of a number can trigger an avalanche, causing many other numbers to be removed in the same step. Fortunately, however, self-correction (which is partly a result of these avalanches) allows us to show that the process remains subcritical (in a certain natural sense), which in turn allows us to control the upper tail of the size of the avalanches. In order to do so, we need good control over the dependence between the prime factors of the numbers  $\{a_1, \dots, a_N\}$  conditioned on the information we have observed so far; to obtain such control, we need some number-theoretic estimates, most of which follow from the fundamental work of Hildebrand and Tenenbaum [3] on smooth numbers.

Using the method described above, we are able to show that, with high probability, the number  $m(z)$  of ‘active’ numbers (i.e., elements of  $\{a_1, \dots, a_N\}$  that

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<sup>1</sup>As usual,  $\pi(y)$  denotes the number of primes less than or equal to  $y$ , and  $\Psi(x, y)$  denotes the number of  $y$ -smooth integers in  $\{1, \dots, x\}$ , that is, the number of integers with no prime factor strictly greater than  $y$ .

we have not yet discarded) when we reveal the  $z$ th smallest prime, tracks the (deterministic) solution of the equation

$$(3) \quad m(z) \exp \left( - \int_0^{m(z)/z} \frac{1 - e^{-t}}{t} dt \right) = \frac{\Psi(x, q_z)}{x} \cdot N$$

until there are very few numbers remaining (roughly  $e^{-C\sqrt{\log y_0}} y_0$  for some large constant  $C$ ), at which point we can apply the first moment calculation from [1]. The heuristic reason for the appearance of the formula (3) is that the number of  $y$ -smooth numbers is concentrated (e.g., by Chernoff's inequality) for all reasonably large values of  $y$ , since the  $a_i$  are chosen independently, and is equal to the number of isolated vertices in a certain natural (random) hypergraph. We show that the number  $s_k(z)$  of edges of size  $k$  is self-correcting, and satisfies<sup>2</sup>

$$s_k(z) \in \left( 1 \pm \frac{\varepsilon^k \cdot k!}{\Lambda(z)} \right) \frac{m(z)}{k(k-1)} e^{-m(z)/z} \sum_{\ell=k-1}^{\infty} \frac{1}{\ell!} \left( \frac{m(z)}{z} \right)^\ell$$

in the 'critical range'  $e^{O(\sqrt{\log y_0})} y_0$ , and that these edges are chosen *almost* independently, so in particular the degree distribution of the random hypergraph is close to Poisson. Equating these two estimates for the number of isolated vertices gives (3).

Finally, in order to prove the upper bound in Theorem 1, we observe that the ratio of the number of active numbers and active primes (that is, primes which could still appear in some square) approaches 1 when  $z = \pi(y_0)$  and  $N/J(x)$  approaches  $e^{-\gamma}$ . Thus, by adding just a few extra  $y_0$ -smooth numbers, we can apply a simple linear algebra approach due to Schroeppel in order to obtain a subset whose product is a square, as required.

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<sup>2</sup>Here  $\Lambda(z) = J(x)\Psi(x, q_z)/xz$ , so  $\Lambda(z) \leq 1$ . It follows from the work of Hildebrand and Tenenbaum [3] that moreover  $\Lambda(z) = e^{-c^2} + o(1)$  if  $z = \pi(y_0) \exp(c\sqrt{\log y_0})$ .

## Higher-order terms in Janson's inequality

FRANK MOUSSET

(joint work with A. Noever, K. Panagiotou, and W. Samotij)

By an *increasing family* we will mean a triple  $(\Omega, \Gamma, p)$  where  $\Omega$  is a finite set,  $\Gamma = \{\gamma_1, \dots, \gamma_N\}$  is a collection of non-empty subsets of  $\Omega$ , and  $p$  is a map  $\Omega \rightarrow (0, 1)$ . Given such a triple  $(\Omega, \Gamma, p)$ , we write  $\Omega_p$  for the random subset of  $\Omega$  that contains each  $\omega \in \Omega$  with probability  $p(\omega)$ , independently of the others, and for  $i \in [N]$  we set  $X_i = \mathbf{1}_{\gamma_i \subseteq \Omega_p}$  and  $X = X_1 + \dots + X_N$ . Our goal is to prove bounds on the probability that  $X = 0$ . Probabilities of this type arise commonly in probabilistic combinatorics. The classical result here is Janson's inequality (where the lower bound comes from the Harris inequality):

**Theorem 1.** *For every increasing family  $(\Omega, \Gamma, p)$ , we have*

$$(1) \quad \prod_{i \in [N]} (1 - \mathbf{E}[X_i]) \leq \Pr[X = 0] \leq e^{-\mu + \Delta},$$

where

$$\mu = \mathbf{E}[X] \quad \text{and} \quad \Delta = \sum_{i < j, \gamma_i \cap \gamma_j \neq \emptyset} \mathbf{E}[X_i X_j].$$

Typically, we will apply Theorem 1 in a situation where  $\sum_{i \in [n]} \mathbf{E}[X_i]^2 = o(1)$ . In this case, the lower bound is asymptotic to  $e^{-\mu}$ . Hence, unless  $\Delta = o(1)$ , the upper and lower bounds in (1) may be apart by an arbitrarily large factor. The reason is that the lower bound only looks at the first-order contribution  $\mu$ , whereas the upper bound also includes the second-order contribution  $\Delta$ , which may be non-negligible. To tighten (1), it is natural to look at upper and lower bounds that include higher-order contributions.

Before we can state such a result, we have to make some definitions. Let  $A = \{X_1, \dots, X_m\}$  be a set of real-valued random variables on the same finite probability space. The *joint moment* of the elements in  $A$  is defined as

$$(2) \quad \Delta(A) = \mathbf{E}[X_1 \cdots X_m].$$

The *joint cumulant* may be defined as

$$(3) \quad \kappa(A) = \sum_{\pi \in \Pi(A)} (|\pi| - 1)! (-1)^{|\pi| - 1} \prod_{P \in \pi} \Delta(P),$$

where we write  $\Pi(A)$  for the set of all partitions of  $A$  into non-empty sets. We also define the quantity

$$(4) \quad \delta(A) = \Delta(A) \cdot \max \{ \mathbf{E}[X_i] \mid i \in [m] \}$$

that will play an important role below. We define the *dependency graph*  $G_\Gamma$  on the vertex set  $[N]$ , where  $\{i, j\}$  is an edge if and only if  $\gamma_i \cap \gamma_j \neq \emptyset$ . Moreover, we write  $\mathcal{C}_i$  for the collection of all  $i$ -element subsets  $A \subseteq [N]$  such that the induced

subgraph  $G_\Gamma[A]$  is connected. The elements of  $\mathcal{C}_i$  are called *clusters of size  $i$* . For  $i \in \mathbf{N}$  we then define

$$\Delta_i = \sum_{A \in \mathcal{C}_i} \Delta(X_i | i \in A), \quad \delta_i = \sum_{A \in \mathcal{C}_i} \delta(X_i | i \in A), \quad \kappa_i = \sum_{A \in \mathcal{C}_i} \kappa(X_i | i \in A).$$

With these definitions, our main result reads as follows.

**Theorem 2.** *Let  $(\Omega, \Gamma, p)$  be an increasing family. For every set  $A \subseteq [N]$ , denote by  $N(A)$  the proper neighbourhood of  $A$  in the dependency graph  $G_\Gamma$ , and let*

$$\rho_A = \sum_{i \in N(A)} \mathbf{E}[X_i].$$

*Let  $k \in \mathbf{N}$ . Assume there is some  $\varepsilon > 0$  such that  $\mathbf{E}[X_i] \leq 1 - \varepsilon$  for all  $i \in [N]$  and  $\rho_A \leq 1 - \varepsilon$  for all  $A \subseteq [N]$  of size at most  $k + 1$ . Then there exists  $K = K(k, \varepsilon)$  such that*

$$(5) \quad \left| \log \Pr[X = 0] + \kappa_1 - \kappa_2 + \kappa_3 - \cdots \pm \kappa_k \right| \leq K(\delta_{1,K} + \Delta_{k+1,K}),$$

where

$$\delta_{1,K} = \sum_{i=1}^K \delta_i \quad \text{and} \quad \Delta_{k+1,K} = \sum_{i=k+1}^K \Delta_i.$$

For a better understanding of the error terms, we note that if

$$\max \{ \mathbf{E}[X_i] \mid i \in [N] \} \rightarrow 0,$$

which is commonly the case, then we have  $\Delta_i \sim \kappa_i$  for all  $i$ . The error term  $\Delta_{k+1,K}$  morally corresponds to the error term  $\Delta$  in Janson's inequality, and often its magnitude is proportional to  $\kappa_{k+1}$ . In these situations, this error of course cannot be avoided. The error  $\delta_{1,K}$  comes from replacing products by exponentials; an error of this type is also necessary. While Theorem 2 does not formally imply Janson's inequality, in many situations it more powerful, as shown by the following examples.

**Example 3.** Let  $\Omega = \{xy \mid 1 \leq x < y \leq n\}$  be the edge set of the complete graph with  $n$  vertices,  $p(e) = p$  for all  $e \in \Omega$ , and  $\Gamma$  contain all triplets of edges that form a triangle, that is,

$$\Gamma = \{ \{xy, yz, xz\} \mid 1 \leq x < y < z \leq n \}.$$

Then  $X$  is the number of triangles in the random graph  $G_{n,p}$ . From Janson's inequality (1) it easily follows that for  $p = o(n^{-1/2})$ ,

$$\mathbf{P}[X = 0] \sim \exp \left( -\frac{n^3 p^3}{6} + O(n^4 p^5) \right) = \exp(-\kappa_1 + O(\kappa_2)).$$

Applying Theorem 2 we can show much better bounds, namely, that for all  $p = o(n^{-1/2})$  and all  $k \in \mathbf{N}$ , we have

$$(6) \quad \mathbf{P}[X = 0] \sim \exp(-\kappa_1 + \kappa_2 - \cdots \pm \kappa_k + O(\kappa_{k+1})).$$

Here  $p = o(n^{-1/2})$  is essentially the range where the sequence  $\kappa_1, \kappa_2, \dots$  is decreasing, i.e., the same statement holds trivially if  $p = \omega(n^{-1/2})$ . For  $i \leq 4$  the

values of  $\kappa_i$  are not too hard to compute, and from (6) it follows for example that for  $p = o(n^{-7/11})$ , we have

$$\mathbf{P}[X = 0] \sim \exp\left(-\frac{n^3 p^3}{6} + \frac{n^4 p^5}{4} - \frac{7n^5 p^7}{12} + \frac{n^2 p^3}{2} - \frac{3n^4 p^6}{8} + \frac{27n^6 p^9}{16}\right).$$

This result was previously obtained by Stark and Wormald [1], extending an earlier result of Wormald [2].

**Example 4.** Let  $\Omega = [n]$ , let  $p(\omega) = p$  for all  $\omega \in \Omega$ , and let  $\Gamma$  be the set of all  $r$ -term arithmetic progressions in  $\Omega$ . Note that  $X$  counts the number of such arithmetic progressions contained in the random binomial subset  $[n]_p$ . Applying Janson's inequality (1) we can obtain that for  $p = o(n^{-1/r})$ , we have

$$\mathbf{P}[X = 0] \sim \exp(-\kappa_1 + O(\kappa_2)),$$

where one has  $\kappa_1 \asymp n^2 p^r$  and  $\kappa_2 \asymp n^3 p^{2r-1}$ . Thus we see that this statement is meaningless already for  $p = \omega(n^{-1/(r-1)})$ , since then  $\kappa_2 \gg \kappa_1$ . Using Theorem 2 we can show that for all  $p = o(n^{-1/(r-1)})$  and all  $k \in \mathbf{N}$  we have

$$(7) \quad \mathbf{P}[X = 0] \sim \exp(-\kappa_1 + \kappa_2 - \dots \pm \kappa_k + O(\kappa_{k+1})),$$

which is strictly better than the bounds given by Janson's inequality in this range. Again,  $p = o(n^{-1/(r-1)})$  is the range where the sequence  $\kappa_1, \kappa_2, \dots$  is decreasing. For example, performing the calculations for  $r = 3$  and  $k = 2$  one obtains that for  $p = o(n^{-4/7})$ ,

$$\mathbf{P}[[n]_p \text{ is 3-AP-free}] \sim \exp\left(-\frac{n^2 p^3}{4} + \frac{17n^3 p^5}{24}\right).$$

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### Estimating the rectilinear crossing number of dense graphs

JÁNOS PACH

(joint work with J. Fox and A. Suk)

A *straight-line* drawing of a graph  $G$  is a mapping which assigns to each vertex a point in the plane and to each edge a straight-line segment connecting the corresponding two points. The *rectilinear crossing number* of a graph  $G$ ,  $\overline{\text{cr}}(G)$ , is the minimum number of crossing edges in any straight-line drawing of  $G$ . Determining or estimating  $\overline{\text{cr}}(G)$  appears to be a difficult problem, and deciding if  $\overline{\text{cr}}(G) \leq k$  is known to be NP-hard. In fact, the asymptotic behavior of  $\overline{\text{cr}}(K_n)$  is still unknown.

We present a deterministic  $n^{2+o(1)}$ -time algorithm that finds a straight-line drawing of any  $n$ -vertex graph  $G$  with  $\overline{\text{cr}}(G) + o(n^4)$  crossing edges. Together with the well-known Crossing Lemma due to Ajtai et al. [1] and Leighton, this result

implies that for any dense  $n$ -vertex graph  $G$ , one can efficiently find a straight-line drawing of  $G$  with  $(1 + o(1))\overline{\text{cr}}(G)$  crossing edges. The proof is based on a geometric partition result [3] and on an algorithmic version of the Frieze-Kannan regularity lemma [4], [2].

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### Long-range order in random colorings and graph homomorphisms

RON PELED

(joint work with Y. Spinka)

A (graph) homomorphism from a graph  $G$  to a graph  $H$  is a function  $f$  from the vertex set of  $G$  to that of  $H$ , which preserves edges, i.e.,  $f(u)$  and  $f(v)$  are adjacent in  $H$  whenever  $u$  and  $v$  are adjacent in  $G$ . We investigate the typical properties of a uniformly chosen homomorphism from an induced finite subgraph of  $\mathbb{Z}^d$  to an arbitrary finite graph  $H$ . We do not require  $H$  to be a simple graph, i.e., loops and multiple edges are allowed (though multiple edges make no difference to the model). We show that for a large class of graphs  $H$ , when the dimension  $d$  is sufficiently high, the random homomorphism exhibits a type of long-range order. The class of graphs for which the results apply includes several well-known models as special cases, amongst which are: proper  $q$ -colorings (obtained when  $H$  is a clique on  $q$  vertices), independent sets (also called the hard-core model; obtained when  $H$  is an edge with a loop at one endpoint) and the Widom-Rowlinson model (obtained when  $H$  is a path on three looped vertices). The results extend to non-uniform distributions, in which  $H$  is equipped with vertex and edge weights,  $(\lambda_a)_{a \in V(H)}$ ,  $(\lambda_{\{a,b\}})_{\{a,b\} \in E(H)}$ , and the probability of choosing a particular homomorphism  $f$  is proportional to

$$\prod_{u \in V(G)} \lambda_{f(u)} \prod_{\{u,v\} \in E(G)} \lambda_{\{f(u),f(v)\}},$$

but we do not formulate these extensions here.

In order to formulate our results, we require some definitions. A *phase* of the graph  $H$  is a pair  $(A, B)$  of subsets of  $V(H)$  such that  $a$  and  $b$  are adjacent for all  $a \in A$  and  $b \in B$ . A phase is called *dominant* if it maximizes the quantity  $|A| \cdot |B|$ . We say that two phases  $(A, B)$  and  $(A', B')$  are *direct-equivalent* if there is an

automorphism of the graph  $H$  taking  $A$  to  $A'$  and  $B$  to  $B'$ , and we say that they are *equivalent* if  $(A, B)$  is direct-equivalent to either  $(A', B')$  or  $(B', A')$ . Our results apply whenever all dominant phases are equivalent. Let us fix a bipartition of  $\mathbb{Z}^d$  by saying that a vertex is *even* (*odd*) if its graph-distance from the origin is even (odd), that is, a vertex has the parity of the sum of its coordinates. For a positive integer  $n$ , let  $\Lambda_n^d$  denote the subgraph of  $\mathbb{Z}^d$  induced by the cube  $[-n, n]^d$  and let  $\partial\Lambda_n^d := \Lambda_n^d \setminus \Lambda_{n-1}^d$  denote the vertex boundary of  $\Lambda_n^d$ . Given a homomorphism  $f$  from  $\Lambda_n^d$  to  $H$ , we say that a vertex  $v \in \Lambda_n^d$  is *in the  $(A, B)$ -phase* if

$$v \text{ is even and } f(v) \in A \quad \text{or} \quad v \text{ is odd and } f(v) \in B.$$

We also say that a set of vertices is in the  $(A, B)$ -phase if all its elements are such.

**Theorem 1.** *Let  $H$  be a finite graph in which all dominant phases are equivalent. For any  $\epsilon > 0$  there exists  $d_0$  such that for any dimension  $d \geq d_0$  and any  $n \geq 1$ , the following holds. Suppose that  $f$  is a uniformly sampled homomorphism from  $\Lambda_n^d$  to  $H$ . Then, for any dominant phase  $P$  and any vertex  $v \in \Lambda_n^d$ , we have*

$$\Pr(v \text{ is in the } P\text{-phase} \mid \partial\Lambda_n^d \text{ is in the } P\text{-phase}) \geq 1 - \epsilon.$$

The theorem states that a random homomorphism in high dimensions exhibits a strong type of long-range order in which, subject to  $(A, B)$ -boundary conditions, a chessboard-like  $(A, B)$ -pattern emerges within the box  $\Lambda_n^d$ , where any even vertex is likely to take a value in  $A$  and any odd vertex a value in  $B$ . Hence, any dominant phase defines ‘‘persistent’’ boundary conditions.

An interesting consequence from the viewpoint of statistical mechanics is the existence of multiple Gibbs states in such models (whenever  $(A, A)$  is not the unique dominant phase). Theorem 1 admits several extensions beyond the weighted version mentioned above. These include a quantitative dependence of  $\epsilon$  and  $d_0$  on  $H$ , and a version in which  $\mathbb{Z}^d$  is replaced by a ‘thickened’ version of  $\mathbb{Z}^2$ , namely  $\mathbb{Z}^2 \times \{0, 1\}^d$  (in a sense, the ‘global dimension’ of this graph is 2 and its ‘local dimension’ is  $d + 2$ ). We do not discuss these extensions further here.

A result with similar features on the hypercube graph  $G = \{0, 1\}^d$  was proved earlier by Engbers–Galvin [4]. Related counting results (in more general settings) consistent with Theorem 1 include a calculation by Meyerovitch–Pavlov [12] of the limiting entropy constant as the dimension tends to infinity and an estimate by Galvin–Tetali [8] on the number of homomorphisms from the the torus graph to an arbitrary finite graph (extending the entropy method of Kahn [9] who used it to count the number of independent sets in bipartite regular graphs, proving a conjecture of Alon [1]).

Let us briefly describe the result in the context of the three well-known models mentioned above.

**Proper colorings.** Let  $H = K_q$  be the complete graph on  $q \geq 2$  vertices. Then a homomorphism from  $G$  to  $H$  is precisely a *proper  $q$ -coloring* of  $G$ , i.e., a function  $f: V(G) \rightarrow \{1, \dots, q\}$  such that  $f(u) \neq f(v)$  when  $u$  and  $v$  are adjacent in  $G$ . In this case, the dominant phases are all equipartitions of  $[q] := \{1, \dots, q\}$  into two sets, i.e., pairs  $(A, B)$  of disjoint subsets of  $[q]$  such that  $|A|, |B| \geq \lfloor q/2 \rfloor$ .

In particular, Theorem 1 shows that when the dimension is sufficiently high as a function of  $q$ , any such equipartition defines persistent boundary conditions. This is a trivial fact for  $q = 2$ . It was previously known for  $q = 3$  where it is due, independently, to Peled [13] and to Galvin–Kahn–Randall–Sorkin [7], with an extension to positive temperature (the weighted case) by Feldheim–Spinka [5] who proved the Kotecký conjecture [10]. The result here is novel for  $q \geq 4$ . We remark that the Dobrushin uniqueness condition [2] implies that when  $q$  is sufficiently large as a function of the dimension ( $q > 4d$  suffices), the model ceases to exhibit long-range order.

**Independent sets.** Let  $H$  be the graph with vertex set  $\{0, 1\}$  and edge set  $\{\{0\}, \{0, 1\}\}$ , i.e., a single edge with a loop at one endpoint. Then a homomorphism from  $G$  to  $H$  can be identified with an *independent set* in  $G$ , i.e., a subset of vertices  $I$  which contains no two adjacent vertices. In this setting it is common to consider a more general distribution, called the hard-core distribution, in which an independent set  $I$  is chosen with probability proportional to  $\lambda^{|I|}$ , where  $\lambda > 0$  is a parameter called the fugacity. In this case, there are two dominant phases,  $(\{0\}, \{0, 1\})$  and  $(\{0, 1\}, \{0\})$ , and an extension of Theorem 1 to the weighted case shows that when the dimension is sufficiently high as a function of the fugacity  $\lambda$ , there are two persistent boundary conditions, one in which  $I$  consists mostly of even vertices and one in which it consists mostly of odd vertices. The fact that this is the case for sufficiently large fugacity as a function of the dimension  $d \geq 2$  goes back to Dobrushin [3]. In fact, our results show that the minimal fugacity for which this behavior occurs tends to zero as the dimension tends to infinity. This result was previously known and is due to Galvin–Kahn [6], with a quantitative improvement by Peled–Samotij [14]. As in the case of proper colorings, the Dobrushin uniqueness condition [2] implies that when  $\lambda$  is sufficiently small as a function of the dimension ( $\lambda \leq 1/2d$  suffices), the model ceases to exhibit long-range order.

**The Widom–Rowlinson model.** Let  $H$  be the graph with vertex set  $\{-1, 0, 1\}$  and edge set  $\{\{0\}, \{\pm 1\}, \{0, \pm 1\}\}$ , i.e., a path on three looped vertices. Then one may regard a homomorphism from  $G$  to  $H$  as describing the territory occupied by two competing species (one represented by “1” and the other by “-1”) which cannot be adjacent to one another. In this case, there are two dominant phases,  $(\{0, 1\}, \{0, 1\})$  and  $(\{-1, 0\}, \{-1, 0\})$ , and Theorem 1 shows that when the dimension is sufficiently high, there are two persistent boundary conditions, one in which the “1” species is predominant and the other in which “-1” is predominant. Such a result was previously known only in the weighted case, by Lebowitz–Gallavotti [11], when the presence of “1” and “-1” in the configuration is encouraged by sampling configurations with probability proportional to  $\lambda$  raised to the number of “1” and “-1”, and  $\lambda$  is taken sufficiently high as a function of the dimension.

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## An occupancy approach to bounding graph polynomials

WILL PERKINS

(joint work with E. Davies, M. Jenssen, and B. Roberts)

Many graph polynomials (the independence polynomial, matching polynomial, and indirectly, the Tutte and chromatic polynomials) can be viewed as *partition functions* of statistical physics models. We present a new method for proving extremal results for graph polynomials over classes of bounded degree graphs using the perspective of statistical physics.

The hard-core model at fugacity  $\lambda$  on a graph  $G$  is a random independent set  $I$  drawn according to the distribution

$$\mathbb{P}(I) = \frac{\lambda^{|I|}}{Z_G(\lambda)}.$$

The function  $Z_G(\lambda) = \sum_I \lambda^{|I|}$  is the partition function of the hard-core model, or in graph theory, the independence polynomial. Evaluating  $Z_G(1)$  counts the total number of independent sets of  $G$ .

Our approach is to maximize (or minimize) the *free energy*

$$F_G(\lambda) = |V(G)|^{-1} \log Z_G(\lambda)$$

of the hard-core model and other models from statistical physics by maximizing or minimizing the *occupancy fraction*,

$$\alpha_G(\lambda) := \lambda \cdot F'_G(\lambda) = |V(G)|^{-1} \mathbb{E}[|I|],$$

which is both the (scaled) derivative of the free energy and a probabilistic *observable* of the model. By integrating  $\alpha_G(t)/t$  from 0 to  $\lambda$ , a bound on  $\alpha_G$  yields a bound on the free energy. For instance, in [2] we show

**Theorem 1.** *For all  $d$ -regular  $G$  and all  $\lambda > 0$ ,*

$$\alpha_G(\lambda) \leq \alpha_{K_{d,d}}(\lambda).$$

This is a strengthening of results of Kahn [6], Galvin and Tetali [5], and Zhao [9] proving via the entropy method that the free energy  $F_G(\lambda)$  is maximized over  $d$ -regular graphs by  $K_{d,d}$  (or to put it differently, the number of independent sets, and the independence polynomial are maximized over  $d$ -regular graphs by disjoint unions of  $K_{d,d}$ 's).

Our general method for proving such theorems can be summarized as follows.

- Choose a Gibbs measure (e.g. the hard-core model), an observable (the occupancy fraction), and a class of bounded-degree graphs ( $d$ -regular graphs).
- For a fixed depth  $t$ , consider the two-part experiment of choosing a configuration (independent set)  $\sigma$  from the Gibbs measure on  $G$ , choosing a vertex  $v$  uniformly at random from  $V(G)$  and recording both the depth- $t$  neighborhood of  $v$  as well as the boundary condition of the Gibbs measure induced by  $\sigma$ . Call the neighborhood plus boundary condition the random *local view* from the experiment.
- Relax the extremal problem on graphs to an optimization problem on probability distributions on local views, adding carefully chosen constraints that must hold for all distributions induced by graphs in the chosen class.
- Pose the relaxation as a linear program, solve it, and integrate the bound on the observable to obtain a bound on the free energy.

By restricting to triangle-free graphs and minimizing instead of maximizing, the method yields the following result [3].

**Theorem 2.** *For any triangle-free graph  $G$  of maximum degree at most  $d$ ,*

$$\alpha_G(1) \geq (1 + o_d(1)) \log d/d.$$

Theorem 2 provides an alternative proof to Shearer's classic result [8] that gives the best known upper bound on the Ramsey number  $R(3, k)$ . Recall that  $\alpha_G(1) \cdot |V(G)|$  is the average size of a uniformly random independent set from  $G$ . The theorem begs the question of whether the maximum size of an independent set in a triangle-free graph is significantly larger than the average size.

**Conjecture 3.** *In any triangle-free graph the ratio of the maximum independent set size to the average independent set size is at least  $4/3$ . In any triangle-free graph of minimum degree  $d$ , the ratio is at least  $(2 - o_d(1))$ .*

A proof of Conjecture 3 would yield a respective factor  $4/3$  or 2 improvement on the current upper bound on  $R(3, k)$ .

We can also apply the method to matchings in regular graphs. Let  $M$  be a random matching from a graph  $G$  chosen with probability  $\mathbb{P}(M) = \frac{\lambda^{|M|}}{M_G(\lambda)}$  where  $M_G(\lambda) = \sum_M \lambda^{|M|}$  is the Monomer-Dimer partition function, or the matching generating function. Bregman's theorem [1] tells us that the Monomer-Dimer free energy is maximized in the  $\lambda \rightarrow \infty$  limit by  $K_{d,d}$ , but the result for finite  $\lambda$  (and the counting case  $\lambda = 1$ ) was not known previously. In [2] we prove:

**Theorem 4.** *For all  $d$ -regular  $G$  and all  $\lambda > 0$ ,*

$$\alpha_G^M(\lambda) \leq \alpha_{K_{d,d}}^M(\lambda),$$

*and in particular,*

$$|V(G)|^{-1} \log M_G(\lambda) \leq (2d)^{-1} \log M_{K_{d,d}}(\lambda).$$

The method can also be applied to the anti-ferromagnetic Potts model on cubic graphs which yields in the zero-temperature limit a tight bound on the number of proper  $q$ -colorings of a cubic graph [4].

Finally, we can obtain a richer class of extremal regular graphs if we forbid certain local structures. Examples of such results include the following from [7].

**Theorem 5.** *Let  $P_{5,2}$  denote the Petersen graph. Then for every 3-regular, triangle-free graph  $G$ , and every  $\lambda \in (0, 1]$ ,*

$$\alpha_G(\lambda) \geq \alpha_{P_{5,2}}(\lambda).$$

*Let  $H_{3,6}$  denote the Heawood graph, the unique  $(3, 6)$ -cage graph. Then for every 3-regular graph  $G$  of girth at least 5, and all  $\lambda > 0$ ,*

$$\alpha_G(\lambda) \leq \alpha_{H_{3,6}}(\lambda).$$

Integrating the occupancy fraction yields a tight bound on the independence polynomial and the normalized number of independent sets.

Further discussion of extremal problems for regular graphs can be found in the recent survey of Zhao [10]

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### Hamiltonian cycles in 3-uniform hypergraphs

CHRISTIAN REIHER

(joint work with V. Rödl, A. Ruciński, M. Schacht, E. Szemerédi)

G. A. Dirac [6] proved that every graph  $G = (V, E)$  on at least 3 vertices and with minimum vertex degree  $\delta(G) \geq |V|/2$  contains a Hamiltonian cycle. This result is best possible, as there are graphs  $G$  with minimum degree  $\delta(G) = \lceil |V|/2 \rceil - 1$  not containing a Hamiltonian cycle.

We continue the study to which extent Dirac’s theorem can be generalised to hypergraphs. Here we shall restrict to 3-uniform hypergraphs and if not mentioned otherwise by a hypergraph we will mean a 3-uniform hypergraph. Note that in this case there are at least two natural concepts of a minimum degree condition and several notions of cycle, and we briefly introduce some of them below.

For a hypergraph  $H = (V, E)$  and a vertex  $v \in V$  we denote by  $d_H(v)$  the *degree of  $v$*  defined as

$$d_H(v) = |\{e \in E : v \in e\}|,$$

and by  $\delta(H) = \min d_H(v)$  the *minimum vertex degree* of  $H$  taken over all  $v \in V$ . Similarly, for any two vertices  $u, v \in V$  we denote by  $d_H(u, v)$  their *pair degree*, which is the number of edges containing  $u$  and  $v$ , i.e.,

$$d_H(u, v) = |N_H(u, v)| = |\{e \in E : u, v \in e\}|,$$

and let  $\delta_2(H) = \min d_H(u, v)$  be the *minimum pair degree* over all pairs of vertices of  $H$ .

An early notion of cycles in hypergraphs appeared in the work of Berge [1] (see, also [2]) more than 40 years ago. More recently, Katona and Kierstead [9] considered the following types of paths and cycles.

A hypergraph  $P$  is a *tight path of length  $\ell$* , if  $|V(P)| = \ell + 2$  and there is an ordering of the vertices  $V(P) = \{x_1, \dots, x_{\ell+2}\}$  such that a triple  $e$  forms a hyperedge of  $P$  if and only if  $e = \{x_i, x_{i+1}, x_{i+2}\}$  for some  $i \in [\ell]$ . The ordered pairs  $(x_1, x_2)$  and  $(x_{\ell+1}, x_{\ell+2})$  are the *end-pairs* of  $P$  and we say that  $P$  is a *tight  $(x_1, x_2)$ - $(x_{\ell+1}, x_{\ell+2})$  path*. This definition of end-pairs is not symmetric and implicitly fixes a direction on  $P$  and the order of the end-pairs. Hence, we may refer to  $(x_1, x_2)$  as the *starting pair* and to  $(x_{\ell+1}, x_{\ell+2})$  as the *ending pair*. All

other vertices of  $P$  are called *internal*. We sometimes identify such a path  $P$  with the sequence of its vertices  $x_1 \dots x_{\ell+2}$ . Moreover, a *tight cycle*  $C$  of length  $\ell \geq 4$  consists of a path  $x_1 \dots x_\ell$  of length  $\ell - 2$  and the two additional hyperedges  $\{x_{\ell-1}, x_\ell, x_1\}$  and  $\{x_\ell, x_1, x_2\}$ . In both cases the *length* of a tight cycle and of a tight path is measured by the number of hyperedges and we will use the same convention for the length of cycles, paths, and walks in graphs. For simplicity we denote edges and hyperedges by  $xy$  and  $xyz$  instead of  $\{x, y\}$  and  $\{x, y, z\}$ .

Roughly speaking, one may think of tight paths and cycles as ordered hypergraphs such that “consecutive” edges overlap in exactly two vertices. Similarly, one may consider so-called *loose* paths and cycles, where the overlap is restricted to one vertex only. The optimal approximate minimum pair and vertex degree conditions for the existence of loose Hamiltonian cycles were obtained in [3, 10] and precise versions for large hypergraphs appeared in [5, 8].

Results on pair degree conditions implying tight Hamiltonian cycles were obtained in [15, 16]. For minimum vertex degrees,  $(5/9 - o(1))n^2/2$  provides a lower bound (see Examples 2.1–3 below), which was conjectured to be optimal. So far only suboptimal upper bounds were obtained in [7, 13, 14]. We close this gap here, as the following result provides an asymptotically optimal minimum vertex degree condition for tight Hamiltonian cycles.

**Theorem 1.** *For every  $\alpha > 0$  there exists an integer  $n_0$  such that every 3-uniform hypergraph  $H$  with  $n \geq n_0$  vertices and with minimum vertex degree*

$$\delta(H) \geq \left(\frac{5}{9} + \alpha\right) \frac{n^2}{2}$$

*contains a tight Hamiltonian cycle.*

A recent result of Cooley and Mycroft [4] establishes the existence of an almost spanning tight cycle under the same degree condition as in Theorem 1. Moreover, both these results are asymptotically best possible, as the following well known examples show.

**Example 2.**

- (1) Consider a partition  $X \cup Y = V$  of a vertex set  $V$  of size  $n$  with  $|X| = \lceil (n+1)/3 \rceil$  and let  $H$  be the hypergraph containing all triples  $e \in V^{(3)}$  such that  $|e \cap X| \neq 2$ . It is not hard to show that  $H$  contains no tight Hamiltonian cycle, since two consecutive vertices in  $X$  cannot be connected to  $Y$  (see, e.g., [13]). Moreover, we have  $\delta(H) \geq (5/9 - o(1))n^2/2$ .
- (2) Similarly, one may consider a partition  $X \cup Y = V$  with  $|X| = \lceil 2n/3 \rceil$  and let  $H$  be the hypergraph consisting of all triples  $e \in V^{(3)}$  such that  $|e \cap X| \neq 2$ . Again  $H$  has  $\delta(H) \geq (5/9 - o(1))n^2/2$  and it contains no tight Hamiltonian cycle.
- (3) The last example utilises the fact that every tight Hamiltonian cycle contains a matching of size  $\lfloor n/3 \rfloor$ . Again we consider a partition  $X \cup Y = V$  this time with  $|X| = \lfloor n/3 \rfloor - 1$  and let  $H$  consist of all triples having at least one vertex in  $X$ . Consequently,  $H$  contains no matching of

size  $\lfloor n/3 \rfloor$  and, hence, no tight Hamiltonian cycle. On the other hand,  $\delta(H) \geq (5/9 - o(1))n^2/2$ .

The proof of Theorem 1 may be found in [11].

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**Incidence geometry, rank bounds for design matrices, and applications**

SHUBHANGI SARAF

(joint work with A. Basit, Z. Dvir, and C. Wolf)

Given any set  $P$  of  $n$  points in  $\mathbb{R}^d$  and suppose we know that many small subsets of the points are linearly dependent. Can we deduce a global bound on the dimension of the entire configuration? The oldest example of such a question dates back to 1893 when Sylvester, motivated by certain complex configurations arising from Elliptic curves, asked “If every line through two points in  $P$  contains a third point from  $P$ , must they all line on the same line?” [11]. The positive answer to this question, proved independently by Melchior [9] and by Gallai (answering a question of Erdos [6]), is now known as the Sylvester-Gallai (SG) theorem. This is a strong form of the local-to-global principle. Loosely speaking, it says that the existence of ‘many’ local dependencies (collinearity of triples is captured by affine dependence) imposes a global structure - here all points must lie in 1-dimensional affine space.

There have been several variations of this question that have been studied such as high dimensional, colorful, approximate and quantitative versions of the Sylvester-Gallai theorem, as well as their analogs over finite fields. In recent years, theorems of this nature have been useful in studying certain structural questions arising in theoretical computer science. Variants of the SG theorem were useful in understanding the structure of low-depth arithmetic circuits and the polynomial identity testing problem (PIT) [4, 8, 10]. Quantitative versions of the SG theorem were shown to be closely linked to the structure of linear Locally Correctable Codes [1, 3, 5].

This talk surveys some of these extensions, with particular emphasis on a proof technique that has recently proven to be quite powerful for proving strong bounds for several of the incidence questions, which is via rank bounds for design matrices. We will demonstrate the power of this technique by focusing on the proof of the following recent result [2].

Consider a set of  $n$  points in  $\mathbb{R}^d$ . The classical theorem of Sylvester-Gallai says that, if the points are not all collinear then there must be a line through exactly two of the points. Let us call such a line an “ordinary line”. In a recent result, Green and Tao were able to give optimal linear lower bounds (roughly  $n/2$ ) on the number of ordinary lines determined  $n$  non-collinear points in  $\mathbb{R}^d$ .

We will consider the analog over the complex numbers. While the Sylvester-Gallai theorem as stated above is known to be false over the field of complex numbers, it was shown by Kelly [7] that for a set of  $n$  points in  $\mathbb{C}^d$ , if the points don’t all lie on a 2-dimensional plane then the points must determine an ordinary line. Using techniques developed for bounding the rank of design matrices, we will show that such a point set must determine at least  $3n/2$  ordinary lines, except in the trivial case of  $n-1$  of the points being contained in a 2 dimensional plane.

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## Removal Lemmas with Polynomial Bounds

ASAF SHAPIRA

(joint work with L. Gishboliner)

A hereditary graph property  $\mathcal{P}$  is said to be *testable* if there is a function  $f_{\mathcal{P}}(\varepsilon)$  such that for every  $\varepsilon > 0$  and for every graph  $G$ , if  $G$  is  $\varepsilon$ -far from satisfying  $\mathcal{P}$  then a random subset of  $f_{\mathcal{P}}(\varepsilon)$  vertices of  $G$  does not satisfy  $\mathcal{P}$  with probability at least  $\frac{2}{3}$ .  $\mathcal{P}$  is *easily testable* if one can take  $f_{\mathcal{P}}(\varepsilon)$  to be polynomial in  $\varepsilon^{-1}$ . For a fixed graph  $H$ , the testability of  $H$ -freeness is equivalent to the celebrated graph removal lemma of Ruzsa and Szemerédi [9]. It was shown by Alon and Shapira [6] that every hereditary graph property is testable. This general result relied on a version of Szemerédi’s regularity lemma [10] and thus gave tower-type bounds on  $f_{\mathcal{P}}(\varepsilon)$ . Consequently, several authors [4, 8] raised the problem of characterizing the easily testable hereditary graph properties.

We prove new sufficient and necessary conditions for a hereditary graph property to be easily testable. These general combinatorial conditions imply almost all previously known results of this type, as well as many new ones. As a corollary, we prove a conjecture of Alon, stating that every semi-algebraic graph property is easily testable.

For a hereditary graph property  $\mathcal{P}$ , let  $\mathcal{F}(\mathcal{P})$  denote the family of minimal forbidden induced subgraphs for  $\mathcal{P}$ . Our first result gives a sufficient condition that guarantees that  $\mathcal{P}$  is easily testable.

**Theorem 1.** *If  $\mathcal{F}(\mathcal{P})$  is finite and contains a bipartite graph, a co-bipartite graph and a split graph, then  $\mathcal{P}$  is easily testable.*

Theorem 1 implies the previously known results that  $H$ -freeness is easily testable for a bipartite  $H$  [1] and that induced  $H$ -freeness is easily testable if  $H$  is a path with at most four vertices [4, 5], as well as the new results that the properties of being a line graph, being a threshold graph and being a trivially perfect graph are easily testable.

Our next result is a necessary condition for a hereditary property to be easily testable. It only applies when  $\mathcal{F}(\mathcal{P})$  is finite.

**Theorem 2.** *If  $\mathcal{F}(\mathcal{P})$  is finite and  $\mathcal{P}$  is easily testable then  $\mathcal{F}(\mathcal{P})$  contains a bipartite graph and a co-bipartite graph.*

Theorem 2 implies as corollaries the negative results of [1] and [5]. Our final result for the case that  $\mathcal{F}(\mathcal{P})$  is finite is that the necessary condition in Theorem 2 is not sufficient.

**Theorem 3.** *There is a bipartite graph  $F_1$  and a co-bipartite graph  $F_2$  for which induced  $\{F_1, F_2\}$ -freeness is not easily testable.*

We now turn to consider the case where  $\mathcal{F}(\mathcal{P})$  is infinite. It is natural to ask if the condition of Theorem 1 is still sufficient. Our next theorem states that it is not.

**Theorem 4.** *There is a graph family  $\mathcal{F}$  which contains a bipartite graph, a co-bipartite graph and a split graph, for which induced  $\mathcal{F}$ -freeness is not easily testable.*

The family  $\mathcal{F}$  in Theorem 4 is an ad hoc construction and does not arise from a familiar graph property. We prove that for many “natural” properties, the condition in Theorem 1 is sufficient. To state the exact result we need the following definition. Let  $H$  be a graph with vertices  $V(H) = \{1, \dots, r\}$  and let  $g : V(H) \rightarrow \{0, 1\}$ . A graph  $G$  is a  $g$ -blowup of  $H$  if  $G$  admits a vertex partition  $V(G) = V_1 \cup \dots \cup V_r$  such that  $(V_i, V_j)$  is a complete (resp. empty) bipartite graph whenever  $(i, j) \in E(H)$  (resp.  $(i, j) \notin E(H)$ ) and  $V_i$  is a clique (resp. an independent set) whenever  $g(i) = 1$  (resp.  $g(i) = 0$ ).

**Theorem 5.** *Let  $\mathcal{P}$  be a hereditary graph property satisfying the following.*

- (1)  $\mathcal{F}(\mathcal{P})$  contains a bipartite graph, a co-bipartite graph and a split graph.
- (2) For every  $H \in \mathcal{P}$  there is a function  $g : V(H) \rightarrow \{0, 1\}$  such that every  $g$ -blowup of  $H$  satisfies  $\mathcal{P}$ .

*Then  $\mathcal{P}$  is easily testable.*

In order to describe the main application of Theorem 5, we recall the definition of *semi-algebraic graph properties*. A semi-algebraic property is given by a set

of real  $2k$ -variate polynomials  $f_1, \dots, f_t \in \mathbb{R}[x_1, \dots, x_{2k}]$  and a Boolean function  $\Phi : \{\text{true}, \text{false}\}^t \rightarrow \{\text{true}, \text{false}\}$ . A graph  $G$  satisfies the property if there is an assignment of points  $\{p_v : v \in V(G)\}$  to the vertices of  $G$ , where  $p_v \in \mathbb{R}^k$ , such that  $(u, v) \in E(G)$  if and only if

$$\Phi\left(f_1(p_u, p_v) \geq 0; \dots; f_t(p_u, p_v) \geq 0\right) = \text{true}.$$

In the expression  $f_i(p_u, p_v)$ , we substitute  $p_u$  into the first  $k$  variables of  $f_i$  and  $p_v$  into the last  $k$  variables of  $f_i$ . Many well studied classes of graphs, such as interval graphs, unit disc graphs and circular arc graphs, are semi-algebraic. We proved that every semi-algebraic property satisfies conditions 1-2 of Theorem 5. Thus, we got the following corollary, which confirms a conjecture of Alon [2].

**Corollary 6.** *Every semi-algebraic graph property is easily testable.*

The proofs of Theorems 1 and 5 rely on a lemma stating that  $\mathcal{F}(\mathcal{P})$  contains a bipartite graph, a co-bipartite graph and a split graph if and only if there is  $k = k(\mathcal{P})$  such that every  $G \in \mathcal{P}$  has VC-dimension at most  $k$ . Next we use a theorem by Alon, Fishcer and Newman [3], stating that if only a small fraction of the induced subgraphs of  $G$  of order  $\text{poly}(1/\varepsilon)$  have VC-dimension larger than  $k$ , then  $G$  admits a highly-structured (more structured than the one produced by the regularity lemma) partition with only  $\text{poly}(1/\varepsilon)$  parts. In the proof of Theorem 5 there is an additional difficulty that arises from having to handle infinitely many forbidden subgraphs. Indeed, there can be a situation in which  $G$  is  $\varepsilon$ -far from satisfying  $\mathcal{P}$  but the smallest  $F \in \mathcal{F}(\mathcal{P})$  which is an induced subgraph of  $G$  is of size much larger than  $\text{poly}(1/\varepsilon)$ . In fact, such a construction is used in the proof of Theorem 4. Condition 2 of Theorem 5 allows us to overcome this difficulty, as well as other technical difficulties that arise in proofs of this type.

In order to prove 2 and 3 we prove the following new hardness result.

**Theorem 7.** *For every non-bipartite  $H$ ,  $\varepsilon > 0$  and  $n \geq n_0(\varepsilon)$  there is an  $n$ -vertex graph which is  $\varepsilon$ -far from being induced  $H$ -free but contains only  $\varepsilon^{c \log(1/\varepsilon)} n^{v(H)}$  (not necessarily induced) copies of  $H$ .*

The proof of Theorem 7 relies on a new variant of the Ruzsa-Szemerédi construction. Instead of using a set of integers with no solution to  $x_1 + \dots + x_k = kx_{k+1}$ , as was done in previous works, we use a set with no solution to *any* equation of the form  $a_1x_1 + \dots + a_kx_k = (a_1 + \dots + a_k)x_{k+1}$  with positive bounded  $a_1, \dots, a_k$ . Furthermore, we carefully label the vertices of  $H$  in order to guarantee that every copy of  $H$  in the construction will contain a cycle which corresponds to a solution to one of the above equations.

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## Core Forging by Warning Propagation

KATHRIN SKUBCH

(joint work with A. Coja-Oghlan, O. Cooley, and M. Kang)

The  $k$ -core of a graph is the largest subgraph of minimum degree  $k$ . It can be determined algorithmically by the *peeling process* that removes an arbitrary vertex of degree less than  $k$  while there is one. In one of the most influential contributions to the theory of random graphs Pittel, Spencer and Wormald analysed the peeling process on the Erdős-Rényi random graph via the method of differential equations [6]. They determined the precise threshold  $d_k$  from where on the  $k$ -core is non-empty w.h.p as well as the asymptotic order (i.e., number of vertices) and size (number of edges) of the  $k$ -core for  $d > d_k$  and  $k \geq 3$ . The case  $k \geq 3$  is very different from the case  $k = 2$ , as the 2-core simply emerges continuously (mostly) inside the giant component. By contrast, a most remarkable feature of the  $k$ -core problem for  $k \geq 3$ , first observed by Łuczak [3,4], is that the order of the  $k$ -core leaps from 0 to a linear the number of vertices the very moment the  $k$ -core becomes non-empty.

Since the seminal work of Pittel, Spencer and Wormald several alternative proofs of the  $k$ -core threshold have been developed. Some of these proofs extend to hypergraphs and/or given degree sequences. Additionally, establishing a bivariate central limit theorem, Janson and Łuczak [2] also studied the joint limiting distribution of the order and size of the  $k$ -core.

While one way or another all the previous work on the  $k$ -core problem has been based on tracing the peeling process in the random graph, in the present paper we develop a very different approach. Instead of analysing the peeling process, we devise a probabilistic scheme for constructing graphs with a  $k$ -core of a given order and size directly. Formally, we develop a randomised sampling algorithm **Forge** that produces a graph with a core of a given desired order and size. The output distribution of **Forge** converges in total variation to the distribution of an Erdős-Rényi random graph given the order and size of the  $k$ -core. Consequently, because the randomised construction employed by **Forge** is surprisingly simple, we can immediately read off the asymptotic number of graphs with a  $k$ -core of a

given order and size. As an application, we obtain a bivariate local limit theorem for the distribution of these parameters in the Erdős-Rényi random graph. This result sharpens the central limit theorem of Janson and Luczak [2]. Additionally, the sampling algorithm completely elucidates the way the  $k$ -core is embedded into the random graph, a question on which we obtained partial results in an earlier paper via the formalism of local weak convergence [1].

Our randomised algorithm **Forge** is based on an enhanced “configuration model” that explicitly designates which vertices will wind up in the core. Setting up such a model is anything but straightforward because the core interacts with the rest of the graph, sometimes called the “mantle”, in a rather complicated way.

The key idea of the present paper is to investigate not merely the set of vertices and edges in the  $k$ -core but to also look at the “surrounding structure” of the graph from the right angle. As it turns out, the necessary additional structure can be set out concisely by way of *Warning Propagation*, a message passing scheme that plays an important role in physics work on random constraint satisfaction problems [5]. Remarkably, the Warning Propagation messages contain additional information that help to unlock the  $k$ -core problem.

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### An application of the polynomial method

JÓZSEF SOLYMOSI

(joint work with J. Ellenberg and J. Zahl)

The polynomial method is an important and powerful method with various applications in number theory, discrete geometry, and combinatorics. In this talk we gave a brief introduction and then sketched the proof of the theorems below. For the interested reader we recommend the excellent lecture note by Larry Guth [1].

As an application of the method we established new bounds on the number of tangencies and orthogonal intersections determined by an arrangement of curves. First, given a set of  $n$  algebraic plane curves, we showed that there are  $O(n^{3/2})$  points where two or more curves are tangent. In particular, if no three curves are mutually tangent at a common point, then there are  $O(n^{3/2})$  curve-curve

tangencies. Second, given a family of algebraic plane curves and a set of  $n$  curves from this family, we showed that either there are  $O(n^{3/2})$  points where two or more curves are orthogonal, or the family of curves has certain special properties.

We obtained these bounds by transforming the arrangement of plane curves into an arrangement of space curves so that tangency (or orthogonality) of the original plane curves corresponds to intersection of space curves. We then bounded the number of intersections of the corresponding space curves. For the case of curve-curve tangency, we used a polynomial method technique that is reminiscent of Guth and Katz's proof of the joints theorem [2]. For the case of orthogonal curve intersections, we employed a bound of Guth and Zahl to control the number of two-rich points in space curve arrangements [3].

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### Generalized Turán Numbers

JACQUES VERSTRAËTE

(joint work with M. Molloy and B. Sudakov)

The *generalized Turán number*  $\text{ex}(G, F)$  of two graphs  $G$  and  $F$  is the maximum number of edges in an  $F$ -free subgraph of  $G$ . Define  $\text{dx}(G, F)$  to be the largest minimum degree amongst all  $F$ -free spanning subgraphs of  $G$ . The determination of the Turán numbers  $\text{ex}(K_n, F)$  is a central problem in combinatorics. In the case that  $F$  has chromatic number  $r + 1 \geq 2$ , then for any graph  $G$ ,  $\text{ex}(G, F) \geq (1 - \frac{1}{r})e(G)$ , by taking a random  $r$ -partition of the vertices of  $G$ . By Turán's Theorem,  $\text{ex}(K_n, F) \sim (1 - \frac{1}{r})\binom{n}{2}$ , and so for non-bipartite  $F$ , this determines the asymptotic minimum value of  $\text{ex}(G, F)$  given the number of edges in  $G$ . The focus in this paper is on the case that  $F$  is bipartite and contains a cycle. The generalized Turán numbers  $\text{ex}(G, F)$  have been studied at length for specific graphs  $G$ , in particular when  $G = Q_n$ , the boolean cube graph (see [2] and the references therein), when  $G$  is a  $C_{2k}$ -free graph (see Györi [5] and Kühn and Osthus [7]), and in random graphs (see Bohman and Keevash [1], Schacht [8] and Conlon and Gowers [3] and the references therein) and pseudorandom graphs [6].

We focus in this paper on the case that  $G$  has prescribed minimum and maximum degree. If  $G$  is a  $\Delta$ -regular graph, a recurring theme is whether  $\text{ex}(G, F)$  and  $\text{dx}(G, F)$  achieve their minimum values (exactly or asymptotically or in order of magnitude as  $\Delta \rightarrow \infty$ ) when  $G$  is a disjoint union of cliques of order  $\Delta + 1$ . Along these lines, the following conjecture was made in [4]:

**Conjecture 1.** *If  $F$  and  $G$  are graphs and  $\Delta(G) = \Delta \rightarrow \infty$ , then*

$$\mathrm{dx}(G, F) = \Omega\left(\frac{\mathrm{dx}(K_\Delta, F)}{\Delta}\right) \cdot \delta(G).$$

Partial results were obtained when  $F = C_{2k}$  for  $k \in \{2, 3, 5\}$  by Foucaud, Krivelevich and Perarnau [4]. To state our main theorem, we require the following definitions. If  $F$  and  $H$  are graphs and  $f : V(F) \rightarrow V(H)$  is a homomorphism, then  $f$  is called a *local isomorphism* if  $|\{f(u)f(v) : uv \in E(F)\}| = |E(F)|$ . In other words, the map  $\phi : E(F) \rightarrow E(H)$  defined by  $\phi(uv) = f(u)f(v)$  for  $uv \in E(F)$  is a bijection. For a graph  $F$ , let  $\mathcal{H}_F$  denote the family of graphs  $H$  such that there is a local isomorphism  $f : V(F) \rightarrow V(H)$ . Our main theorem is as follows:

**Theorem 2.** *Let  $F$  be a graph containing a cycle. Then for any graph  $G$  of maximum degree  $\Delta \geq 1$  such that  $\mathrm{dx}(K_\Delta, \mathcal{H}_F) \cdot \delta(G) \geq 10^{50} \Delta \log \Delta$ ,*

$$\mathrm{dx}(G, F) \geq \frac{\mathrm{dx}(K_\Delta, \mathcal{H}_F)}{10^5 \Delta} \cdot \delta(G).$$

Furthermore, for all  $\Delta \geq 1$ ,

$$\mathrm{ex}(G, F) \geq \frac{\mathrm{ex}(2\Delta, \mathcal{H}_F)}{2e\Delta^2} \cdot e(G).$$

Theorem 2 solves Conjecture 1 whenever  $\mathrm{dx}(K_n, \mathcal{H}_F) = \Theta(\mathrm{dx}(K_n, F))$ , which may even be true for all graphs  $F$ .

**Conjecture 3.** *For any graph  $F$ , show that  $\mathrm{dx}(K_n, F) = \Theta(\mathrm{dx}(K_n, \mathcal{H}_F))$ .*

Focusing on the case of regular graphs, we do not have an example of a family of  $\Delta$ -regular graphs  $G_\Delta$  for which  $\mathrm{dx}(G_\Delta, F) \sim \mathrm{dx}(K_\Delta, F)$  as  $\Delta \rightarrow \infty$ , so we pose the following problem: determine those graphs  $F$  for which there exists an  $\epsilon > 0$  such that for all large enough  $\Delta$  and there exists a  $\Delta$ -regular graph  $G_\Delta$  such that

$$\mathrm{dx}(G_\Delta, F) < (1 - \epsilon)\mathrm{dx}(K_\Delta, F).$$

This is open even in the case  $F = C_4$ .

One of the interesting ingredients of the proof of Theorem 2 involves injective proper colorings: an *injective proper coloring* of a graph  $G$  is a proper coloring of the square graph  $G^2$  i.e. the graph obtained by joining any pair of vertices at distance at most two in  $G$ . We prove the following result:

**Theorem 4.** *Let  $G$  be a graph of maximum degree  $\Delta$ , and let  $J$  be any graph on  $5\Delta$  vertices such that  $2\delta(J)\delta(G) \geq 10^{50} \Delta \log \Delta$ . Then there is a spanning subgraph  $H$  of  $G$  with an injective proper  $J$ -coloring such that for all  $v \in V(H)$ ,*

$$d_H(v) \geq \frac{d_J(\chi(v))d_G(v)}{10000\Delta}.$$

In particular, if  $G$  is  $\Delta$ -regular, this shows that even though  $G^2$  may have chromatic number of order  $\Delta^2$ , one can find a spanning subgraph of  $G$  of minimum degree  $\Theta(\Delta)$  whose square graph has chromatic number  $\Theta(\Delta)$ . In other words,  $G^2$  may be moderately thinned out to reduce the chromatic number to  $\Theta(\Delta)$ .

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## Upper tails for arithmetic progressions

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(joint work with B. Bhattacharya, S. Ganguly, and X. Shao)

Let  $X_k$  denote the number of  $k$ -term arithmetic progressions in a random subset of  $\{1, 2, \dots, n\}$  where every element is included with probability  $p$ . We are interested in estimating the upper tail probability  $\mathbb{P}(X_k \geq (1 + \delta)\mathbb{E}X_k)$ .

It may be helpful to compare with the analogous problem in random graphs. For a fixed graph  $H$ , let  $X_H$  denote the number of copies of  $H$  in an Erdős–Rényi random graph  $G(n, p)$ .

The problem of estimating the upper tail of these random variables has a long history, and it has been called the “infamous upper tail” [8]. Even the order of the log-probability had not been known until relatively recently. In the case of triangle counts in a random graph, the order of the log-probability was determined by DeMarco and Kahn [5], and independently, by Chatterjee [2].

**Theorem 1** (Chatterjee/DeMarco–Kahn). *Fix  $\delta > 0$ . If  $p \gtrsim \log n/n$ , then*

$$\mathbb{P}(X_{K_3} \geq (1 + \delta)\mathbb{E}X_{K_3}) = p^{\Theta_\delta(n^2 p^2)}.$$

DeMarco and Kahn [6] subsequently extended this result to cliques. The corresponding result for arithmetic progressions was proved recently by Warnke [10].

**Theorem 2** (Warnke). *Fix  $\delta > 0$  and  $k \geq 3$ . If  $p \gtrsim (\frac{\log n}{n})^{1/(k-1)}$ , Then*

$$\mathbb{P}(X_k \geq (1 + \delta)\mathbb{E}X_k) = p^{\Theta_k(\sqrt{\delta n^2 p^k})}.$$

In our work, we are interested in determining the missing constant in the exponent. See Chatterjee’s recent survey [3] for a discussion of recent developments in this direction.

Chatterjee and Dembo [4] devised a new *nonlinear large deviation principle* that reduces such certain problems (random graphs, 3-term progressions) to natural variational problems, though its applicability is restricted to  $p \geq n^{-\alpha}$  for some fixed  $\alpha > 0$  in each case. Very recently, Eldan [7] proved a new nonlinear large deviation principle, using completely different methods, that appears to be somewhat quantitatively more efficient than [4].

The corresponding variational problem was solved in [9] for triangle counts, and more generally whenever  $H$  is a clique. This result was subsequently extended to all  $H$  in [1]. Together with the nonlinear large deviation principles, we now know the following.

**Theorem 3.** *Fix  $\delta > 0$  and graph  $H$  with maximum degree  $\Delta$ . There is some constant  $\alpha_H > 0$  such that whenever  $p = p_n \rightarrow 0$  with  $p \geq n^{-\alpha_H}$ , one has*

$$\mathbb{P}(X_H \geq (1 + \delta)\mathbb{E}X_H) = p^{(1+o(1))c_H(\delta)n^2p^\Delta},$$

where  $c_H(\delta) > 0$  is some explicit constant.

For example, when  $H = K_3$ , we have

$$c_{K_3}(\delta) = \min\{\frac{1}{2}\delta^{2/3}, \frac{1}{3}\delta\}.$$

See [1] for the formula for  $c_H(\delta)$  for general  $H$ .

Our main new result is a corresponding theorem for  $X_k$ , the number of  $k$ -term arithmetic progressions in a random subset of  $\{1, \dots, n\}$ .

**Theorem 4.** *Fix  $\delta > 0$  and integer  $k \geq 3$ . There is some constant  $\alpha_k > 0$  such that whenever  $p = p_n \rightarrow 0$  with  $p \geq n^{-\alpha_k}$ , one has. With  $p \rightarrow 0$ , one has*

$$\mathbb{P}(X_k \geq (1 + \delta)\mathbb{E}X_k) = p^{(1+o(1))\sqrt{\delta n^2 p^k}}.$$

The best known  $\alpha_k$  is currently being improved in work in progress, and we do not state it here.

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### Problem Session

- (1) (Günter M. Ziegler) Describe the set of integers  $m \geq 2$  for which there exists a matrix  $A(t) \in \{0, 1, t\}^{(n+1) \times (n+1)}$  such that  $\det(A(t)) \not\equiv 0$  and  $\det(A(m)) = 0$ , where “describe” could mean find its size and its maximum value.
- (2) (Anders Björner) For any function  $w: \binom{[n]}{2} \rightarrow \mathbb{R}$  and for any  $G \subseteq \binom{[n]}{2}$ , define  $w(G) = \sum_{e \in G} w(e)$ .

**Conjecture.** *If  $n \geq 8$  then for any  $w: \binom{[n]}{2} \rightarrow \mathbb{R}$  with  $w(\binom{[n]}{2}) = 0$ , the number of spanning trees  $T \subset \binom{[n]}{2}$  such that  $w(T) \geq 0$  is at least  $2n^{n-3}$ .*

Note that the above conjecture is equivalent to the statement that the  $w$  which minimizes the number of spanning trees  $T$  with  $w(T) \geq 0$  is given by assigning a huge negative weight to one edge, and all the rest positive (so that nonnegative weight spanning trees are precisely those that avoid the negative edge).

- (3) (Noga Alon)

**Conjecture.** *For all  $p_1, \dots, p_n \in \mathbb{R}^n$  with  $\|p_1\| = \dots = \|p_n\| = 1$ , there exist  $q_1, \dots, q_n \in \mathbb{R}^{n/2}$  such that for all  $i, j$*

$$|\langle p_i, p_j \rangle - \langle q_i, q_j \rangle| \leq \frac{1000}{\sqrt{n}}.$$

- (4) (Boris Bukh) *Degeneracy of random subgraph.*

Let  $G$  be a graph of minimum degree  $D$ . Let  $G_{1/2}$  be a random subgraph of  $G$  obtained by keeping each edge of  $G$  with probability  $1/2$  independently of the other edges. Let  $d$  be the largest integer such that  $G_{1/2}$  contains a non-empty subgraph of minimum degree  $d$ . How large must  $d$  be in term of  $D$ ?

Trivialities:

- (a) If  $G = K_{D+1}$ , then  $d = D/2 - o(D)$  by Chernoff’s bound.
- (b) Since  $G$  has average degree  $\geq D$ , the average degree of  $G_{1/2}$  is  $\geq D/2 - o(D)$  implying that  $d \geq D/4 - o(D)$ , for any  $G$ .

Surprisingly, there is a better construction than the complete graph. Namely, if  $G$  is a  $(d/3)$ -blowup of a large 3-regular expander, then  $d \leq D/3 + o(D)$ .

**Conjecture.**  $d \geq D/3 - o(D)$  for any  $G$ .

- (5) (Ron Peled & Jeff Kahn) *Positive association for colorings.*

Let  $G$  be a finite bipartite graph with parts  $A$  and  $B$ . Let  $q \geq 3$  and  $f$  be a uniformly sampled proper  $q$ -coloring of  $G$ . Does the set  $f^{-1}(0) \cap A$  have positive association? Precisely, if  $X, Y : \{0, 1\}^A \rightarrow \mathbb{R}$  are increasing, is

$$\mathbb{E}[X(f|_A^{-1}(0))Y(f|_A^{-1}(0))] \geq \mathbb{E}[X(f|_A^{-1}(0))] \cdot \mathbb{E}[Y(f|_A^{-1}(0))]?$$

Even in the case of 4 vertices  $u, v, x, y \in A$ , we don't know if

$$\mathbb{P}[f(u) = f(v) = f(x) = f(y) = 0] \geq$$

$$\mathbb{P}[f(u) = f(v) = 0]\mathbb{P}[f(x) = f(y) = 0].$$

$\Delta + 1$  colors.

Suppose that  $G$  is a finite graph with max degree  $\Delta$  and  $q = \Delta + 1$ . Let  $f$  be a uniformly sampled proper  $q$ -coloring of  $G$ . For two vertices  $x, y \in G$ , does the correlation of the events  $f(x) = 0$  and  $f(y) = 0$  go to zero as the distance between  $x$  and  $y$  increases, uniformly in the choice of graph  $G$  and vertices  $x$  and  $y$ ?

- (6) (József Solymosi) Let  $A$  be an  $n \times n$ .  $R_i = \sum_{j:j \neq i} |A_{i,j}|$  is the radius of the Gershgorin disk centered at  $A_{i,i}$ . The radius of the half Gershgorin disk  $r_i$  is defined as the sum of the  $\lfloor n/2 \rfloor$  largest terms of  $\{|A_{i,j}| : j \neq i\}$ .

**Theorem** (Bárány, Solymosi). *If  $A$  is a real non-negative valued matrix, and  $\lambda$  is an eigenvalue with geometric multiplicity  $\geq 2$ , then  $\lambda$  lies in a half Gershgorin disk,  $D(A_{i,i}, r_i)$  for some  $i$ .*

Q1: What about for eigenvalues with higher multiplicity?

Q2: What about for positive semidefinite or general (complex) matrices?

- (7) (János Pach) Are pseudosegment disjointness graphs  $\chi$ -bounded?
- (8) (Nati Linial) For any matrix  $A \in \{0, 1\}^{n \times n}$  with no  $\begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}$  as a submatrix, the maximum number of 1s in  $A$  is  $\Theta(n^{3/2})$ . Analogously, define  $f(n)$  to be the maximum number of 1s, taken over all 3D matrices  $A \in \{0, 1\}^{n \times n \times n}$  having no  $2 \times 2 \times 2$  subcube consisting of all 1s. It is known that  $\Omega(n^{8/3}) \leq f(n) \leq O(n^{11/4})$ , but the correct order of magnitude isn't known.
- (9) (Swastik Kopparty) What is  $\mathbb{P}[\text{per}(A) = 0]$  if  $A$  is an  $n \times n$  random matrix over  $\mathbb{F}_3$ ?

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